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## PROJECT MANUAL

**FOR** 

# CITY OF COQUILLE URA CENTRAL BOULEVARD STRIPING

**FOR** 

**CITY OF COQUILLE** 



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#### SECTION 00100 ADVERTISEMENT FOR BID

Notice is hereby given that sealed bids for **City of Coquille URA - Central Blvd. Striping Project** will be received by City of Coquille URA until the bid closing time of 2:00 P.M. Pacific Time, Tuesday, July 29, 2025.

Bids shall be mailed or hand delivered to City of Coquille URA, Attn: Mark Denning, Public Works Director, 851 N Central Blvd., Coquille, OR 97423. Bids can also be emailed to mdenning@cityofcoquille.org; subject: BID for City of Coquille URA - Central Blvd. Striping Project.

The bid opening shall be conducted immediately following the bid closing time, at which time the bids will be publicly opened and read aloud.

This project consists of restripping Central Blvd. from 3rd Street to 10th Street with minimal areas of existing stripe removal.

Construction Documents for this work may be examined at the Office of the Landscape Architect, HGE Architects, Inc. 333 South 4th Street, Coos Bay, Oregon, phone: 541-269-1166, email: general@hge1.com, and at the following locations: the office of City of Coquille URA, various Plan Centers, and on the HGE website at http://www.hge1.com/bidding-area/. General Contractors are encouraged to contact HGE by phone or email and register their interest in submitting a bid and to be included on the plan holders' list.

One set of large format drawings and the project manual may be obtained by prime bidders from HGE Architects, upon refundable deposit of \$50 .

A Pre-Bid Conference and Walkthrough will not be held.

The Owner reserves the right to reject any and all bids, and to waive any technicalities or informalities in connection therewith. No bidder may withdraw their bid after the hour set for the opening thereof until the lapse of thirty (30) days from the bid opening.

Mark Denning, Public Works Director

City of Coquille URA

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#### SECTION 00200

#### **INSTRUCTIONS TO BIDDERS**

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#### **ARTICLE 1 - DEFINED TERMS**

- 1.01 Terms used in these Instructions to Bidders will have the meanings indicated in the General Conditions and Supplementary Conditions. Additional terms used in these Instructions to Bidders have the meanings indicated below.
  - A. **Engineer** Refers to SANDOW ENGINEERING.
  - B. **Issuing Office** Refers to HGE ARCHITECTS, INC.; the office from which the Bidding Documents are to be issued and where the bidding procedures are to be administered.
  - C. **Lowest Responsible Bidder** Bidder who submits the lowest bonafide bid complying with the requirements and instructions in the Bidding Requirements and is considered by the Owner to be fully responsible and qualified, per ORS 279C.375(2), to perform the work for which the bid is submitted.
  - D. Owner Refers to CITY OF COQUILLE URBAN RENEWAL AGENCY.
  - E. **Project Manual** The bound documentary information prepared for bidding and constructing the Work, including Bidding Requirements and Contract Documents.

#### **ARTICLE 2 - COPIES OF BIDDING DOCUMENTS**

- 2.01 Complete sets of the Bidding Documents, including Bidding Requirements and Contract Documents, in the number and for the non-refundable payment stated in the Advertisement for Bids may be obtained from the Issuing Office.
- 2.02 Complete sets of Bidding Documents must be used in preparing Bids; neither Owner nor Engineer assumes any responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.
- 2.03 Owner and Engineer in making copies of Bidding Documents available on the above terms do so only for the purpose of obtaining Bids for the Work and do not confer a license or grant for any other use. Authorization to download documents, or other distribution, includes the right for plan holders to print documents solely for their use, and the use of their prospective Subcontractors and Suppliers, provided the plan holder pays all costs associated with printing or reproduction. Printed documents may not be re-sold under any circumstances.
- 2.04 Owner has established a Bidding Documents Website as indicated in the Advertisement to Bid. Owner recommends that Bidder register as a plan holder with the Issuing Office at such website, and obtain a complete set of the Bidding Documents from such website. Bidders may rely that sets of Bidding Documents obtained from the Bidding Documents Website are complete, unless an omission is blatant. Registered plan holders will receive Addenda issued by Owner / Issuing Office.
- 2.05 Plan rooms (including construction information subscription services, and electronic and virtual plan rooms) may distribute the Bidding Documents or make them available for examination. Those prospective bidders that obtain an electronic (digital) copy of the Bidding Documents from a plan room are encouraged to register as plan holders from the Issuing Office. Owner is not responsible for omissions in Bidding Documents or other

documents obtained from plan rooms, or for a Bidder's failure to obtain Addenda from a plan room.

#### **ARTICLE 3 - QUALIFICATIONS OF BIDDERS**

- 3.01 Bidders must be licensed by Construction Contractor=s Board per ORS 701.035 to 701.055.
  - A. In accordance with ORS 279C.863 all independent contractors working on qualifying public works projects, with a contract price exceeding \$100,000 must obtain and file with the Construction Contractors Board (CCB) a public works bond with a corporate surety authorized to do business in Oregon for the amount of \$30,000 before starting work on a contract or subcontract for a public works project.

#### 3.02 Prequalification of Bidders

- A. Not required.
- B. The Owner may make such investigations as deemed necessary to determine the ability of the Bidder to perform the work, and the Bidder shall furnish to the Owner all such information and data for this purpose as the Owner may request. The Owner reserves the right to reject any bid if the evidence submitted by, or investigation of such Bidder fails to satisfy the Owner that such Bidder is properly qualified to carry out the obligations of the Contract and to properly complete the Work contemplated therein.

#### **ARTICLE 4 - PRE-BID CONFERENCE**

- 4.01 A MANDATORY Pre-Bid Conference will be held at the time and location indicated in the Advertisement to Bid. Representatives of Owner and Engineer will be present to discuss the Project. Proposals will not be accepted from Bidders who do not attend the conference. It is each Bidder's responsibility to sign in at the pre-bid conference to verify its participation. Bidders must sign in using the name of the organization that will be submitting a Bid. A list of qualified Bidders that attended the pre-bid conference and are eligible to submit a Bid for this Project will be issued in an Addendum.
- 4.02 Information presented at the pre-Bid conference does not alter the Contract Documents. Owner will issue Addenda to make any changes to the Contract Documents that result from discussions at the pre-bid conference. Information presented and statements made at the pre-bid conference will not be binding or legally effective unless incorporated in an Addendum.

# ARTICLE 5 - EXAMINATION OF BIDDING DOCUMENTS, OTHER RELATED DATA, AND SITE

#### 5.01 Site and Other Areas

A. Site is identified in the Bidding Documents. By definition, the Site includes rights-of-way, easements, and other lands furnished by Owner for the use of the Contractor. Any additional lands required for temporary construction facilities,

construction equipment, or storage of materials and equipment, and any access needed for such additional lands, are to be obtained and paid for by Contractor.

#### 5.02 Existing Site Conditions

- A. Subsurface and Physical Conditions; the Supplementary Conditions identify:
  - 1. Those reports of explorations and tests of subsurface conditions at or contiguous to the Site that Engineer has used in preparing the Bidding Documents, if any.
  - Those drawings of physical conditions in or relating to existing surface and subsurface structures at or contiguous to the Site (except Underground Facilities) that Engineer has used in preparing the Bidding Documents, if any.
- B. Copies of reports and drawings referenced above will be made available to any Bidder on request. These reports and drawings are not part of the Contract Documents, but the Technical Data contained therein upon whose accuracy Bidder is entitled to rely, as provided in the General Conditions, has been identified and established in the Supplementary Conditions. Bidder is responsible for any interpretation or conclusion Bidder draws from any Technical Data or any other data, interpretations, opinions, or information contained in such reports or shown or indicated in such drawings.

#### 5.02 Underground Facilities

A. Information and data shown or indicated in the Bidding Documents with respect to existing Underground Facilities at or contiguous to the Site is based upon information and data furnished to Owner and Engineer by owners of such Underground Facilities, including Owner, or others.

#### 5.04 Hazardous Environmental Condition

- A. The Supplementary Conditions identify those reports and drawings relating to a Hazardous Environmental Condition identified at the Site, if any, the ENGINEER has used in preparing the Bidding Documents.
- B. Copies of reports and drawings referenced above will be made available to any Bidder on request. These reports and drawings are not part of the Contract Documents, but the Technical Data contained therein upon whose accuracy Bidder is entitled to rely, as provided in the General Conditions, has been identified and established in the Supplementary Conditions. Bidder is responsible for any interpretation or conclusion Bidder draws from any Technical Data or any other data, interpretations, opinions, or information contained in such reports or shown or indicated in such drawings.
- 5.05 Adequacy of Data: Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to subsurface conditions, other physical conditions and Underground Facilities, and possible changes in the Bidding Documents due to differing or unanticipated subsurface or physical conditions appear in Paragraph

- 5.03, 5.04 and 5.05 of the General Conditions. Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to a Hazardous Environmental Condition at the Site, if any, and possible changes in Contract Documents due to any Hazardous Environmental conditions uncovered or revealed at the Site which was not shown or indicated on the Drawings or Work, appear in Paragraph 5.04 of the General Conditions.
- 5.06 On request, Owner will provide Bidder access to the Site to conduct such examinations, investigations, explorations, tests, and studies as Bidder deems necessary for submission of a Bid. Bidder shall fill all holes and clean up and restore the Site to its former condition upon completion of such explorations, investigations, tests, and studies. Bidder shall comply with all applicable Laws and Regulations relative to excavation and utility locates.
- 5.07 Reference is made to Article 8 of the Supplementary Conditions for the identification of the general nature of other work, if any, that is to be performed at the Site by Owner or others (such as utilities and other prime contractors) that relates to the Work contemplated by these Bidding Documents. On request, Owner will provide to each Bidder for examination access to or copies of Contract Documents (other than portions thereof related to price) for such other work.
- 5.08 It is responsibility of each Bidder before submitting a Bid to:
  - A. Examine and carefully study the Bidding Documents, the other related data identified in the Bidding Documents, and any Addenda;
  - B. Visit the Site and become familiar with and satisfy Bidder as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work;
  - C. Become familiar with and satisfy Bidder as to all federal, state, and local laws and regulations that may affect cost, progress, or performance of the Work;
  - D. Carefully study all: (1) reports of explorations and tests of subsurface conditions at or contiguous to the Site and all drawings of physical conditions in or relating to existing surface or subsurface structures, if any, at or contiguous to the Site (except Underground Facilities) which have been identified in the Supplementary Conditions as provided in Paragraph 5.02 of the General Conditions, and (2) reports and drawings of Hazardous Environmental Conditions, if any, at the Site which have been identified in the Supplementary Conditions as provided in Paragraph 5.06 of the General Conditions;
  - E. Obtain and carefully study (or accept consequences for not doing so) all additional or supplementary examinations, investigations, explorations, tests, studies, and data concerning conditions (surface, subsurface, and Underground Facilities) at or contiguous to the Site which may affect cost, progress, or performance of the Work or which relate to any aspect of the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder, including applying any specific means, methods, techniques, sequences, and procedures of construction expressly required by the Bidding Documents, and safety precautions and programs incident thereto;
  - F. Agree at the time of submitting its Bid that no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of its Bid

- for performance of the Work at the price(s) bid and within the times and in accordance with the other terms and conditions of the Bidding Documents;
- G. Become aware of the general nature of the work, if any, to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents.
- H. Correlate the information known to Bidder, information and observations obtained from visits to the Site, reports and drawings identified in the Bidding Documents, and all additional examinations, investigations, explorations, tests, studies, and data with the Bidding Documents;
- I. Promptly give Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder discovers in the Bidding Documents and confirm that the written resolution thereof by Engineer is acceptable to Bidder; and
- J. Determine that the Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance of the Work.
- 5.09 The submission of a Bid will constitute an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article 2, that without exception the Bid is premised upon performing and furnishing the Work required by the Bidding Documents and applying any specific means, methods, techniques, sequences, and procedures of construction that may be shown or indicated or expressly required by the Bidding Documents, that Bidder has given Engineer written notice of all conflicts, errors, ambiguities, and discrepancies that Bidder has discovered in Bidding Documents and the written resolutions thereof by Engineer are acceptable to Bidder, and that the Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performing and furnishing the Work.

#### ARTICLE 6 - BIDDER'S REPRESENTATIONS AND CERTIFICATIONS

- 6.01 Express Representations and Certificates in Bid Form, Agreement
  - A. The Bid Form that each Bidder will submit contains express representations regarding the Bidder's examination of Project documentation, Site visit, and preparation of the Bid, and certifications regarding lack of collusion or fraud in connection with the Bid. Bidder should review these representations and certifications and assure that Bidder can make the representations and certifications in good faith, before executing and submitting its Bid.
  - B. If Bidder is awarded the Contract, Bidder (as Contractor) will make similar express representations and certifications when it executes the Agreement.

#### **ARTICLE 7 - INTERPRETATIONS AND ADDENDA**

7.01 All questions about the meaning or intent of the Bidding Documents are to be submitted to Issuing Office / Engineer in writing and to be given consideration must be received seven (7) days prior to the date for opening Bids. Interpretations or clarifications considered necessary by Engineer in response to such questions will be issued by Addenda emailed to all parties recorded by Issuing Office as having received the Bidding Documents. Prospective Bidders will be sent Addenda by email with return receipt

- requested no later than five (5) days prior to the date for opening Bids. Questions received less than seven (7) days prior to the date for opening bids will not be answered. Only questions answered by Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect.
- 7.02 Addenda may be issued to clarify, correct, or change the Bidding Documents as deemed advisable by Owner or Engineer.
- 7.03 No Addenda will be issued later than five (5) days prior to the date for opening bids except an Addenda, if necessary, to postpone the date for receipt of bids or withdrawing the Request for Bids.
- 7.04 Requests to clarify the source of materials, equipment suppliers, or any other such matter which does not modify, change, increase, or decrease the scope of work will be answered by Engineer up to the date of opening bids. No written responses will be made.

#### **ARTICLE 8 - BID SECURITY**

- 8.01 A Bid must be accompanied by Bid security made payable to Owner in an amount of ten (10) percent of Bidder=s maximum Bid price and in the form of a cashier's or certified check or a Bid bond (EJCDC No. C-430, 2002 Edition) issued by a surety meeting the requirements of Article 6 of the General Conditions.
- 8.02 The Attorney-In-Fact (Resident Agent) who executes the Bid bond on behalf of the Surety must attach a notarized copy of his Power-Of-Attorney as evidence of Agent's authority to bind the Surety on the date of execution of the Bid bond.
- 8.03 The Bid security of the apparent Successful Bidder will be retained until such Bidder has executed the Contract Documents, furnished the required Contract security and met the other conditions of the Notice of Award whereupon the Bid security will be released. If the Successful Bidder fails to execute and deliver the Contract Documents and furnish the required contract security within 15 days after the Notice of Award, Owner may annul the Notice of Award and the Bid security of that Bidder will be forfeited per ORS 279C.385.
- 8.04 The Bid security of other Bidders whom Owner believes to have a reasonable chance of receiving the award may be retained by Owner until the earlier of seven (7) days after the Effective Date of the Agreement or sixty-one (61) days after the Bid opening, whereupon Bid security furnished by such Bidders will be returned.
- 8.05 Bid security of other Bidders whom OWNER believes do not have a reasonable chance of receiving the award will be returned within seven (7) days after the Bid opening.

#### **ARTICLE 9 - CONTRACT TIMES**

9.01 The number of days within which the Work is to be ready for final payment are set forth in the Bid Form.

#### **ARTICLE 10 - LIQUIDATED DAMAGES**

10.01 Provisions for liquidated damages are set forth in the Agreement.

#### ARTICLE 11 - SUBSTITUTE AND/OR EQUAL ITEMS

- 11.01 The Contract for the Work, if awarded, will be on the basis of materials and equipment specified or described in the Bidding Documents, or equal materials and equipment as defined in Paragraph 7.05 of the General Conditions, or those substitute materials and equipment approved by the Engineer and identified by Addendum. The materials and equipment described in the Bidding Documents establish a standard of required type, function and quality to be met by any proposed substitute or equal item. Request for Engineer=s clarification of materials and equipment considered equal prior to the Effective Date of the Agreement must be received by the Engineer at least five (5) days prior to the date for receipt of Bids. No item of material or equipment will be considered by Engineer as a substitute unless written request for approval has been submitted by Bidder and has been received by Engineer at least fifteen (15) days prior to the date for receipt of Bids. Each request shall conform to the requirements of Paragraph 7.06 of the General Conditions. The burden of proof of the merit of the proposed item is upon the Bidder. Engineer's decision of approval or disapproval of a proposed item will be final. If Engineer approves any proposed substitute item, such approval will be set forth in an Addendum issued to all prospective Bidders. Bidders shall not rely upon approvals made in any other manner.
- 11.02 All prices that Bidder sets forth in its Bid will be based on the presumption that the Contractor will furnish the materials and equipment specified or described in the Bidding Documents, as supplemented by Addenda. Any assumptions regarding the possibility of post-bid approvals of "or equal" pr substitution requests are made at the Bidder's sole risk.

#### **ARTICLE 12 - SUBCONTRACTORS, SUPPLIERS, AND OTHERS**

- 12.01 Disclosure of First-Tier Subcontractors
  - A. In accordance with ORS 279C.370, if Bidder's bid on a public improvement project exceed \$100,000, the Bidder shall, within two working hours after the Bid Closing Time, submit to the Owner, on a Proposed First-Tier Subcontractors Disclosure Form (see Section 00440) provided by the Owner, a disclosure identifying any first-tier subcontractors that will furnish labor or labor and materials and whose contract value is equal to or greater than:
    - 1. Five (5) percent of the total bid amount, but at least \$15,000, or
    - 2. \$350,000 regardless of the percentage of the total bid amount.
  - B. For each Subcontractor listed, Bidders shall state:
    - 1. The name of the Subcontractor;
    - 2. The Subcontractor's Construction Contractor's Board Number;
    - 3. The dollar amount of the subcontract; and
    - 4. The category of Work that the Subcontractor would be performing.

- C. If no subcontracts subject to the above disclosure requirements are anticipated, a Bidder shall so indicate by entering "NONE". For each Subcontractor listed, Bidders shall provide all requested information. An incomplete form will be cause for rejection of the Bid.
- D. The Subcontractor Disclosure Form may be submitted either:
  - 1. By filling out the Subcontractor Disclosure Form included in the Bidding Documents and submitting it together with the Bid at the time and place designated for receipt of Bids.
  - 2. By removing or copying it from the Bid Documents, filling it out and submitting it separately to the Owner.
- E. Bidder=s failure to complete and submit a first-tier Subcontractor Disclosure Form in accordance with ORS 279C.370 and with the instructions in this Article 12 will result in the Bid being declared non-responsive and the contract will not be awarded to that Bidder.
- 12.02 If requested by Owner or Engineer, the apparent Successful Bidder will within seven (7) days after the day of the Bid opening submit to Owner and Engineer an experience statement with pertinent information as to similar projects and other evidence of qualification for each such Subcontractor, Supplier, individual or entity. If Owner or Engineer after due investigation has reasonable objection to any proposed Subcontractor, Supplier, individual or entity, Owner may before giving the Notice of Award request the apparent Successful Bidder to submit an acceptable substitute without an increase in Bid price.
- 12.03 If apparent Successful Bidder declines to make any such substitution, Owner may award the Contract to the next lowest responsible Bidder that proposes to use acceptable Subcontractors, Suppliers, individuals, or entities. Declining to make requested substitutions will not constitute grounds for forfeiture of the Bid security of any Bidder. Any Subcontractor, Supplier, individual, or entity so listed and against which Owner and Engineer makes no written objection prior to the giving of the Notice of Award will be deemed acceptable to Owner and Engineer subject to revocation of such acceptance after the Effective Date of the Agreement as provided in Paragraph 7.06 of the General Conditions.
- 12.04 Contractor shall not be required to employ any Subcontractor, Supplier, individual, or entity against whom Contractor has reasonable objection.
- 12.05 The Contractor shall not award work to Subcontractor(s) in excess of the limits stated in Supplementary Conditions 7.07.

#### **ARTICLE 13 - PREPARATION OF BID**

- 13.01 The Bid Form(s) and Exhibits are included in the Bidding Documents.
- 13.02 All blanks on the Bid Form and Exhibits shall be completed by printing in ink or by typewriter and the Bid signed in ink. Erasures or alterations shall be initialed in ink by the

- person signing the Bid Form. A Bid price shall be indicated for each bid or unit price item listed therein, or the words "No Bid", "No Change", or "Not Applicable" entered.
- 13.03 A Bid by an individual shall show the Bidder=s name and business address.
- 13.04 A Bid by a partnership shall be executed in the partnership name and signed by a partner (whose title must appear under the signature), accompanied by evidence of authority to sign. The business address of the partnership shall be provided on the Bid Form.
- 13.05 A Bid by a corporation shall be executed in the corporate name by the president or a vicepresident or other corporate officer accompanied by evidence of authority to sign. The corporation business address and state of incorporation shall be provided on the Bid Form.
- 13.06 A Bid by a joint venture shall be executed by each joint venturer in the manner indicated on the Bid Form. The business address of the joint venture must be provided on the Bid Form.
- 13.07 A Bid by a limited liability company shall be executed in the name of the firm by a member and accompanied by evidence of authority to sign. The state of formation of the firm and the business address of the firm must be provided on the Bid Form.
- 13.08 All names shall be typed or printed in ink below the signatures.
- 13.09 The Bid shall contain an acknowledgment of receipt of all Addenda, the numbers and dates of which shall be filled in on the Bid Form.
- 13.10 Postal and email addresses and telephone number for communication regarding the Bid must be shown.
- 13.11 Pursuant to ORS 279C.365 (1)(K) Owner may not receive or consider a bid unless the bidder is licensed by the Construction Contractors Board of the State of Oregon. All bidders must complete the certificate of licensing by the Construction Contractors Board included in the Bid Documents (Section 00460) and submit with their bids on or before the time scheduled for the opening of bids. Failure to do so will result in the Bid being non-responsive.
- 13.12 The Bidder shall indicate, in the space provided, as to whether the Bidder is a Resident Bidder as defined in ORS 279A.120.
- 13.13 The Bidder, by executing the Bid, certifies that the provisions required by ORS 279C.840 relating to prevailing wage rates shall be included in this Contract, are understood by the Bidder and will be complied with during the duration of the Work. A separate statement from the Bidder is not required.
- 13.14 Pursuant to ORS 279C.505 (2) all Bidders must certify with their bids that they have an employee drug testing program in place. All bidders must complete the Employee Drug Certification (Section 00462) included in the Bid Documents and submit with their bids on or before the time scheduled for the opening bids.
- 13.15 Pursuant to ORS 279A.110 (4) all bidders must certify with their bids that they have not discriminated against any minority, women or emerging small business enterprises. All

- bidders must complete the Non-Discrimination Certification (Section 00463) included in the Bid Documents and submit that along with their bids on or before the time scheduled for the opening of bids. Failure to do so will result in the Bid being non-responsive.
- 13.16 All Bidders must complete the Certification of Compliance with Oregon Tax Laws included in the Bid Documents (Section 00464) and submit with their bids on or before the time scheduled for the opening of bids. Failure to do so will result in the Bid being non-responsive.
- 13.14 The Bidder, by executing the Bid, certifies that the provisions required by ORS 279C.836, unless exempt under Sections (4), (7), (8) or (9), before starting Work on this Contract, or any subcontract hereunder, Contractor and all subcontractors shall have on file with the Construction Contractor's Board a public works bond with a corporate surety authorized to do business in the State of Oregon in the amount of \$30,000.
- 13.15 Bids conditioned in any means will not be accepted by the Owner.

#### ARTICLE 14 - BASIS OF BID; COMPARISON OF BIDS

#### 14.01 Unit Price

- A. Bidders must submit a Bid on a unit price basis for each item of Work listed in the unit price section of the Bid Form.
- B. The "Bid Price" (sometimes referred to as the extended price) for each unit price Bid item will be the product of the "Estimated Quantity", which Owner or its representative has set forth in the Bid Form, for the item and the corresponding "Bid Unit Price" offered by the Bidder. The total of all unit price Bid items will be the sum of these "Bid Prices"; such total will be used by Owner for Bid comparison purposes. The final quantities and Contract Price will be determined in accordance with Paragraph 13.03 of the General Conditions.
- C. Discrepancies between the multiplication of units of Work and unit prices will be resolved in favor of the unit prices. Discrepancies between the indicated sum of any column of figures and the correct sum thereof will be resolved in favor of the correct sum.

#### 14.02 Allowances

A. For cash allowances the Bid price must include such amounts as the Bidder deems proper for Contractor's overhead, costs, profit, and other expenses on account of cash allowances, if any, named in the Contract Documents, in accordance with Paragraph 13.02.B of the General Conditions.

#### **ARTICLE 15 - SUBMITTAL OF BID**

15.01 The Bidding Documents include one separate unbound copy of the Bid Form, and, if required, the Bid Bond Form. The unbound copy of the Bid Form is to be completed and submitted with the Bid security and the other documents required to be submitted under the terms of Article 2 of the Bid Form.

- 15.02 A Bid shall be submitted no later than the date and time prescribed and at the place indicated in the Advertisement for Bids and shall be enclosed in an opaque sealed envelope plainly marked with the Project title (and, if applicable, the designated portion of the Project for which the Bid is submitted), the name and address of Bidder, and shall be accompanied by the Bid security and other required documents. If a Bid is sent by mail or other delivery system, the sealed envelope containing the Bid shall be enclosed in a separate envelope plainly marked on the outside with the notation "BID ENCLOSED". When using the mail or other delivery system, the Bidder is totally responsible for the mail or other delivery system delivering the Bid at the place and prior to the time indicated in the Advertisement for Bid. A mailed Bid shall be addressed to Owner at address in Article 1.01 of Bid Form.
- 15.03 Oral, telephonic, telephonic facsimile or e-mail Bids or modifications of any Bid will not be accepted or receive consideration.
- 15.04 Bids received after the date and time prescribed for the opening of bids or not submitted at the correct location as indicated in the Advertisement for Bids, or in the designated manner, will not be accepted or receive consideration and will be returned to the bidder unopened.

#### ARTICLE 16 - MODIFICATION AND WITHDRAWAL OF BID

- 16.01 An unopened Bid may be withdrawn by an appropriate document duly executed in the same manner that a Bid must be executed and delivered to the place where Bids are to be submitted prior to the date and time for the opening of Bids. Upon receipt of such notice, the unopened Bid will be returned to the Bidder.
- 16.02 If a Bidder wishes to modify its Bid prior to the Bid Opening, Bidder must withdraw its initial Bid in the manner specified in Paragraph 15.01 and submit a new Bid prior to the date and time for the opening of Bids.
- 16.03 No Bidder may withdraw its bid after the time set for the receipt of bids, and thereafter during the period the bids remain open, except as provided in Paragraph 16.04 of the Instructions to Bidders.
- 16.04 If within 24 hours after Bids are opened any Bidder files a duly signed written notice with Owner and promptly thereafter demonstrates to the reasonable satisfaction of Owner that there was a material and substantial mistake in the preparation of its Bid, that Bidder may withdraw its Bid, and the Bid security will be returned. Thereafter, if the Work is rebid or negotiated, that Bidder will be disqualified from further bidding on the Work. This provision to withdraw a Bid without forfeiting the Bid security does not apply to Bidder=s errors in judgment in preparing the Bid.

#### **ARTICLE 17 - OPENING OF BIDS**

17.01 Bids will be publicly opened at the time and place indicated in the Advertisement for Bids and, unless obviously non-responsive, read aloud publicly. An abstract of the amounts of the base Bids and major alternates, if any, will be made available to Bidders after the opening of Bids.

#### ARTICLE 18 - BIDS TO REMAIN SUBJECT TO ACCEPTANCE

18.01 All Bids will remain subject to acceptance for the period of time stated in the Bid Form.

#### ARTICLE 19 - EVALUATION OF BIDS AND AWARD OF CONTRACT

- 19.01 Owner reserves the right to reject any or all Bids, including without limitation, nonconforming, nonresponsive, unbalanced, or conditional Bids. Owner may reject any Bid not in compliance with all prescribed public bidding procedures and requirements and may reject for good cause, any and all Bids upon a finding of the Owner that it is in the public interest to do so. Owner further reserves the right to reject the Bid of any Bidder whom it finds, after reasonable inquiry and evaluation, to be non-responsible. Owner also reserves the right to waive all informalities not involving price, time, or changes in the Work and to negotiate contract terms with the Successful Bidder.
- 19.02 More than one Bid for the same Work from an individual or entity under the same or different names will not be considered. Reasonable grounds for believing that any Bidder has an interest in more than one Bid for the Work may be cause for disqualification of that Bidder and the rejection of all Bids in which that Bidder has an interest.
- 19.03 In evaluating Bids, Owner will consider whether or not the Bids comply with the prescribed requirements, and such alternates, unit prices and other data, as may be requested in the Bid Form or prior to the Notice of Award.
- 19.04 In evaluating Bidders, Owner will consider the qualifications of Bidders and may consider the qualifications and experience of Subcontractors, Suppliers, and other individuals or entities proposed for those portions of the Work for which the identity of Subcontractors, Suppliers, and other individuals or entities must be submitted as provided in the Supplementary Conditions.
- 19.05 Owner may conduct such investigations as Owner deems necessary to establish the responsibility, qualifications, and financial ability of Bidders, proposed Subcontractors, Suppliers, individuals, or entities to perform the Work in accordance with the Contract Documents.
- 19.06 If the Contract is to be awarded, Owner will award the Contract to the responsible Bidder whose Bid, conforming with all the material terms and conditions of the Instructions to Bidders, is lowest, price and other factors considered.
  - 1. For the determination of the apparent low Bidder when unit price bids are submitted, Bids will be compared on the basis of the total of the products of the estimated quantity of each item and unit price Bid for that item, together with any lump sum items.
- 19.07 If the Contract is to be awarded, Owner will provide written Notice of Intent to Award to all Bidders of the Owner=s intent to award the Contract. Owner's award shall not be final until the later of the following:
  - 1. Five (5) days after the date of the Notice of Intent; or
  - 2. The Owner provides a written response to all timely-filed protests that denies the protest and affirms the award.

#### **ARTICLE 20 - BONDS AND INSURANCE**

- 20.01 Article 6 of the General Conditions, as may be modified by the Supplementary Conditions, sets forth Owner's requirements as to performance and payment bonds and insurance. When the Successful Bidder delivers the executed Agreement to Owner, it must be accompanied by such bonds and certificates of insurance coverage.
- 20.02 When the Successful Bidder delivers the executed Agreement, Performance and Payment Bonds, Certificates of Insurance to Issuing Office for Owner's signature, it shall be accompanied by proof that the Successful Bidder has an Employee Drug Testing Program in place per ORS 279C.505. Such proof may include a copy of the Successful Bidder's adopted policy or program for employee drug testing.

#### **ARTICLE 21 - SIGNING OF AGREEMENT**

- 21.01 When Owner gives a Notice of Award to the Successful Bidder, it shall be accompanied by at least four (4) copies of the Project Manual, including unsigned counterparts of the Agreement with the other Contract Documents which are identified in the Agreement as attached thereto and a copy of the original submitted Bidding Requirements. Within 15 days thereafter, Successful Bidder shall sign and deliver the four (4) counterparts of the Agreement and attached document requirements to Engineer for review and forwarding to Owner. Within ten (10) days thereafter, Owner shall deliver one fully executed counterpart of the Agreement to Successful Bidder with appropriate identification, together with printed and electronic copies of the Contract Documents a stated in Paragraph 2.02 of the General Conditions.
- 21.02 The date indicated in the Agreement, between Owner and Contractor, on which the Agreement becomes effective, will be initially set at thirty (30) days after the date the Contract is awarded. The effective date may be modified by mutual consent of the Owner and Contractor.

#### **ARTICLE 22 - CODE OF CONDUCT**

22.01 No member, officer, or employees of the Owner, or its designees or agents, no member of the governing body of Owner and no other public official of Owner who exercises any functions or responsibilities with respect to this Contract during his/her tenure or for one year thereafter, shall have any interest, direct or indirect, in Work to be performed in connection with this Contract. All Contractors shall incorporate, or cause to be incorporated, in all <u>sub</u>contracts a provision prohibiting such interest.

#### **ARTICLE 23 - CONTRACTOR SUBMITTALS**

23.01 As noted in Article 2.05A of the General Conditions, required pre-construction Contractor submittals shall be delivered to the Engineer within ten (10) days after the effective date of the Agreement. These shall include schedules of estimated progress and expected submissions/submittals.

#### **ARTICLE 24 - BIDDER'S CERTIFICATION**

24.01 QUALIFICATIONS OF BIDDERS. Each Bidder shall certify that they are skilled, experienced and regularly engaged in the general class and type of work called for in these Contract Documents; that they are familiar with the hazards associated with such work; and that they can satisfactorily perform such work. By completing and signing of the Bid Form, Bidders are certifying that they possess all of the above qualifications.

#### **ARTICLE 25 - PROCUREMENT PROTEST PROCEDURES**

25.01 Protests of procurement procedures based upon an alleged violation of the procurement requirements set forth in ORS Chapter 279C or federal regulations found at 40 CFR SS 33.001 through 33.1030 shall conform to the OWNER PROCUREMENT PROTEST PROCEDURES.

#### **ARTICLE 26 - NOTICE OF SPECIAL CONDITIONS**

- 26.01 Bidder's attention is directed to the following sections and requirements contained elsewhere in these Contract Documents:
  - 1. Inspection and Testing of Materials.
  - 2. Contractor's Insurance Requirements.
  - 3. BOLI Prevailing Wage Rates for Public Works Contracts in Oregon, ORS 279C.800 to 279 C.870.

#### **ARTICLE 27 - PRECONSTRUCTION CONFERENCE**

27.01 Within thirty (30) days after the Effective Date of the Agreement, or within ten (10) days after the Engineer receives the Contractor=s submittals (see Article 23 above), whichever is later; a preconstruction conference will be held as stated in Article 2.04 of the General Conditions to discuss the work and specific requirements. The preconstruction conference shall be held prior to issuance of the Notice to Proceed. The Contractor will be notified of the date, time and location at least five (5) days prior to the preconstruction conference.

#### **ARTICLE 28 - NOTICE TO PROCEED**

- 28.01 The Notice to Proceed shall be issued within thirty-five (35) days after the effective date of the Agreement unless the Owner and Contractor mutually agree to a time extension.
- 28.02 Construction may not commence until the Notice to Proceed is issued. If the Notice is not issued within ninety (90) days after the bid opening, or within the period set by mutual agreement, the Contractor may terminate the agreement without further liability on the part of either the Contractor or Owner, unless Contractor causes the delay in issuance of the Notice to Proceed.





333 S. 4TH STREET COOS BAY, OREGON 97420 P: 541.269.1166 www.hgel.com

# SUBSTITUTION REQUEST

(During the Bidding Phase)

Project:	_ Substitution Request Number:
	_ From:
To:	Date:
	A/E Project Number:
Re:	Contract For:
Specification Title:	Description:
Section: Page:	Article/Paragraph:
Proposed Substitution:	Phone:
Trade Name:	Model No.:
Attached data includes product description, specificat adequate for evaluation of the request; applicable po	tions, drawings, photographs, and performance and test data rtions of the data are clearly identified.
Attached data also includes a description of changes trequire for its proper installation.	to the Contract Documents that the proposed substitution will
respects to specified product.  Same warranty will be furnished for pro Same maintenance service and source Proposed substitution will have no adveschedule.  Proposed substitution does not affect to	investigated and determined to be equal or superior in all opposed substitution as for specified product. e of replacement parts, as applicable, is available. erse effect on other trades and will not affect or delay progress dimensions and functional clearances. s to building design, including A/E design, detailing, and
Submitted by: Signed by: Firm: Address:	
<del>-</del>	
A/E's REVIEW AND ACTION	
Substitution approved - Make submittals in accorded And/or Equal Items	ance with 00200 Instructions to Bidders, Article 11 – Substitute in accordance with 00200 Instructions to Bidders, Article 11 – d materials.
Signed by:	Date:



#### **BID FORM FOR CONSTRUCTION CONTRACT**

The terms used in this Bid with initial capital letters have the meanings stated in the Instructions to Bidders, the General Conditions, and the Supplementary Conditions.

#### **ARTICLE 1—OWNER AND BIDDER**

1.01 This Bid is submitted to:

City of Coquille - URA

City Hall

851 N Central Blvd, Coquille OR, 97423

Oregon, 97423

1.02 The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an Agreement with Owner in the form included in the Bidding Documents to perform all Work as specified or indicated in the Bidding Documents for the prices and within the times indicated in this Bid and in accordance with the other terms and conditions of the Bidding Documents.

#### **ARTICLE 2—ATTACHMENTS TO THIS BID**

- 2.01 The following documents are submitted with and made a condition of this Bid:
  - A. Required Bid Security (C-430, Bid Bond)
  - B. List of Proposed First-Tier Subcontractors (C-440, First-Tier Subcontractors Disclosure Form) (within two hours of bid closing)
  - C. Bidders Qualification Statement (C-451, Qualification Statement) (unless prequalified per Section 3.02 of Instructions to Bidders)
  - D. Bidder Responsibility Certification (Section 00460, Contractors Responsibility Certification)
  - E. Residency Statement (Section 00461, Residency Statement)
  - F. Employee Drug Certification (Section 00462, Employee Drug Certification)
  - G. Non-Discrimination Certification (Section 00463, Non-Discrimination Certification)
  - H. Certificate of Compliance with Oregon Tax laws (Section 00464, State Tax Law Compliance Certification)
  - I. Certificate of Licensing by the Construction Contractors Board (Section 00465, CCB License Certification)

#### ARTICLE 3—BASIS OF BID—LUMP SUM BID AND UNIT PRICES

#### 3.01 Unit Price Bids

A. Bidder will perform the following Work at the indicated unit prices:

#### 1. Base Bid:

1	Mobilization- Bonds & Insurance	LS	1		
2	Construction Facilities & Temporary Controls	LS	1		
3	Traffic & Pedestrian Control	LS	1		
4	Construction Stakeout	LS	1		
	Striping Rer	moval			
5	Longitudinal Stripe Removal	LF	6700		
6	Legend Removal	SF	550		
7	Transverse Stripe Removal	SF	425		
	Stripin	g			
8*	Longitudinal Stripe "Method AB"	LF	6800		
9	Transverse Stripe "Type AB"	SF	2600		
10	Pavement Legend Arrows "Type AB"	Each	9		
11	Pavement Legend "STOP" "Type AB"	Each	1		
12	Bike Lane Stencil "Type B-HS"	Each	18		
13	Buffered Bike Lane Chevron	LF	2900		
13a	Buffered Bike Lane with Horizontal Stripe in lieu of Chevron pattern	LF	2900		
14	Yellow Curb	LF	200		
Temporary Signage					
15	W23-1 Warning Sign	Each	3		
16	Temporary Sign Support	Each	3		

<sup>\*</sup>Does not include the refresh of yellow striping to remain

<sup>&</sup>quot;a" Denotes Alternate Bid Item

- B. Bidder acknowledges that:
  - 1. each Bid Unit Price includes an amount considered by Bidder to be adequate to cover Contractor's overhead and profit for each separately identified item, and
  - estimated quantities are not guaranteed, and are solely for the purpose of comparison of Bids, and final payment for all Unit Price Work will be based on actual quantities, determined as provided in the Contract Documents.
- 3.02 Total Bid Price (Lump Sum and Unit Prices, Both Schedules Combined)

Total Bid Price (Total of all Lump Sum and Unit Price Bids)	\$	
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#### **ARTICLE 4—TIME OF COMPLETION**

- 4.01 Bidder agrees that the Work will be substantially complete and will be completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions on or before the dates or within the number of calendar days indicated in the Agreement.
- 4.02 Bidder accepts the provisions of the Agreement as to liquidated damages.

# ARTICLE 5—BIDDER'S ACKNOWLEDGEMENTS: ACCEPTANCE PERIOD, INSTRUCTIONS, AND RECEIPT OF ADDENDA

- 5.01 Bid Acceptance Period
  - A. This Bid will remain subject to acceptance for 60 days after the Bid opening, or for such longer period of time that Bidder may agree to in writing upon request of Owner.
- 5.02 Instructions to Bidders
  - A. Bidder accepts all of the terms and conditions of the Instructions to Bidders, including without limitation those dealing with the disposition of Bid security.
- 5.03 Receipt of Addenda
  - A. Bidder hereby acknowledges receipt of the following Addenda:

Addendum Number	Addendum Date

#### ARTICLE 6—BIDDER'S REPRESENTATIONS AND CERTIFICATIONS

- 6.01 Bidder's Representations
  - A. In submitting this Bid, Bidder represents the following:
    - 1. Bidder has examined and carefully studied the Bidding Documents, including Addenda.
    - 2. Bidder has visited the Site, conducted a thorough visual examination of the Site and adjacent areas, and become familiar with the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
    - 3. Bidder is familiar with all Laws and Regulations that may affect cost, progress, and

performance of the Work.

- 4. Bidder has carefully studied the reports of explorations and tests of subsurface conditions at or adjacent to the Site and the drawings of physical conditions relating to existing surface or subsurface structures at the Site that have been identified in the Supplementary Conditions, with respect to the Technical Data in such reports and drawings.
- 5. Bidder has carefully studied the reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, with respect to Technical Data in such reports and drawings.
- 6. Bidder has considered the information known to Bidder itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and the Technical Data identified in the Supplementary Conditions or by definition, with respect to the effect of such information, observations, and Technical Data on (a) the cost, progress, and performance of the Work; (b) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder, if selected as Contractor; and (c) Bidder's (Contractor's) safety precautions and programs.
- 7. Based on the information and observations referred to in the preceding paragraph, Bidder agrees that no further examinations, investigations, explorations, tests, studies, or data are necessary for the performance of the Work at the Contract Price, within the Contract Times, and in accordance with the other terms and conditions of the Contract.
- 8. Bidder is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents.
- 9. Bidder has given Engineer or Landscape Architect written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder has discovered in the Bidding Documents, and of discrepancies between Site conditions and the Contract Documents, and the written resolution thereof by Engineer or Landscape Architect is acceptable to Contractor.
- 10. The Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.
- 11. The submission of this Bid constitutes an incontrovertible representation by Bidder that without exception the Bid and all prices in the Bid are premised upon performing and furnishing the Work required by the Bidding Documents.

#### 6.02 Bidder's Certifications

#### A. The Bidder certifies the following:

- 1. This Bid is genuine and not made in the interest of or on behalf of any undisclosed individual or entity and is not submitted in conformity with any collusive agreement or rules of any group, association, organization, or corporation.
- Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid.
- 3. Bidder has not solicited or induced any individual or entity to refrain from bidding.

- 4. Bidder has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the Contract. For the purposes of this Paragraph 8.02.A:
  - a. Corrupt practice means the offering, giving, receiving, or soliciting of anything of value likely to influence the action of a public official in the bidding process.
  - b. Fraudulent practice means an intentional misrepresentation of facts made (a) to influence the bidding process to the detriment of Owner, (b) to establish bid prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition.
  - c. Collusive practice means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish bid prices at artificial, non-competitive levels.
  - d. Coercive practice means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

Bidder:	
	(typed or printed name of organization)
Ву:	
	(individual's signature)
Name:	(typed or printed)
Title:	
	(typed or printed)
Date:	
	(typed or printed)
If Bidder is	a corporation, a partnership, or a joint venture, attach evidence of authority to sign.
Attest:	
	(individual's signature)
Name:	
Tial .	(typed or printed)
Title:	(typed or printed)
Date:	
	(typed or printed)
Address f	or giving notices:
D: 1.1.4.6	
Bidder's C	ontact:
Name:	(typed or printed)
Title:	(typed of printed)
ricic.	(typed or printed)
Phone:	
Email:	
Address:	
Bidder's (	Contractor License No.: (if applicable)

# 00430 - BID BOND (PENAL SUM FORM)

Bidder	Surety	
Name:	Name:	
Address (principal place of business):	Address (principal place of business):	
Q.,	D:4	
Owner	Bid	
Name: City of Coquille - URA	Project (name and location):	
Address (principal place of business):	City of Coquille URA – Central Boulevard Striping	
851 North Central Blvd.		
Coquille, Oregon 97420		
	Bid Due Date: July 29, 2025	
Bond		
Penal Sum:		
Date of Bond:		
Surety and Bidder, intending to be legally bound he	ereby, subject to the terms set forth in this Bid Bond,	
do each cause this Bid Bond to be duly executed by	an authorized officer, agent, or representative.	
Bidder	Surety	
(Full formal name of Bidder)	(Full formal name of Surety) (corporate seal)	
Ву:	Ву:	
(Signature)	(Signature) (Attach Power of Attorney)	
Name:	Name:	
(Printed or typed)	(Printed or typed)	
Title:	Title:	
Attest:	Attest:	
(Signature)	(Signature)	
Name:	Name:	
(Printed or typed)	(Printed or typed)	
Title:	Title:	
Notes: (1) Note: Addresses are to be used for giving any require	ed notice. (2) Provide execution by any additional parties, such as	

- 1. Bidder and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to pay to Owner upon default of Bidder the penal sum set forth on the face of this Bond. Payment of the penal sum is the extent of Bidder's and Surety's liability. Recovery of such penal sum under the terms of this Bond will be Owner's sole and exclusive remedy upon default of Bidder.
- 2. Default of Bidder occurs upon the failure of Bidder to deliver within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents.
- 3. This obligation will be null and void if:
  - 3.1. Owner accepts Bidder's Bid and Bidder delivers within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents, or
  - 3.2. All Bids are rejected by Owner, or
  - 3.3. Owner fails to issue a Notice of Award to Bidder within the time specified in the Bidding Documents (or any extension thereof agreed to in writing by Bidder and, if applicable, consented to by Surety when required by Paragraph 5 hereof).
- 4. Payment under this Bond will be due and payable upon default of Bidder and within 30 calendar days after receipt by Bidder and Surety of written notice of default from Owner, which notice will be given with reasonable promptness, identifying this Bond and the Project and including a statement of the amount due.
- 5. Surety waives notice of any and all defenses based on or arising out of any time extension to issue Notice of Award agreed to in writing by Owner and Bidder, provided that the total time for issuing Notice of Award including extensions does not in the aggregate exceed 120 days from the Bid due date without Surety's written consent.
- 6. No suit or action will be commenced under this Bond prior to 30 calendar days after the notice of default required in Paragraph 4 above is received by Bidder and Surety, and in no case later than one year after the Bid due date.
- 7. Any suit or action under this Bond will be commenced only in a court of competent jurisdiction located in the state in which the Project is located.
- 8. Notices required hereunder must be in writing and sent to Bidder and Surety at their respective addresses shown on the face of this Bond. Such notices may be sent by personal delivery, commercial courier, or by United States Postal Service registered or certified mail, return receipt requested, postage pre-paid, and will be deemed to be effective upon receipt by the party concerned.
- 9. Surety shall cause to be attached to this Bond a current and effective Power of Attorney evidencing the authority of the officer, agent, or representative who executed this Bond on behalf of Surety to execute, seal, and deliver such Bond and bind the Surety thereby.
- 10. This Bond is intended to conform to all applicable statutory requirements. Any applicable requirement of any applicable statute that has been omitted from this Bond will be deemed to be included herein as if set forth at length. If any provision of this Bond conflicts with any applicable statute, then the provision of said statute governs and the remainder of this Bond that is not in conflict therewith continues in full force and effect.
- 11. The term "Bid" as used herein includes a Bid, offer, or proposal as applicable.

#### SECTION 00440 - FIRST-TIER SUBCONTRACTOR DISCLOSURE FORM

ojec	t Name: <b>City of Coquil</b>	le – URA, Central Bou	ılevard Striping			
l CI	osing Date:					
Sı	ubcontractor List					
sι	bcontractor performing	ollar value of the subcontract for If no subcontractor will be perfor Ill enter "NONE" in the first line be				
	<u>Name</u>	<u>Address</u>	CCB#	Description of Work	\$ Value of <u>Subcontract</u>	
				<del></del>		
				<del></del>		
2.	Total value of work pr	ovided by all subcontra	actors including those listed above	e is \$		
Signature of Bidder			Printed Name of Bidder	Title	Company Submitting	

after bid closing time.

#### **Qualifications Statement**

#### **ARTICLE 1—GENERAL INFORMATION**

1.01 Provide contact information for the Business:

	Legal Name of Business:								
	Corporate Office								
	Name:			Phone numbe	er:				
	Title:			Email address	:				
	Business address of corpo	orate office:			•				
	Local Office								
	Name:			Phone numbe	er:				
	Title:			Email address	:				
	Business address of local	office:							
1.02	Describe information and the Desirance and a second state of the state of								
1.02	Provide information on the Business's organizational structure:								
	Form of Business: ☐ Sole Proprietorship ☐ Partnership ☐ Corporation								
	☐ Limited Liability Company ☐ Joint Venture comprised of the following companies:								
	1.								
	2.								
	3.								
	Provide a separate Qualif	Provide a separate Qualification Statement for each Joint Venturer.							
	Date Business was formed:			State in which Business was formed:					
	Is this Business authorize	in the Proj	ect location?	☐ Yes ☐ No ☐ Per	nding				
1.02	Identify all businesses that own Business in whole or in part (25% or greater), or that are wholly								
1.03	or partly (25% or greater)			101 III part (257	o or greater), or the	it are wholly			
	Name of business:		Affiliation:						
	Address:								
	Name of business:			Affiliation:					
	Address:								
	Name of business:		Affiliation:						
	Address:								

#### **Qualifications Statement**

1.04	Provide information regarding the Business's officers, partners, and limits of authority.								
	Name:		Title:						
	Authorized to sign	Limit	Limit of Authority: \$						
	Name:		Title:			·			
	Authorized to sign	contracts: ☐ Yes ☐ No	Limit	Limit of Authority: \$					
	Name:	Title:			•				
	Authorized to sign	Limit	Limit of Authority: \$						
	Name:	Title:							
	LE 2—LICENSING								
2.01	Provide information	regarding licensure for B	usiness:						
	Name of License:								
	Licensing Agency:								
	License No:			Date:					
	Name of License:								
	Licensing Agency:								
	License No:			Expiration Date:					
<b>ARTIC</b> 3.01	Provide information regarding Business's Diverse Business Certification, if any. Provide evidence of current certification.								
	Certification			Certifying Agency			Certification Date		
	☐ Disadvantaged Business Enterprise								
	☐ Minority Business Enterprise								
	☐ Woman-Owned Business Enterprise								
	☐ Small Business Enterprise								
	☐ Disabled Business Enterprise								
	☐ Veteran-Owned Business Enterprise								
	☐ Service-Disabled Veteran-Owned Business								
	☐ HUBZone Business (Historically Underutilized) Business								
	☐ Other								
	□ None		•						

#### **ARTICLE 4—SAFETY**

4.01	Provide information	regarding Business'	s safety organization	and safety performance.
------	---------------------	---------------------	-----------------------	-------------------------

Name of Business's Safety Officer:				
Safety Certifications				
Certification Name	Issuing Agency	Expiration		

4.02 Provide Worker's Compensation Insurance Experience Modification Rate (EMR), Total Recordable Frequency Rate (TRFR) for incidents, and Total Number of Recorded Manhours (MH) for the last 3 years and the EMR, TRFR, and MH history for the last 3 years of any proposed Subcontractor(s) that will provide Work valued at 10% or more of the Contract Price. Provide documentation of the EMR history for Business and Subcontractor(s).

Year									
Company	EMR	TRFR	МН	EMR	TRFR	МН	EMR	TRFR	МН

# **ARTICLE 5—FINANCIAL**

5.01 Provide information regarding the Business's financial stability. Provide the most recent audited financial statement, and if such audited financial statement is not current, also provide the most current financial statement.

Financial Institution:				
Business address:				
Date of Business's most recent financial statement:				
Date of Business's mos	☐ Attached			
Financial indicators from the most recent financial statement				
Contractor's Current Ratio (Current Assets ÷ Current Liabilities)				
Contractor's Quick Ratio ((Cash and Cash Equivalents + Accounts Receivable + Short Term Investments) ÷ Current Liabilities)				

# **ARTICLE 6—SURETY INFORMATION**

6.01 Provide information regarding the surety company that will issue required bonds on behalf of the Business, including but not limited to performance and payment bonds.

,	8	,			
Surety Name:					
Surety is a corpo	oration organiz	ed and existing under the laws o	f the s	state of:	
Is surety authori	ized to provide	surety bonds in the Project loca	tion?	☐ Yes ☐	□No
Is surety listed in "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" published in Department Circular 570 (as amended) by the Bureau of the Fiscal Service, U.S. Department of the Treasury?  □ Yes □ No					
Mailing Address (principal place of business):					
Physical Address (principal place of business):					
Phone (main): Phone (claims):					
7—INSURANCE  Provide information regarding Business's insurance company(s), including but not limited to its					

# **ARTICLE**

7.01 Provide information regarding Business's insurance company(s), including but not limited to its Commercial General Liability carrier. Provide information for each provider.

Name of insurance provider, and type of policy (CLE, auto, etc.):					
Insurance Prov	ider	Type of Policy (Coverage Provided)			
Are providers licensed or aut	horized to issue po	licies in the Project location?	☐ Yes ☐ No		
Does provider have an A.M.	Best Rating of A-VI	or better?	☐ Yes ☐ No		
Mailing Address					
(principal place of business):					
Physical Address					
(principal place of business):					
Phone (main):		Phone (claims):			

#### **ARTICLE 8—CONSTRUCTION EXPERIENCE**

8.01 Provide information that will identify the o	overall size and capacity of the Business
---	---

Average number of current full-time employees:	
Estimate of revenue for the current year:	
Estimate of revenue for the previous year:	

8.02 Provide information regarding the Business's previous contracting experience.

Years of experience with projects like the proposed project:				
As a general contractor:		As a joint venturer:		
Has Business, or a predecesso	or in inte	erest, or an affiliate ide	ntified in	Paragraph 1.03:
Been disqualified as a bidde	er by any	/ local, state, or federa	l agency	within the last 5 years?
☐ Yes ☐ No				
Been barred from contracting by any local, state, or federal agency within the last 5 years?				
☐ Yes ☐ No				
Been released from a bid in the past 5 years? $\square$ Yes $\square$ No				
Defaulted on a project or failed to complete any contract awarded to it? $\Box$ Yes $\Box$ No				
Refused to construct or refused to provide materials defined in the contract documents or in				
a change order? □ Yes □ No				
Been a party to any currently pending litigation or arbitration? $\square$ Yes $\square$ No				
Provide full details in a separate attachment if the response to any of these questions is Yes.				

- 8.03 List all projects currently under contract in Schedule A and provide indicated information.
- 8.04 List a minimum of three and a maximum of six projects completed in the last 5 years in Schedule B and provide indicated information to demonstrate the Business's experience with projects similar in type and cost of construction.
- 8.05 In Schedule C, provide information on key individuals whom Business intends to assign to the Project. Provide resumes for those individuals included in Schedule C. Key individuals include the Project Manager, Project Superintendent, Quality Manager, and Safety Manager. Resumes may be provided for Business's key leaders as well.

# **ARTICLE 9—REQUIRED ATTACHMENTS**

- 9.01 Provide the following information with the Statement of Qualifications:
  - A. If Business is a Joint Venture, separate Qualifications Statements for each Joint Venturer, as required in Paragraph 1.02.
  - B. Diverse Business Certifications if required by Paragraph 3.01.
  - C. Certification of Business's safety performance if required by Paragraph 4.02.
  - D. Financial statements as required by Paragraph 5.01.

- E. Attachments providing additional information as required by Paragraph 8.02.
- F. Schedule A (Current Projects) as required by Paragraph 8.03.
- G. Schedule B (Previous Experience with Similar Projects) as required by Paragraph 8.04.
- H. Schedule C (Key Individuals) and resumes for the key individuals listed, as required by Paragraph 8.05.
- I. Additional items as pertinent.

This Staten	nent of Qualifications is offered by:
Business:	
	(typed or printed name of organization)
Ву:	(individual's signature)
Name:	
	(typed or printed)
Title:	(typed or printed)
Date:	
(If Business	(date signed) s is a corporation, a partnership, or a joint venture, attach evidence of authority to sign.)
Attest:	(individual's signature)
Name:	(toward an artists (f)
Title:	(typed or printed)
	(typed or printed) r giving notices:
Designated	Representative:
Name:	
Title:	
Address:	(typed or printed)
Phone:	
Email:	

# Schedule A—Current Projects

Name of Organization						
Project Owner			Project Nam	ie		
General Description of Pi	roject					
Project Cost	<u>.</u>		Date Project	t		
Key Project Personnel	Project Manager	Project Super	intendent	Safe	ety Manager	Quality Control Manager
Name						
Reference Contact Information (listing names indicates approval to contacting the names individuals as a reference)						
	Name	Title/Position	Organ	ization	Telephone	Email
Owner						
Designer						
Construction Manager						
Project Owner			Project Nam	10		
General Description of Pi	roject		Project Nan	ie		
Project Cost	oject		Date Project			
Key Project Personnel	Project Manager	Project Super			Quality Control Manager	
Name	r roject wanager	i Toject Super	intendent	Jan	ety Manager	Quality Control Manager
	nation (listing names indicat	tes annroyal to contactin	g the names in	l dividuals as a	reference)	
Neterence contact infort	Name	ntion (listing names indicates approval to contacting  Name Title/Position		Organization Telephone		Email
Owner	Name	Title/Tosition	Organ	12411011	Тетерноне	Email
Designer						
Construction Manager						
construction Manager						
Project Owner			Project Nam	ie		
General Description of P	roject					
Project Cost			Date Project	t		
Key Project Personnel	Project Manager	Project Super	intendent	Safe	ety Manager	Quality Control Manager
Name						
Reference Contact Information (listing names indicates approval to contacting the names individuals as a reference)						
	Name	Title/Position	Organ	ization	Telephone	Email
Owner						
Designer						
Construction Manager						

Qualifications Statement

# Schedule B—Previous Experience with Similar Projects

Name of Organization						
Project Owner			Project Nam	ie		
General Description of Pr	roject					
Project Cost			Date Project	:		
Key Project Personnel	Project Manager	Project Supe	rintendent	Saf	ety Manager	Quality Control Manager
Name						
Reference Contact Inforr	nation (listing names indicat	es approval to contactin	g the names in	dividuals as a	reference)	
	Name	Title/Position	Organ	ization	Telephone	Email
Owner						
Designer						
Construction Manager						
Drainet Owner			Drainet Nam			
Project Owner	rainat		Project Nam	ie		
General Description of Project Cost	oject		Date Project			
Key Project Personnel	Project Manager	Project Manager Project Superi		-		Quality Control Manager
Name	Project Manager	Project Super	intendent	Sai	ety ivialiagei	Quality Control Manager
	nation /listing names indicat	os approval to contactin	a the names in	dividuals as a	roforonco)	
Reference Contact Information (listing names indicates approval to contacting the names individuals as a reference)  Name Title/Position Organization Telephone Email					 Email	
Overson	Name	Title/Position	Organ	IZation	Telephone	Eman
Owner						
Designer						
Construction Manager						
Project Owner			Project Nam	ie		
General Description of Pr	roject					
Project Cost			Date Project	-		
Key Project Personnel	Project Manager	Project Supe	rintendent	Saf	ety Manager	Quality Control Manager
Name						
Reference Contact Inform	nation (listing names indicat	es approval to contactin	g the names in	dividuals as a	reference)	
	Name	Title/Position	Organ	ization	Telephone	Email
Owner						
Designer						
Construction Manager						

	Qua	alifications Statement		
EJCDC	© C-451, Qualifications Stateme	nt—Schedule B—Previous Experier	nce with Similar Projects.	

# Schedule B—Previous Experience with Similar Projects

Name of Organization						
Project Owner			Project Nam	ie		
General Description of Pr	roject					
Project Cost			Date Project	:		
Key Project Personnel	Project Manager	Project Supe	rintendent	Saf	ety Manager	Quality Control Manager
Name						
Reference Contact Inforr	nation (listing names indicat	es approval to contactin	g the names in	dividuals as a	reference)	
	Name	Title/Position	Organ	ization	Telephone	Email
Owner						
Designer						
Construction Manager						
Drainet Owner			Drainet Nam			
Project Owner	rainat		Project Nam	ie		
General Description of Project Cost	oject		Date Project			
Key Project Personnel	Project Manager	Project Manager Project Superi		-		Quality Control Manager
Name	Project Manager	Project Super	intendent	Sai	ety ivialiagei	Quality Control Manager
	nation /listing names indicat	os approval to contactin	a the names in	dividuals as a	roforonco)	
Reference Contact Information (listing names indicates approval to contacting the names individuals as a reference)  Name Title/Position Organization Telephone Email					 Email	
Overson	Name	Title/Position	Organ	IZation	Telephone	Eman
Owner						
Designer						
Construction Manager						
Project Owner			Project Nam	ie		
General Description of Pr	roject					
Project Cost			Date Project	-		
Key Project Personnel	Project Manager	Project Supe	rintendent	Saf	ety Manager	Quality Control Manager
Name						
Reference Contact Inform	nation (listing names indicat	es approval to contactin	g the names in	dividuals as a	reference)	
	Name	Title/Position	Organ	ization	Telephone	Email
Owner						
Designer						
Construction Manager						

# Schedule C—Key Individuals

Project Manager		
Name of individual		
Years of experience as project manager		
Years of experience with this organization		
Number of similar projects as project manager		
Number of similar projects in other positions		
Current Project Assignments	•	
Name of assignment	Percent of time used for this project	Estimated project completion date
		·
Reference Contact Information (listing names indicates a	proval to contact named ind	ividuals as a reference)
Name	Name	
Title/Position	Title/Position	
Organization	Organization	
Telephone	Telephone	
Email	Email	
Project	Project	
Candidate's role on	Candidate's role on	
project	project	
Project Superintendent		
Name of individual		
Years of experience as project superintendent		
Years of experience with this organization		
Number of similar projects as project superintendent		
Number of similar projects in other positions		
Current Project Assignments		
Name of assignment	Percent of time used for	Estimated project
	this project	completion date
	1	
Reference Contact Information (listing names indicates a		lviduais as a reference)
Name	Name	
Title/Position	Title/Position	
Organization	Organization	
Telephone	Telephone	
Email Project	Email	
Project Candidate's	Project Candidate's	
role on project	role on project	
role on project	Tole on project	

	Qualificatio	ns Statement		
	O lift	ment—Schedule C—	v	

Safety Manager			
Name of individual			
Years of experience as project manager			
Years of experience with this organization			
Number of similar projects as project manager			
Number of similar projects in other positions			
Current Project Assignments			
Name of assignment	Percent of time used for	Estimated project	
	this project	completion date	
Reference Contact Information (listing names indicates ap		viduals as a reference)	
Name	Name		
Title/Position	Title/Position		
Organization	Organization		
Telephone	Telephone		
Email	Email		
Project	Project		
Candidate's role on	Candidate's role on		
project  Quality Control Manager	project		
Name of individual			
Years of experience as project superintendent			
Years of experience with this organization			
Number of similar projects as project superintendent			
Number of similar projects as project superintendent  Number of similar projects in other positions			
Current Project Assignments			
Name of assignment	Percent of time used for	Estimated project	
Nume of assignment	this project	completion date	
	p. 0,000		
Reference Contact Information (listing names indicates ap	proval to contact named indi	viduals as a reference)	
Name	Name		
Title/Position	Title/Position		
Organization	Organization		
Telephone	Telephone		
Email	Email		
Project	Project		
Candidate's	Candidate's		
role on project	role on project		



# BIDDER RESPONSIBILITY CERTIFICATION

This page must be completed, signed, and returned with the Bid Schedule. Failure to do so will result in bid rejection.

This certifies that the below named Bidder meets the standards of responsibility as provided by ORS 279C.375. The below named Bidder certifies that:

- 1. It has the appropriate financial, material, equipment, facility and personnel resources and expertise necessary to meet all contractual responsibilities as required by this contract.
- 2. It has a satisfactory record of performance for other public contracts.
- 3. It has a satisfactory record of integrity for other public contracts.
- 4. It is qualified legally to contract with the City of Coquille (City) for this project.
- 5. It will supply all required information requested by the City in connection with its bid for this Project, including, but not limited to, satisfactory responses to all information and certifications requested by the City in Section 00400 of the Contract concerning the Bidder's responsibility.

#### **CERTIFICATION:**

Contractor certifies that it meets the Standards of Responsibility as provided by ORS 279C.375.

Signature:	Title:	
Name:		(Print or Type)
Firm:		
Telephone:	Date:	



# **OREGON BIDDER RESIDENCY STATEMENT**

This page must be completed, signed, and returned with the Bid. Failure to do so will result in bid rejection.

279A.120 Preference for Oregon goods and services; non-resident bidders.

- (1) As used in this section:
  - (a) "Non-resident bidder" means a bidder who is not a resident bidder.
  - (b) "Resident bidder" means a bidder that has paid unemployment taxes or income taxes in this state during the 12 calendar months immediately preceding submission of the bid, has a business address in this state and has stated in the bid whether the bidder is a "resident bidder" under this paragraph.
- (2) For the purposes of awarding a public contract, a contracting agency shall:
  - (a) Give preference to goods or services that have been manufactured or produced in this state if price, fitness, availability and quality are otherwise equal; and
  - (b) Add a percent increase to the bid of a nonresident bidder equal to the percent, if any, of the preference given to the bidder in the state in which the bidder resides.

"Resident Bidder" means a Bidder that has paid unemployment taxes or income taxes in this state during the 12 calendar months immediately preceding submission of the Bid, has a business address in this state and has stated in the Bid whether the Bidder is a "resident Bidder".

"Non-resident Bidder" means a Bidder who is not a "resident Bidder" as defined in ORD

279A.120.	
a. Check one: Bidder is a ( ) resident Bidder ( ) nor	n-resident Bidder
b. If a resident Bidder, enter your Oregon business ad	dress:
c. If a non-resident Bidder, enter state of residency:	
d. If a non-resident Bidder, do you or your firm receive preference in award of contracts with your state's gove your state?	, , ,
Check one: ( ) Yes ( ) No	
If yes: state the preference percentage:	%

If yes, but not a percentage of Bid price, describe the preference:						
If yes, state the law or regulation that allows the preference described (legal citation):						
Bidder certifies that the information provided he	erein is true and accu	rate.				
Signature:	Title:					
Name:		(Print or Type)				
Firm:						
Telephone:	Date:					

# **BIDDER DRUG TESTING PROGRAM CERTIFICATION**

This page must be completed, signed, and returned with the Bid. Failure to do so will result in bid rejection.

This certifies that the below named Bidder has an employee drug testing program in place as required by ORS 279C.505 (2).

<b>CERTIFICATION:</b> Bidder certifies that it has an employee drug testing	program in place as required by ORS 279C.505 (2).
Signature:	Title:
Name:	(Print or Type)
Firm:	·····
Telephone:	Date:



# NON-DISCRIMINATION CERTIFICATION

This page must be completed, signed, and returned with the Bid Schedule. Failure to do so will result in bid rejection.

#### 279A.110 Discrimination in subcontracting prohibited; remedies.

- (1) A bidder or proposer who competes for or is awarded a public contract may not discriminate against a subcontractor in the awarding of a subcontract because the subcontractor is a minority, women or emerging small business enterprise certified under ORS 200.055.
- (2) A contracting agency may debar or disqualify, under ORS 279B.130 or 279C.440, as appropriate, a bidder or proposer if the contracting agency finds that the bidder or proposer has violated subsection (1) of this section in the awarding of a subcontract in connection with a contract advertised by the contracting agency or a contract between the contracting agency and the bidder or proposer. A debarred or disqualified bidder or proposer may appeal the debarment or disqualification under ORS 279B.425 or ORS 279C.445 and 279C.450, as appropriate.
- (3) A contracting agency may not allege an occurrence of discrimination in subcontracting as a basis for debarring or disqualifying a bidder or proposer under subsection (2) of this section more than three years after the alleged discriminatory conduct occurred or more than three years after the contracting agency, in the exercise of reasonable diligence, should have discovered the conduct, whichever is later.
- (4) A bidder or proposer shall certify in the documents accompanying the bidder's or proposer's offer to enter into a public contract that the bidder or proposer has not discriminated and will not discriminate, in violation of subsection (1) of this section, against any minority, women or emerging small business enterprise in obtaining any required subcontract.
- (5) After a contractor is awarded a public contract, if the contractor violates the certification made under subsection (4) of this section, the contracting agency may regard the violation as a breach of contract that permits:
  - (a) Termination of the contract; or
  - (b) The contracting agency to exercise any remedies for breach of contract that are reserved in the contract.

# **CERTIFICATION:**

Contractor certifies that it has not discriminated and will not discriminate, in violation of ORS 279A.110 (1), against any minority, women or emerging small business enterprise in obtaining any required subcontract

Signature:	Title:		
Name:		(Print or Type)	
Firm:			
Telephone:	Date:		



# **State Tax Law Compliance Certification**

# CERTIFICATE OF COMPLIANCE WITH OREGON TAX LAWS

This page must be completed, signed, and returned with the Bid. Failure to do so will result in bid rejection.

I, the undersigned, hereby swear or affirm under penalty of perjury that:

I am authorized to act in behalf of bidder, that I have authority and knowledge regarding the payment of taxes, and that bidder is, to the best of my knowledge, not in violation of any Oregon tax laws.

For purposes of this certificate, 'Oregon tax laws' means those programs listed in ORS305.380 (4). Examples include the state inheritance tax, personal income tax, withholding tax, corporation income and excise taxes, amusement device tax, timber taxes, cigarette tax, other tobacco tax, 9-1-1 emergency communications tax, the elderly rental assistance program and local taxes administered by the Department of Revenue.

Signature:	Title:	
Name:	(Print or Type)	
Firm:		
Telephone:	Date:	



# **CCB License Certification**

# CERTIFICATION OF LICENSING BY OREGON CONSTRCTION CONTRACTORS BOARD

This page must be completed, signed, and returned with the Bid. Failure to do so will result in bid rejection.

This certifies that the below named Bidder is licensed by the State of Oregon Construction Contractor's Board required by ORS 279C.365 (1) (k).

# **CERTIFICATION:**

Bidder hereby certifies that it is licensed by the State of Oregon Construction Contractor's Board and is not disqualified by the Board from performing a public improvement contract, as required by ORS 279C.365 (1) (k).

Signature:			
Name:	(Print or Type)		
Firm:			
Telephone:	Date:		



# **NOTICE OF AWARD**

Date	of Issuance:						
Owner:			Owner's Project No.:				
Engin	eer:	Engineer's Project No.:					
Proje	ct:						
Contr	act Name:						
Bidde	er:						
Bidde	r's Address:						
		at Owner has accepted yo der and are awarded a Co	our Bid dated <b>[date]</b> for the above Contract, and that you are ntract for:				
[D	escribe Wor	k, alternates, or sections	of Work awarded]				
based	on the provi		is \$[Contract Price]. Contract Price is subject to adjustment luding but not limited to those governing changes, Unit Price ee basis, as applicable.				
and on	e copy of th	<del>-</del>	erparts of the Agreement accompany this Notice of Award, companies this Notice of Award, or has been transmitted or				
	☐ Drawing	gs will be delivered separa	ately from the other Contract Documents.				
	ust comply v of Award:	vith the following condition	ons precedent within 15 days of the date of receipt of this				
1.		reliver to Owner [number of copies sent] counterparts of the Agreement, signed by Bidder (as ontractor).					
2.	Deliver with the signed Agreement(s) the Contract security (such as required performance and payment bonds) and insurance documentation, as specified in the Instructions to Bidders and in the General Conditions, Articles 2 and 6.						
3.	Other cond	onditions precedent (if any): [Describe other conditions that require Successful Bidder's nce]					
			nin the time specified will entitle Owner to consider you in lare your Bid security forfeited.				
counte	rpart of the		hove conditions, Owner will return to you one fully signed hany additional copies of the Contract Documents as Conditions.				
Owne	er:	[Full formal name of O	wner]				
By (si	gnature):						
Name	e (printed):						
Title:							
Сору:	Engineer						



# AGREEMENT BETWEEN OWNER AND CONTRACTOR FOR CONSTRUCTION CONTRACT (STIPULATED PRICE)

This Agreement is by and between [name of contracting entity] ("Owner") and [name of contracting entity] ("Contractor").

Terms used in this Agreement have the meanings stated in the General Conditions and the Supplementary Conditions.

Owner and Contractor hereby agree as follows:

#### **ARTICLE 1—WORK**

1.01 Contractor shall complete all Work as specified or indicated in the Contract Documents. The Work is generally described as follows: [Brief description of Work]

# **ARTICLE 2—THE PROJECT**

2.01 The Project, of which the Work under the Contract Documents is a part, is generally described as follows: [Brief description of Project]

#### **ARTICLE 3—ENGINEER**

**Guidance Notes**—If an entity or individual other than the design engineer will serve as Owner's representative during construction, then make appropriate revisions and additions to this Agreement, the General Conditions, the Supplementary Conditions, and other Contract Documents regarding the construction-phase roles and duties of the design engineer and such other entity or individual. Such revisions may include using a designation other than "Engineer" for the representative named in Paragraph 3.01 below, and expressly naming the design firm (for example, "ABC Engineering, Inc.") instead of referring to "Engineer" in Paragraph 3.02.

- 3.01 The Owner has retained **[insert name of engineering firm]** ("Engineer") to act as Owner's representative, assume all duties and responsibilities of Engineer, and have the rights and authority assigned to Engineer in the Contract.
- 3.02 The part of the Project that pertains to the Work has been designed by [insert "Engineer" if an entity has been identified as such in Paragraph 3.01, and that same entity prepared the design; or indicate by name the entity other than Engineer that prepared the design].

#### **ARTICLE 4—CONTRACT TIMES**

- 4.01 Time is of the Essence
  - A. All time limits for Milestones, if any, Substantial Completion, and completion and readiness for final payment as stated in the Contract Documents are of the essence of the Contract.

#### **Notes to User**

Select one of the two Contract Times paragraphs (either Paragraph 4.02 or Paragraph 4.03), and delete the other. The first option, Paragraph 4.02, uses dates for the time of completion; Paragraph 4.03 uses

number of days. (References in these Notes will be to paragraph numbers as published—the final numbering will change as paragraphs, such as either 4.02 or 4.03, are deleted during the finalization process.)

- 1. Paragraph 4.04, which establishes binding intermediate Milestones, may be used with either Paragraph 4.02 or Paragraph 4.03; or Paragraph 4.04 may be deleted if the Contract does not impose Milestone requirements.
- 2. In the common case in which Owner elects to predetermine fixed dates or a fixed number of days for completion of the Work, such dates or number of days should be inserted in the selected Contract Times paragraph (either Paragraph 4.02 or Paragraph 4.03) below prior to the bidding or other contractor selection process. If the time for completion will be determined through negotiation or a bidding process that allows bidders to specify the time for completion (for example, a price-plus-time—A + B—award process), then leave the blanks below open until the Contract is finalized (typically after the Successful Bidder has been determined and its proposed completion time accepted).
- 3. If the Work is divided into individual sections that have differing completion dates (or number of days for completion), then the selected Contract Times paragraph (either Paragraph 4.02 or Paragraph 4.03) below should be expanded to specify the completion dates (or number of days) for each section. Such completion dates may be categorized as Milestones under Paragraph 4.04.

#### 4.02 *Contract Times: Dates*

A. The Work will be substantially complete on or before [date], and completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions on or before [date].

# 4.03 *Contract Times: Days*

A. The Work will be substantially complete within [number] days after the date when the Contract Times commence to run as provided in Paragraph 4.01 of the General Conditions, and completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions within [number] days after the date when the Contract Times commence to run.

#### **Notes to User**

- 1. "Milestone" in Paragraph 4.04 is a defined term.
- 2. For each Milestone, indicate the event that must be attained, such as "Substantial Completion of Lift Station 1" and the date or number of days from commencement by which the event must be attained.
- 3. In Paragraph 4.04 use a specific date for attainment of the Milestone if Paragraph 4.02 above (Contract Times: Date) has been selected; use the number of days from commencement of Contract Times for the Milestone if Paragraph 4.03 (Contract Times: Days) has been selected.
- 4. If the Contract does not include Milestones, delete Paragraph 4.04.

# 4.04 Milestones

- A. Parts of the Work must be substantially completed on or before the following Milestone(s):
  - 1. Milestone 1 [event & date/days]

- 2. Milestone 2 [event & date/days]
- 3. Milestone 3 [event & date/days]

# **Guidance Notes—Liquidated Damages**

- 1. Liquidated damages are commonly used to address unexcused late completion of the Work. The topic is discussed in the Commentary. Delete Paragraph 4.05, Liquidated Damages, if such damages will not be established in the specific Contract.
- 2. At Substantial Completion, the Owner is able to use the Work for its intended purpose, by definition. See General Conditions, Paragraph 1.01.A. Achieving Substantial Completion is typically a critical deadline, and the associated damages for missing this deadline are typically significant. Paragraph 4.05.A.1 is the location for stating a liquidated amount for such damages, usually on a perday basis.
- 3. The subsequent failure to complete the punch list tasks and bring the Work to a complete close by the final completion date may also result in some degree of damages to Owner—though typically these damages are significantly less than the daily damages for not achieving Substantial Completion on time. Some users may choose to establish liquidated damages only for the failure to achieve Substantial Completion. If that is the case, delete Paragraph 4.05.A.2 below.
- 4. If failure to achieve a Milestone on time is of such consequence that the assessment of liquidated damages is warranted for the failure to reach the Milestone on time, then retain and complete Paragraph 4.05.A.3; if not, delete it. Add additional similar paragraphs for any additional Milestones subject to a liquidated damages assessment. Liquidated damages for Milestones might, in some cases, be additive to liquidated damages for failing to timely attain Substantial Completion; if so Paragraphs 4.05.A.3 and 4.05.A.4 should be revised accordingly.

# 4.05 Liquidated Damages

- A. Contractor and Owner recognize that time is of the essence as stated in Paragraph 4.01 above and that Owner will suffer financial and other losses if the Work is not completed and Milestones not achieved within the Contract Times, as duly modified. The parties also recognize the delays, expense, and difficulties involved in proving, in a legal or arbitration proceeding, the actual loss suffered by Owner if the Work is not completed on time. Accordingly, instead of requiring any such proof, Owner and Contractor agree that as liquidated damages for delay (but not as a penalty):
  - 1. *Substantial Completion:* Contractor shall pay Owner \$[number] for each day that expires after the time (as duly adjusted pursuant to the Contract) specified above for Substantial Completion, until the Work is substantially complete.
  - 2. Completion of Remaining Work: After Substantial Completion, if Contractor shall neglect, refuse, or fail to complete the remaining Work within the Contract Times (as duly adjusted pursuant to the Contract) for completion and readiness for final payment, Contractor shall pay Owner \$[number] for each day that expires after such time until the Work is completed and ready for final payment.
  - 3. *Milestones:* Contractor shall pay Owner \$[number] for each day that expires after the time (as duly adjusted pursuant to the Contract) specified above for achievement of Milestone 1, until Milestone 1 is achieved, or until the time specified for Substantial

- Completion is reached, at which time the rate indicated in Paragraph 4.05.A.1 will apply, rather than the Milestone rate.
- 4. Liquidated damages for failing to timely attain Milestones, Substantial Completion, and final completion are not additive, and will not be imposed concurrently.
- B. If Owner recovers liquidated damages for a delay in completion by Contractor, then such liquidated damages are Owner's sole and exclusive remedy for such delay, and Owner is precluded from recovering any other damages, whether actual, direct, excess, or consequential, for such delay, except for special damages (if any) specified in this Agreement.

**Notes to Users**—If early completion would be a benefit to Owner, then consider retaining and completing the bonus clause below as Paragraph 4.05.C. The daily bonus for early completion need not be exactly the same as the daily post-Substantial Completion liquidated damages amounts, but presumably the two amounts will be reasonably compatible. If no bonus will be offered, then delete 4.05.C.

C. Bonus: Contractor and Owner further recognize the Owner will realize financial and other benefits if the Work is completed prior to the time specified for Substantial Completion. Accordingly, Owner and Contractor agree that as a bonus for early completion, Owner shall pay Contractor \$[number] for each day prior to the time specified above for Substantial Completion (as duly adjusted pursuant to the Contract) that the Work is substantially complete. The maximum value of the bonus will be limited to \$[number].

# **Guidance Notes—Special Damages**

If liquidated damages are used to address late completion by Contractor, EJCDC recommends developing daily liquidated damages amounts that comprehensively account for the full range of Owner's damages, including but not limited to loss of beneficial use; extended financing expenses; costs of additional engineering, construction observation, inspection, and administrative services; and potential fines or penalties. This comprehensive approach is well established and generally enforceable. If the recommended and conventional path is followed, and a comprehensive daily liquidated damages amount has been established in Paragraph 4.05 above, then delete the clause that follows, Paragraph 4.06, Special Damages, and rely solely on Paragraph 4.05, Liquidated Damages, to cover the full scope of damage done by late Contractor completion.

- 1. Some Owners prefer to charge a Contractor that has not completed the Work on schedule for Owner's additional hard-dollar costs in specified categories, such as regulatory fines and penalties, or extended engineering, construction observation, inspection, and administrative services; these charges (referred to here as "special damages") are levied on top of the daily liquidated damages amount. Those users that choose the "liquidated damages plus specified actual hard dollar costs" (special damages) approach may use the following Paragraph 4.06, Special Damages, revised as needed to reflect the intended scope of the special damages, together with the liquidated damages provisions in Paragraph 4.05, Liquidated Damages, above. It is very important if this approach is followed to be certain that the liquidated damages amount does not already include or rely in part on the potential for incurring these very same special damages costs.
- 2. Finally, note that Paragraph 4.06.B below does not refer to fines or penalties imposed by third parties. In the typical case, such fines and penalties are linked to Substantial Completion, and are not applicable to delays in final completion of the Work.

# 4.06 Special Damages

- A. Contractor shall reimburse Owner (1) for any fines or penalties imposed on Owner as a direct result of the Contractor's failure to attain Substantial Completion according to the Contract Times, and (2) for the actual costs reasonably incurred by Owner for engineering, construction observation, inspection, and administrative services needed after the time specified in Paragraph 4.02 for Substantial Completion (as duly adjusted pursuant to the Contract), until the Work is substantially complete.
- B. After Contractor achieves Substantial Completion, if Contractor shall neglect, refuse, or fail to complete the remaining Work within the Contract Times, Contractor shall reimburse Owner for the actual costs reasonably incurred by Owner for engineering, construction observation, inspection, and administrative services needed after the time specified in Paragraph 4.02 for Work to be completed and ready for final payment (as duly adjusted pursuant to the Contract), until the Work is completed and ready for final payment.
- C. The special damages imposed in this paragraph are supplemental to any liquidated damages for delayed completion established in this Agreement.

#### **ARTICLE 5—CONTRACT PRICE**

# **Guidance Notes—Contract Price**

- 1. Depending upon the particular Contract's pricing structure, use Paragraph 5.01.A alone (lump sum with no Unit Price Work items); Paragraphs 5.01.A, 5.01.B, and 5.01.C together (lump sum plus Unit Price items); Paragraph 5.01.B alone (Unit Prices for all Work); or Paragraph 5.01.D alone (price based on contents of incorporated Contractor's Bid), and delete those not used and renumber accordingly. If Paragraph 5.01.D is used, Contractor's Bid is attached as an exhibit and listed as a Contract Document in Article 7 below.
- 2. With respect to Paragraph 5.01.B concerning Unit Prices, if adjustment prices for variations from stipulated Base Bid or other baseline quantities have been agreed to, insert appropriate provisions.
- 3. Performance Requirements and Damages. In some cases, the construction contract will contain performance requirements that must be met by the equipment, systems, or facilities constructed or furnished by Contractor. Performance provisions most commonly will be located in the Specifications. On some projects the Owner and Contractor may contractually stipulate specific damages for failure to meet the performance requirements. It may be useful to provide a cross-reference to such provisions here in Article 5 of the Agreement (as a new Paragraph 5.02), or in some cases to expressly state the stipulated damages amounts here because of their importance to the pricing of the Contract, which is one of the primary subjects of the Agreement.

In addition to, or as an alternative to imposition of stipulated damages to compensate Owner for not receiving its full contractual performance entitlement, the performance provisions in the Specifications may identify other Owner remedies for Contractor's failure to meet the performance requirements, such as rejection of the items in question; correction remedies; exercise of warranty rights; recovery of actual damages; and acceptance of the underperforming items coupled with a reduction in Contract Price.

Typical damages for underperformance might be for reduced production or treatment, or for the costs of increased electricity or chemical consumption over the life of the equipment. It is important when drafting damages provisions to clarify whether the availability of underperformance damages is

meant to close off other potential remedies that will be owed in the event of specific levels of underperformance.

- 5.01 Owner shall pay Contractor for completion of the Work in accordance with the Contract Documents, the amounts that follow, subject to adjustment under the Contract:
  - A. For all Work other than Unit Price Work, a lump sum of \$[number].
    - All specific cash allowances are included in the above price in accordance with Paragraph 13.02 of the General Conditions.
  - B. For all Unit Price Work, an amount equal to the sum of the extended prices (established for each separately identified item of Unit Price Work by multiplying the unit price times the actual quantity of that item).

Unit Price Work									
Item No.	Description	Unit	Estimated Quantity	Unit Price	Extended Price				
				\$	\$				
				\$	\$				
				\$	\$				
				\$	\$				
				\$	\$				
Total adjust	\$								

The extended prices for Unit Price Work set forth as of the Effective Date of the Contract are based on estimated quantities. As provided in Paragraph 13.03 of the General Conditions, estimated quantities are not guaranteed, and determinations of actual quantities and classifications are to be made by Engineer.

- C. Total of Lump Sum Amount and Unit Price Work (subject to final Unit Price adjustment) \$[number].
- D. For all Work, at the prices stated in Contractor's Bid, attached hereto as an exhibit.

#### **ARTICLE 6—PAYMENT PROCEDURES**

- 6.01 Submittal and Processing of Payments
  - A. Contractor shall submit Applications for Payment in accordance with Article 15 of the General Conditions. Applications for Payment will be processed by Engineer as provided in the General Conditions.
- 6.02 Progress Payments; Retainage
  - A. Owner shall make progress payments on the basis of Contractor's Applications for Payment on or about the **[ordinal number, such as 5th]** day of each month during performance of the Work as provided in Paragraph 6.02.A.1 below, provided that such Applications for Payment have been submitted in a timely manner and otherwise meet the requirements of the

Contract. All such payments will be measured by the Schedule of Values established as provided in the General Conditions (and in the case of Unit Price Work based on the number of units completed) or, in the event there is no Schedule of Values, as provided elsewhere in the Contract.

# **Guidance Notes—Retainage**

- 1. In Paragraph 6.02.A.1.a, the percentage stated should be that percentage to be paid to Contractor. Thus, if retainage is 10%, indicate "90" in Paragraph 6.02.A.1.a.
- 2. Paragraph 6.02.A.1.a(1) provides that after the Work is 50% complete (based on value of Work completed), Owner will no longer take retainage from progress payments, if performance of the Work has been satisfactory. This practice rewards and incentivizes good work and compliance with the schedule. If Owner is not able or willing to offer this incentive, delete Paragraph 6.02.A.1.a(1).
- 3. Although Paragraph 6.02.A.1.a(1), if utilized, provides for retainage to be reduced after 50% of the Work is complete, the standard provisions in Paragraph 6.02 do not provide for an early return of retainage—Contractor's first opportunity to receive retained funds occurs at Substantial Completion (see Paragraph 6.02.B). If a specific project involves partial utilization of a portion of the Work or other special factors, the user may wish to include a supplemental provision that allows for a partial early return of retainage, under specified conditions.
- 4. As an alternative to retainage, some Owners allow the Contractor to receive 100% of each progress payment, provided that the Contractor has provided an irrevocable letter of credit or similar instrument that allows the Owner access to the Contractor's funds under prescribed conditions. Any such alternative mechanism requires custom drafting and participation of legal counsel.
  - Prior to Substantial Completion, progress payments will be made in an amount equal to the percentage indicated below but, in each case, less the aggregate of payments previously made and less such amounts as Owner may withhold, including but not limited to liquidated damages, in accordance with the Contract.
    - a. **[number]** percent of the value of the Work completed (with the balance being retainage).
      - If 50 percent or more of the Work has been completed, as determined by Engineer, and if the character and progress of the Work have been satisfactory to Owner and Engineer, then as long as the character and progress of the Work remain satisfactory to Owner and Engineer, there will be no additional retainage;
    - b. **[number]** percent of cost of materials and equipment not incorporated in the Work (with the balance being retainage).

**Notes to Users**—Typical values used in Paragraph 6.02.B are 100 percent and 200 percent respectively, subject to Laws and Regulations specific to the Project.

B. Upon Substantial Completion, Owner shall pay an amount sufficient to increase total payments to Contractor to [number] percent of the Work completed, less such amounts set off by Owner pursuant to Paragraph 15.01.E of the General Conditions, and less [number]

percent of Engineer's estimate of the value of Work to be completed or corrected as shown on the punch list of items to be completed or corrected prior to final payment.

# 6.03 Final Payment

A. Upon final completion and acceptance of the Work, Owner shall pay the remainder of the Contract Price in accordance with Paragraph 15.06 of the General Conditions.

# 6.04 Consent of Surety

A. Owner will not make final payment, or return or release retainage at Substantial Completion or any other time, unless Contractor submits written consent of the surety to such payment, return, or release.

#### 6.05 Interest

A. All amounts not paid when due will bear interest at the rate of [number] percent per annum.

# **ARTICLE 7—CONTRACT DOCUMENTS**

#### 7.01 Contents

A. The Contract Documents consist of all of the following:

**Notes to Users**—If any of the items listed below are not to be included as Contract Documents, remove such item from the list and renumber the remaining items.

- 1. This Agreement.
- 2. Bonds:
  - a. Performance bond (together with power of attorney).
  - b. Payment bond (together with power of attorney).
- 3. General Conditions.
- 4. Supplementary Conditions.
- 5. Specifications as listed in the table of contents of the project manual (copy of list attached).

**Notes to Users**—Use either Paragraph 6 or 7, delete the paragraph not used.

- 6. Drawings (not attached but incorporated by reference) consisting of [number] sheets with each sheet bearing the following general title: [title on Drawings].
- 7. Drawings listed on the attached sheet index.

**Notes to Users**—In the following paragraph list the numbers and dates of those Addenda that modified the Contract Documents; do not list Addenda that only affected the Bidding Requirements, and therefore should not be Contract Documents. See EJCDC® C-001 Commentary on the 2018 EJCDC Construction Documents (2018).

8. Addenda (numbers [number] to [number], inclusive).

### **Guidance Notes—Exhibits that are Contract Documents**

- 1. In the following paragraph list exhibits (if any) to the Agreement that merit the status of Contract Documents.
- 2. As noted in the introduction to this Agreement, in the typical case bidding-related documents such as the Instructions to Bidders and Bid are not included as Contract Documents. Include Contractor's Bid as a Contract Document here only as a matter of necessity, for example if the Bid contains numerous line items and their prices, and rekeying such information would be burdensome and susceptible to error.
- 3. List other required attachments (if any), such as documentation submitted by Contractor prior to Notice of Award and documents required by funding or lending agencies.
- 4. If Contractor is required in this Contract to accept assignment of a procurement contract, previously entered into by Owner (as "Buyer") with a manufacturer or distributor (as "Seller") for the direct purchase of goods (most commonly equipment) and related special services, include the procurement contract as a Contract Document by listing it as a lettered item under Paragraph 7.01.A.9—"Assigned Procurement Contract between Owner (Buyer) and Seller, dated [date]." The contractual wording governing the assignment of a procurement contract should be located in the Supplementary Conditions; see Supplementary Conditions, Paragraph SC-18.08.B. For additional information on assigning a procurement contract, refer to EJCDC® P-001, Commentary on the EJCDC Procurement Documents.
- 5. If a Geotechnical Baseline Report or a Geotechnical Data Report is used, include these reports as Contract Documents by listing them as lettered items under Paragraph 7.01.A.9. For a further discussion of GBRs and GDRs see EJCDC® C-001, Commentary on the 2018 EJCDC Construction Documents (2018).
  - 9. Exhibits to this Agreement (enumerated as follows):
    - a. [list exhibits]
  - 10. The following which may be delivered or issued on or after the Effective Date of the Contract and are not attached hereto:
    - a. Notice to Proceed.
    - b. Work Change Directives.
    - c. Change Orders.
    - d. Field Orders.
    - e. Warranty Bond, if any.
  - B. The Contract Documents listed in Paragraph 7.01.A are attached to this Agreement (except as expressly noted otherwise above).
  - C. There are no Contract Documents other than those listed above in this Article 7.
  - D. The Contract Documents may only be amended, modified, or supplemented as provided in the Contract.

### ARTICLE 8—REPRESENTATIONS, CERTIFICATIONS, AND STIPULATIONS

### 8.01 Contractor's Representations

**Notes to Users**—Modify the following representations to suit the specific Project. For example: change or delete Paragraph 8.01.A.2 if Contractor was restricted from visiting the Site prior to entering into the Contract; change or delete Paragraph 8.01.A.4 and 5 if there are no reports or drawings of the type referred to.

- A. In order to induce Owner to enter into this Contract, Contractor makes the following representations:
  - Contractor has examined and carefully studied the Contract Documents, including Addenda.
  - 2. Contractor has visited the Site, conducted a thorough visual examination of the Site and adjacent areas, and become familiar with the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
  - 3. Contractor is familiar with all Laws and Regulations that may affect cost, progress, and performance of the Work.
  - 4. Contractor has carefully studied the reports of explorations and tests of subsurface conditions at or adjacent to the Site and the drawings of physical conditions relating to existing surface or subsurface structures at the Site that have been identified in the Supplementary Conditions, with respect to the Technical Data in such reports and drawings.
  - Contractor has carefully studied the reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, with respect to Technical Data in such reports and drawings.
  - 6. Contractor has considered the information known to Contractor itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Contract Documents; and the Technical Data identified in the Supplementary Conditions or by definition, with respect to the effect of such information, observations, and Technical Data on (a) the cost, progress, and performance of the Work; (b) the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor; and (c) Contractor's safety precautions and programs.
  - 7. Based on the information and observations referred to in the preceding paragraph, Contractor agrees that no further examinations, investigations, explorations, tests, studies, or data are necessary for the performance of the Work at the Contract Price, within the Contract Times, and in accordance with the other terms and conditions of the Contract.
  - 8. Contractor is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Contract Documents.

- 9. Contractor has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Contractor has discovered in the Contract Documents, and of discrepancies between Site conditions and the Contract Documents, and the written resolution thereof by Engineer is acceptable to Contractor.
- 10. The Contract Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.
- 11. Contractor's entry into this Contract constitutes an incontrovertible representation by Contractor that without exception all prices in the Agreement are premised upon performing and furnishing the Work required by the Contract Documents.

## 8.02 Contractor's Certifications

- A. Contractor certifies that it has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for or in executing the Contract. For the purposes of this Paragraph 8.02:
  - "corrupt practice" means the offering, giving, receiving, or soliciting of anything of value likely to influence the action of a public official in the bidding process or in the Contract execution;
  - "fraudulent practice" means an intentional misrepresentation of facts made (a) to influence the bidding process or the execution of the Contract to the detriment of Owner, (b) to establish Bid or Contract prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;
  - 3. "collusive practice" means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish Bid prices at artificial, non-competitive levels; and
  - 4. "coercive practice" means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

### 8.03 Standard General Conditions

A. Owner stipulates that if the General Conditions that are made a part of this Contract are EJCDC® C-700, Standard General Conditions for the Construction Contract (2018), published by the Engineers Joint Contract Documents Committee, and if Owner is the party that has furnished said General Conditions, then Owner has plainly shown all modifications to the standard wording of such published document to the Contractor, through a process such as highlighting or "track changes" (redline/strikeout), or in the Supplementary Conditions.

# 8.04 Other Provisions

- A. A. Pursuant to ORS 279C.505(1) Contractor shall make payment promptly, as due, to all persons supplying the Contractor with labor or materials for the performance of the work provided for in the contract. Contractor shall pay all contributions or amounts due the Industrial Accident Fund from the contractor or subcontractor incurred in the performance of the contract. Contractor shall not permit any lien or claim to be filed or prosecuted against the Owner on account of any labor or material furnished.
- B. Pursuant to ORS 279C.505 (2) Contractor certifies that it has an employee drug testing program in place.

- C. If the Contractor fails, neglects or refuses to make prompt payment of any claim for labor or services furnished to the contractor or a subcontractor by any person in connection with the public improvement contract as the claim becomes due, the proper officer or officers representing the Owner may pay such claim to the person furnishing the labor or services and charge the amount of the payment against funds due or to become due the contractor by reason of the contract. Other provisions of ORS 279C.515 and ORS 279C.580 concerning payments also apply.
- D. Contractor shall comply with hours of labor rules Pursuant to ORS 279C.520, 279C.540, and 279C.545.
- E. Per ORS 279C.530, Contractor shall promptly, as due, make payment to any person, copartnership, association or corporation furnishing medical, surgical and hospital care services or other needed care and attention, incident to sickness or injury, to the employees of the contractor, of all sums that the contractor agrees to pay for the services and all moneys and sums that the contractor collected or deducted from the wages of employees under any law, contract or agreement for the purpose of providing or paying for the services. All subject employers working under the contract are either employers that will comply with ORS 656.017 (Employer required to pay compensation and perform other duties) or employers that are exempt under ORS 656.126 (Coverage while temporarily in or out of state).
- F. Contractor certifies, and it shall be a condition of the Contractor's bond as provided by ORS 279C.800 to 279C.870, that in performing the Agreement Contractor will pay and cause to be paid not less than the prevailing wages as of the date of the bid, per hour, per day and per week, for each and every person who may be employed in the performance of this Agreement.
- G. Public Works Bond Contractor shall have a public works bond filed with the Oregon Construction Contractors Board before starting Work on the Project unless exempt under ORS 279C.836. Contractor shall include in every subcontract a provision requiring every subcontractor to have a public works bond filed with the Oregon CCB before starting Work on the project unless exempt under ORS 279C.836.
- H. Contractor shall defend, hold harmless and indemnify Owner, its officers, agents, engineers, attorneys and employees from any and all losses, liability, damages, costs, expenses (including but not limited to all fees and charges of engineers, architects, attorneys and other professionals and all court or arbitration or other dispute resolution costs), claims, suits or actions whatsoever in nature, including intentional acts, resulting from or arising out of the activities of the Contractor or its subcontractors, agents, or employees under this Agreement.
- I. The terms of this Agreement shall not be waived, altered, modified, supplemented, or amended, in any manner whatsoever, except by written instrument. Such waiver, alteration, modification, supplementation, or amendment, if made, shall be effective only in the specific instance and for the purpose given, and shall be valid and binding only if it is signed by all parties to this Agreement. The failure of Owner to enforce any provision of this Agreement shall not constitute a waiver by the Owner of that or any other provision.
- K. This Agreement shall be governed by and construed in accordance with the laws of the State of Oregon. Any litigation between the Owner and the Contractor arising out of or related to this Agreement which is not resolved pursuant to SGC 16.01 shall be brought and

maintained solely and exclusively in the Circuit Court of the State of Oregon for Curry County. Provided, if any litigation arising under the Agreement must be brought in a federal forum, it shall be brought and maintained solely and exclusively in the United Stated District Court for the District of Oregon in Eugene, Oregon. Contractor hereby consents to the personal jurisdiction of all courts within the State of Oregon.

- L. Merger. This Agreement, which includes all Contract Documents as identified herein, constitutes the entire agreement between the parties. There are no understandings, agreements, or representations, oral or written, regarding this Agreement, except as specified or referenced herein. Contractor, by the signature below of its authorized representative, hereby acknowledges that it has read this Agreement, understands it, and agrees to be bound by its terms and conditions.
- M. This Agreement may be executed in counterparts, each of which shall be an original, and all of which shall constitute but one and the same instrument.

IN WITNESS WHEREOF, Owner and Contractor have signed this Agreement.

This Agreement will be effective on **[indicate date on which Contract becomes effective]** (which is the Effective Date of the Contract).

# **Guidance Notes—Signing and Dating Agreement:**

- 1. See Article 20 of the Instructions to Bidders and correlate procedures for format and signing of the documents.
- 2. The Effective Date of the Contract stated above and the dates of any construction performance bond (EJCDC® C-610, Performance Bond (2018) or other) and construction payment bond (EJCDC® C-615, Payment Bond (2018) or other) should be the same, if possible. In no case should the date of any bonds be earlier than the Effective Date of the Contract.

Owner:		Contractor:	
(t	yped or printed name of organization)	(typed or printed name of organization)	
By:		Ву:	
•	(individual's signature)	(individual's signature)	
Date:		Date:	
•	(date signed)	(date signed)	
Name:		Name:	
•	(typed or printed)	(typed or printed)	
Title:		Title:	
•	(typed or printed)	(typed or printed) (If <b>[Type of Entity]</b> is a corporation, a partnership, joint venture, attach evidence of authority to sign.,	
Attest:		Attest:	
•	(individual's signature)	(individual's signature)	
Title:		Title:	
•	(typed or printed)	(typed or printed)	
Address	for giving notices:	Address for giving notices:	

Designated Representative:	Designated Representative:
Name:	Name:
(typed or printed)	(typed or printed)
Title:	Title:
(typed or printed)	(typed or printed)
Address:	Address:
Phone:	Phone:
Email:	Email:
(If [Type of Entity] is a corporation, attach evidence of	License No.:
authority to sign. If <b>[Type of Entity]</b> is a public body, attach evidence of authority to sign and resolution or	(where applicable)
other documents authorizing execution of this	State:
Agreement.)	J.a.c.

# **NOTICE TO PROCEED**

Owner:	Owner's Project No.:
Engineer:	Engineer's Project No.:
Contractor:	Contractor's Project No.:
Project:	
Contract Name:	
Effective Date of 0	Contract:
	ifies Contractor that the Contract Times under the above Contract will commence to pursuant to Paragraph 4.01 of the General Conditions.
	ractor shall start performing its obligations under the Contract Documents. No Work Site prior to such date.
	the Agreement: [Select one of the following two alternatives, insert dates or number the other alternative.]
•	ich Substantial Completion must be achieved is <b>[date for Substantial Completion, from</b> and the date by which readiness for final payment must be achieved is <b>[date for n Agreement]</b> .
[or]	
the date stated Completion of achieve reading date of the Co	days to achieve Substantial Completion is [number of days, from Agreement] from above for the commencement of the Contract Times, resulting in a date for Substantial [date, calculated from commencement date above]; and the number of days to ess for final payment is [number of days, from Agreement] from the commencement ntract Times, resulting in a date for readiness for final payment of [date, calculated cement date above].
Before starting any	Work at the Site, Contractor must comply with the following:
[Note any acce	ess limitations, security procedures, or other restrictions]
Owner:	<del></del>
By (signature):	<del></del>
Name (printed):	
Title:	
Date Issued:	
Сору:	



# **PERFORMANCE BOND**

Contractor	Surety
Name:	Name:
Address (principal place of business):	Address (principal place of business):
Owner	Contract
Name: City of Coquille - URA	Description (name and location):
Mailing address (principal place of business):	Central Boulevard Striping
851 Central Blvd.	
Coquille, Oregon 97423	Contract Price:
	Effective Date of Contract:
Bond	
Bond Amount:	
Date of Bond:	
(Date of Bond cannot be earlier than Effective Date of Contract)	
Modifications to this Bond form:	
☐ None ☐ See Paragraph 16  Surety and Contractor, intending to be legally bound	haraby subject to the terms set forth in this
Performance Bond, do each cause this Performance	* -
agent, or representative.	
Contractor as Principal	Surety
(Full formal name of Contractor)	(Full formal name of Surety) (corporate seal)
By:	Ву:
(Signature)	(Signature)(Attach Power of Attorney)
Name: (Printed or typed)	Name:(Printed or typed)
Title:	Title:
	Title.
Attest:	Attest:
(Signature)	(Signature)
Name: (Printed or typed)	Name:(Printed or typed)
Title:	Title:
Notes: (1) Provide supplemental execution by any additional pa	
Contractor, Surety, Owner, or other party is considered plural w	

- 1. The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner for the performance of the Construction Contract, which is incorporated herein by reference.
- 2. If the Contractor performs the Construction Contract, the Surety and the Contractor shall have no obligation under this Bond, except when applicable to participate in a conference as provided in Paragraph 3.
- 3. If there is no Owner Default under the Construction Contract, the Surety's obligation under this Bond will arise after:
  - 3.1. The Owner first provides notice to the Contractor and the Surety that the Owner is considering declaring a Contractor Default. Such notice may indicate whether the Owner is requesting a conference among the Owner, Contractor, and Surety to discuss the Contractor's performance. If the Owner does not request a conference, the Surety may, within five (5) business days after receipt of the Owner's notice, request such a conference. If the Surety timely requests a conference, the Owner shall attend. Unless the Owner agrees otherwise, any conference requested under this Paragraph 3.1 will be held within ten (10) business days of the Surety's receipt of the Owner's notice. If the Owner, the Contractor, and the Surety agree, the Contractor shall be allowed a reasonable time to perform the Construction Contract, but such an agreement does not waive the Owner's right, if any, subsequently to declare a Contractor Default;
  - 3.2. The Owner declares a Contractor Default, terminates the Construction Contract and notifies the Surety; and
  - 3.3. The Owner has agreed to pay the Balance of the Contract Price in accordance with the terms of the Construction Contract to the Surety or to a contractor selected to perform the Construction Contract.
- 4. Failure on the part of the Owner to comply with the notice requirement in Paragraph 3.1 does not constitute a failure to comply with a condition precedent to the Surety's obligations, or release the Surety from its obligations, except to the extent the Surety demonstrates actual prejudice.
- 5. When the Owner has satisfied the conditions of Paragraph 3, the Surety shall promptly and at the Surety's expense take one of the following actions:
  - 5.1. Arrange for the Contractor, with the consent of the Owner, to perform and complete the Construction Contract;
  - 5.2. Undertake to perform and complete the Construction Contract itself, through its agents or independent contractors;
  - 5.3. Obtain bids or negotiated proposals from qualified contractors acceptable to the Owner for a contract for performance and completion of the Construction Contract, arrange for a contract to be prepared for execution by the Owner and a contractor selected with the Owners concurrence, to be secured with performance and payment bonds executed by a qualified surety equivalent to the bonds issued on the Construction Contract, and pay to the Owner the amount of damages as described in Paragraph 7 in excess of the Balance of the Contract Price incurred by the Owner as a result of the Contractor Default; or
  - 5.4. Waive its right to perform and complete, arrange for completion, or obtain a new contractor, and with reasonable promptness under the circumstances:

- 5.4.1 After investigation, determine the amount for which it may be liable to the Owner and, as soon as practicable after the amount is determined, make payment to the Owner; or
- 5.4.2 Deny liability in whole or in part and notify the Owner, citing the reasons for denial.
- 6. If the Surety does not proceed as provided in Paragraph 5 with reasonable promptness, the Surety shall be deemed to be in default on this Bond seven days after receipt of an additional written notice from the Owner to the Surety demanding that the Surety perform its obligations under this Bond, and the Owner shall be entitled to enforce any remedy available to the Owner. If the Surety proceeds as provided in Paragraph 5.4, and the Owner refuses the payment, or the Surety has denied liability, in whole or in part, without further notice, the Owner shall be entitled to enforce any remedy available to the Owner.
- 7. If the Surety elects to act under Paragraph 5.1, 5.2, or 5.3, then the responsibilities of the Surety to the Owner will not be greater than those of the Contractor under the Construction Contract, and the responsibilities of the Owner to the Surety will not be greater than those of the Owner under the Construction Contract. Subject to the commitment by the Owner to pay the Balance of the Contract Price, the Surety is obligated, without duplication for:
  - 7.1. the responsibilities of the Contractor for correction of defective work and completion of the Construction Contract;
  - 7.2. additional legal, design professional, and delay costs resulting from the Contractor's Default, and resulting from the actions or failure to act of the Surety under Paragraph 5; and
  - 7.3. liquidated damages, or if no liquidated damages are specified in the Construction Contract, actual damages caused by delayed performance or non-performance of the Contractor.
- 8. If the Surety elects to act under Paragraph 5.1, 5.3, or 5.4, the Surety's liability is limited to the amount of this Bond.
- 9. The Surety shall not be liable to the Owner or others for obligations of the Contractor that are unrelated to the Construction Contract, and the Balance of the Contract Price will not be reduced or set off on account of any such unrelated obligations. No right of action will accrue on this Bond to any person or entity other than the Owner or its heirs, executors, administrators, successors, and assigns.
- 10. The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.
- 11. Any proceeding, legal or equitable, under this Bond must be instituted in any court of competent jurisdiction in the location in which the work or part of the work is located and must be instituted within two years after a declaration of Contractor Default or within two years after the Contractor ceased working or within two years after the Surety refuses or fails to perform its obligations under this Bond, whichever occurs first. If the provisions of this paragraph are void or prohibited by law, the minimum periods of limitations available to sureties as a defense in the jurisdiction of the suit will be applicable.
- 12. Notice to the Surety, the Owner, or the Contractor must be mailed or delivered to the address shown on the page on which their signature appears.
- 13. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement will be deemed deleted therefrom and provisions conforming to such

statutory or other legal requirement will be deemed incorporated herein. When so furnished, the intent is that this Bond will be construed as a statutory bond and not as a common law bond.

### 14. Definitions

- 14.1. Balance of the Contract Price—The total amount payable by the Owner to the Contractor under the Construction Contract after all proper adjustments have been made including allowance for the Contractor for any amounts received or to be received by the Owner in settlement of insurance or other claims for damages to which the Contractor is entitled, reduced by all valid and proper payments made to or on behalf of the Contractor under the Construction Contract.
- 14.2. *Construction Contract*—The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and changes made to the agreement and the Contract Documents.
- 14.3. *Contractor Default*—Failure of the Contractor, which has not been remedied or waived, to perform or otherwise to comply with a material term of the Construction Contract.
- 14.4. Owner Default—Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.
- 14.5. *Contract Documents*—All the documents that comprise the agreement between the Owner and Contractor.
- 15. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond will be deemed to be Subcontractor and the term Owner will be deemed to be Contractor.
- 16. Modifications to this Bond are as follows: [Describe modification or enter "None"]

# **PAYMENT BOND**

Contractor  Name:  Address (principal place of business):  Address (principal place of business):	
Address (principal place of business):  Address (principal place of business):	
Owner Contract	
Name: City of Coquille URA Description (name and location):	
Mailing address (principal place of business):  Central Boulevard Striping	
851 Central Blvd.	
Coquille, Oregon 97423	
Contract Price:	
Effective Date of Contract:	
Bond	
Bond Amount:	
Date of Bond:	
(Date of Bond cannot be earlier than Effective Date of Contract)	
Modifications to this Bond form:	
□ None □ See Paragraph 18	
Surety and Contractor, intending to be legally bound hereby, subject to the terms set for	
Payment Bond, do each cause this Payment Bond to be duly executed by an authorized c representative.	officer, agent, or
Contractor as Principal Surety	
(Full formal name of Contractor) (Full formal name of Surety) (con	rporate seal)
By:	
(Signature) (Signature)(Attach Pow	er of Attorney)
Name: Name:	
(Printed or typed) (Printed or ty	rped)
Title: Title:	
Attest: Attest:	
(Signature) (Signature	e)
Name: Name:	
(Printed or typed) (Printed or ty	rped)
Title: Title:	

- 1. The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner to pay for labor, materials, and equipment furnished for use in the performance of the Construction Contract, which is incorporated herein by reference, subject to the following terms.
- 2. If the Contractor promptly makes payment of all sums due to Claimants, and defends, indemnifies, and holds harmless the Owner from claims, demands, liens, or suits by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, then the Surety and the Contractor shall have no obligation under this Bond.
- 3. If there is no Owner Default under the Construction Contract, the Surety's obligation to the Owner under this Bond will arise after the Owner has promptly notified the Contractor and the Surety (at the address described in Paragraph 13) of claims, demands, liens, or suits against the Owner or the Owner's property by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, and tendered defense of such claims, demands, liens, or suits to the Contractor and the Surety.
- 4. When the Owner has satisfied the conditions in Paragraph 3, the Surety shall promptly and at the Surety's expense defend, indemnify, and hold harmless the Owner against a duly tendered claim, demand, lien, or suit.
- 5. The Surety's obligations to a Claimant under this Bond will arise after the following:
  - 5.1. Claimants who do not have a direct contract with the Contractor
    - 5.1.1. have furnished a written notice of non-payment to the Contractor, stating with substantial accuracy the amount claimed and the name of the party to whom the materials were, or equipment was, furnished or supplied or for whom the labor was done or performed, within ninety (90) days after having last performed labor or last furnished materials or equipment included in the Claim; and
    - 5.1.2. have sent a Claim to the Surety (at the address described in Paragraph 13).
  - 5.2. Claimants who are employed by or have a direct contract with the Contractor have sent a Claim to the Surety (at the address described in Paragraph 13).
- 6. If a notice of non-payment required by Paragraph 5.1.1 is given by the Owner to the Contractor, that is sufficient to satisfy a Claimant's obligation to furnish a written notice of non-payment under Paragraph 5.1.1.
- 7. When a Claimant has satisfied the conditions of Paragraph 5.1 or 5.2, whichever is applicable, the Surety shall promptly and at the Surety's expense take the following actions:
  - 7.1. Send an answer to the Claimant, with a copy to the Owner, within sixty (60) days after receipt of the Claim, stating the amounts that are undisputed and the basis for challenging any amounts that are disputed; and
  - 7.2. Pay or arrange for payment of any undisputed amounts.
  - 7.3. The Surety's failure to discharge its obligations under Paragraph 7.1 or 7.2 will not be deemed to constitute a waiver of defenses the Surety or Contractor may have or acquire as to a Claim, except as to undisputed amounts for which the Surety and Claimant have reached agreement. If, however, the Surety fails to discharge its obligations under Paragraph 7.1 or 7.2, the Surety shall indemnify the Claimant for the reasonable attorney's fees the Claimant incurs thereafter to recover any sums found to be due and owing to the Claimant.

- 8. The Surety's total obligation will not exceed the amount of this Bond, plus the amount of reasonable attorney's fees provided under Paragraph 7.3, and the amount of this Bond will be credited for any payments made in good faith by the Surety.
- 9. Amounts owed by the Owner to the Contractor under the Construction Contract will be used for the performance of the Construction Contract and to satisfy claims, if any, under any construction performance bond. By the Contractor furnishing and the Owner accepting this Bond, they agree that all funds earned by the Contractor in the performance of the Construction Contract are dedicated to satisfying obligations of the Contractor and Surety under this Bond, subject to the Owner's priority to use the funds for the completion of the work.
- 10. The Surety shall not be liable to the Owner, Claimants, or others for obligations of the Contractor that are unrelated to the Construction Contract. The Owner shall not be liable for the payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligation to make payments to or give notice on behalf of Claimants, or otherwise have any obligations to Claimants under this Bond.
- 11. The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.
- 12. No suit or action will be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the state in which the project that is the subject of the Construction Contract is located or after the expiration of one year from the date (1) on which the Claimant sent a Claim to the Surety pursuant to Paragraph 5.1.2 or 5.2, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Construction Contract, whichever of (1) or (2) first occurs. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit will be applicable.
- 13. Notice and Claims to the Surety, the Owner, or the Contractor must be mailed or delivered to the address shown on the page on which their signature appears. Actual receipt of notice or Claims, however accomplished, will be sufficient compliance as of the date received.
- 14. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement will be deemed deleted here from and provisions conforming to such statutory or other legal requirement will be deemed incorporated herein. When so furnished, the intent is that this Bond will be construed as a statutory bond and not as a common law bond.
- 15. Upon requests by any person or entity appearing to be a potential beneficiary of this Bond, the Contractor and Owner shall promptly furnish a copy of this Bond or shall permit a copy to be made.

### 16. Definitions

- 16.1. *Claim*—A written statement by the Claimant including at a minimum:
  - 16.1.1. The name of the Claimant;
  - 16.1.2. The name of the person for whom the labor was done, or materials or equipment furnished;
  - 16.1.3. A copy of the agreement or purchase order pursuant to which labor, materials, or equipment was furnished for use in the performance of the Construction Contract;
  - 16.1.4. A brief description of the labor, materials, or equipment furnished;

- 16.1.5. The date on which the Claimant last performed labor or last furnished materials or equipment for use in the performance of the Construction Contract;
- 16.1.6. The total amount earned by the Claimant for labor, materials, or equipment furnished as of the date of the Claim;
- 16.1.7. The total amount of previous payments received by the Claimant; and
- 16.1.8. The total amount due and unpaid to the Claimant for labor, materials, or equipment furnished as of the date of the Claim.
- 16.2. Claimant—An individual or entity having a direct contract with the Contractor or with a subcontractor of the Contractor to furnish labor, materials, or equipment for use in the performance of the Construction Contract. The term Claimant also includes any individual or entity that has rightfully asserted a claim under an applicable mechanic's lien or similar statute against the real property upon which the Project is located. The intent of this Bond is to include without limitation in the terms of "labor, materials, or equipment" that part of the water, gas, power, light, heat, oil, gasoline, telephone service, or rental equipment used in the Construction Contract, architectural and engineering services required for performance of the work of the Contractor and the Contractor's subcontractors, and all other items for which a mechanic's lien may be asserted in the jurisdiction where the labor, materials, or equipment were furnished.
- 16.3. Construction Contract—The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and all changes made to the agreement and the Contract Documents.
- 16.4. Owner Default—Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.
- 16.5. *Contract Documents*—All the documents that comprise the agreement between the Owner and Contractor.
- 17. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond will be deemed to be Subcontractor and the term Owner will be deemed to be Contractor.
- 18. Modifications to this Bond are as follows: [Describe modification or enter "None"]

**Contractor's Application for Payment** Owner: Owner's Project No.: **Engineer's Project No.: Engineer: Contractor's Project No.: Contractor: Project: Contract: Application No.:** Application Date: **Application Period:** From to 1. Original Contract Price \$ \$ 2. Net change by Change Orders \$ 3. Current Contract Price (Line 1 + Line 2) 4. Total Work completed and materials stored to date (Sum of Column G Lump Sum Total and Column J Unit Price Total) 5. Retainage a.\_\_\_\_ X \$ - Work Completed \$ b. X \$ - Stored Materials \$ c. Total Retainage (Line 5.a + Line 5.b) \$ 6. Amount eligible to date (Line 4 - Line 5.c) 7. Less previous payments (Line 6 from prior application) 8. Amount due this application \$ \$ 9. Balance to finish, including retainage (Line 3 - Line 4) **Contractor's Certification** The undersigned Contractor certifies, to the best of its knowledge, the following: (1) All previous progress payments received from Owner on account of Work done under the Contract have been applied on account to discharge Contractor's legitimate obligations incurred in connection with the Work covered by prior Applications for Payment; (2) Title to all Work, materials and equipment incorporated in said Work, or otherwise listed in or covered by this Application for Payment, will pass to Owner at time of payment free and clear of all liens, security interests, and encumbrances (except such as are covered by a bond acceptable to Owner indemnifying Owner against any such liens, security interest, or encumbrances); and (3) All the Work covered by this Application for Payment is in accordance with the Contract Documents and is not defective. Contractor: Signature: Date: **Recommended by Engineer Approved by Owner** By: By: Title: Title: Date: Date: Approved by Funding Agency By: By: Title: Title: Date: Date:

#### **Progress Estimate - Lump Sum Work Contractor's Application for Payment** Owner's Project No.: Owner: Engineer: Engineer's Project No.: Contractor: Contractor's Project No.: Project: Contract: Application No.: **Application Period:** From to **Application Date:** Α В С G Н **Work Completed** Work Completed (D + E) From **Materials Currently** and Materials Previous Stored (not in D or Stored to Date % of Scheduled Balance to Finish (C Application This Period (D + E + F) Value (G / C) - G) E) (\$) Item No. Description Scheduled Value (\$) (\$) (\$) (\$) (\$) (%) **Original Contract** ----\_ ---

# EJCDC C-620 Contractor's Application for Payment (c) 2018 National Society of Professional Engineers for EJCDC. All rights reserved.

Original Contract Totals \$

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Progress Estima	ite - Lump Sum Work					Cont	ractor's Applicat	ion for Paymen
Owner: Engineer: Contractor: Project: Contract:					Owner's Project No.: Engineer's Project No.: Contractor's Project No.:			
Application No.:	Application Period	From		to			Application Date:	
Α	В	С	D	E	F	G	Н	ı
			Work Co	mpleted		Work Completed		
Item No.	Description	Scheduled Value (\$)	(D + E) From Previous Application (\$)	This Period (\$)	Materials Currently Stored (not in D or E) (\$)		% of Scheduled Value (G / C) (%)	Balance to Finish (4
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Original Contract and Change Orders

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- \$

- \$

- \$

Change Order Totals \$

Project Totals \$

\$

Progress	Estimate - Unit Price Work								Contractor's Ap	plication	for Payment
Owner: Engineer: Contractor: Project:								- - -	Owner's Project No. Engineer's Project N Contractor's Project	lo.:	
Contract:	<u> </u>							-			
Application	No.: Application Period:	: From		to		_			Applica	ation Date:	
Α	В	С	D	E	F	G	Н	1	J	К	L
Bid Item No.	Description	Item Quantity	Contrac	Unit Price	Value of Bid Item (C X E) (\$)	Estimated		Materials Currently Stored (not in G) (\$)	Work Completed and Materials Stored to Date (H + I) (\$)	% of Value of Item (J / F) (%)	Balance to Finish (F - J) (\$)
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Progress	Estimate - Unit Price Work								Contractor's Ap	plication	n for Payment
Owner: Engineer: Contractor: Project:								-	Owner's Project No. Engineer's Project N Contractor's Project	lo.:	
Contract:								-			
Application	No.: Application Period	From		to		=			Applic	ation Date:	
Α	В	С	D	E	F	G	Н	I	J	К	L
Bid Item	Description	Item Quantity	Contract	Unit Price	Value of Bid Item (C X E) (\$)	Estimated Quantity Incorporated in the Work		Materials Currently Stored (not in G) (\$)	Work Completed and Materials Stored to Date (H + I) (\$)	% of Value of Item (J / F) (%)	Balance to Finish (F - J) (\$)
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				Original Contra	ct and Change Orde	rs					

Project Totals \$

**Stored Materials Summary Contractor's Application for Payment** Owner: Owner's Project No.: Engineer: Engineer's Project No.: Contractor: Contractor's Project No.: Project: Contract: Application No.: **Application Period:** From to Application Date: Materials Stored Incorporated in Work Total Amount Application Materials Submittal No. No. When **Amount Previously** Incorporated in the Item No. Amount Remaining in Incorporated in the Incorporated in the (Lump Sum Tab) (with Materials **Previous Amount** Amount Stored this | Amount Stored to Storage or Bid Item No. Supplier Specification **Description of Materials or** Date (G+H) Work **Work this Period** (J+K) (I-L) Placed in Period (Unit Price Tab) Invoice No. Section No.) **Equipment Stored** Storage Location Storage (\$) (\$) (\$) (\$) (\$) (\$) (\$)

Totals \$

# **CERTIFICATE OF SUBSTANTIAL COMPLETION**

Owner: Engineer: Contractor: Project: Contract Name:	Owner's Project No.: Engineer's Project No.: Contractor's Project No.:
This $\square$ Preliminary $\square$ Final Certificate of Sub	stantial Completion applies to:
$\square$ All Work $\square$ The following specified po	rtions of the Work:
[Describe the portion of the work for wh	nich Certificate of Substantial Completion is issued]
Date of Substantial Completion: [Enter date,	as determined by Engineer]
Contractor, and Engineer, and found to be su the Work or portion thereof designated abov Contract pertaining to Substantial Completion	s been inspected by authorized representatives of Owner, bstantially complete. The Date of Substantial Completion of e is hereby established, subject to the provisions of the n. The date of Substantial Completion in the final Certificate neement of the contractual correction period and ct.
·	ected is attached to this Certificate. This list may not be allon such list does not alter the responsibility of the ce with the Contract Documents.
Amendments of contractual responsibilities r agreement of Owner and Contractor; see Par	ecorded in this Certificate should be the product of mutual agraph 15.03.D of the General Conditions.
·	tractor for security, operation, safety, maintenance, heat, ner's use or occupancy of the Work must be as provided in
Amendments to Owner's Responsibilities: $\Box$	None ☐ As follows:
[List amendments to Owner's Responsib	ilities]
Amendments to Contractor's Responsibilities	: $\square$ None $\square$ As follows:
[List amendments to Contractor's Respo	nsibilities]
The following documents are attached to and	I made a part of this Certificate:
[List attachments such as punch list; other	er documents]
·	ance of Work not in accordance with the Contract obligation to complete the Work in accordance with the
Engineer	
By (signature):	
Name (printed):	
Title:	



# STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

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# STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

### ARTICLE 1—DEFINITIONS AND TERMINOLOGY

## 1.01 Defined Terms

- A. Wherever used in the Bidding Requirements or Contract Documents, a term printed with initial capital letters, including the term's singular and plural forms, will have the meaning indicated in the definitions below. In addition to terms specifically defined, terms with initial capital letters in the Contract Documents include references to identified articles and paragraphs, and the titles of other documents or forms.
  - Addenda—Written or graphic instruments issued prior to the opening of Bids which clarify, correct, or change the Bidding Requirements or the proposed Contract Documents.
  - Agreement—The written instrument, executed by Owner and Contractor, that sets forth
    the Contract Price and Contract Times, identifies the parties and the Engineer, and
    designates the specific items that are Contract Documents.
  - 3. Application for Payment—The document prepared by Contractor, in a form acceptable to Engineer, to request progress or final payments, and which is to be accompanied by such supporting documentation as is required by the Contract Documents.
  - 4. *Bid*—The offer of a Bidder submitted on the prescribed form setting forth the prices for the Work to be performed.
  - 5. *Bidder*—An individual or entity that submits a Bid to Owner.
  - 6. *Bidding Documents*—The Bidding Requirements, the proposed Contract Documents, and all Addenda.
  - 7. *Bidding Requirements*—The Advertisement or invitation to bid, Instructions to Bidders, Bid Bond or other Bid security, if any, the Bid Form, and the Bid with any attachments.
  - 8. Change Order—A document which is signed by Contractor and Owner and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, or other revision to the Contract, issued on or after the Effective Date of the Contract.
  - 9. Change Proposal—A written request by Contractor, duly submitted in compliance with the procedural requirements set forth herein, seeking an adjustment in Contract Price or Contract Times; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; challenging a set-off against payments due; or seeking other relief with respect to the terms of the Contract.

### 10. Claim

 a. A demand or assertion by Owner directly to Contractor, duly submitted in compliance with the procedural requirements set forth herein, seeking an adjustment of Contract Price or Contract Times; contesting an initial decision by Engineer concerning the

- requirements of the Contract Documents or the acceptability of Work under the Contract Documents; contesting Engineer's decision regarding a Change Proposal; seeking resolution of a contractual issue that Engineer has declined to address; or seeking other relief with respect to the terms of the Contract.
- b. A demand or assertion by Contractor directly to Owner, duly submitted in compliance with the procedural requirements set forth herein, contesting Engineer's decision regarding a Change Proposal, or seeking resolution of a contractual issue that Engineer has declined to address.
- c. A demand or assertion by Owner or Contractor, duly submitted in compliance with the procedural requirements set forth herein, made pursuant to Paragraph 12.01.A.4, concerning disputes arising after Engineer has issued a recommendation of final payment.
- d. A demand for money or services by a third party is not a Claim.
- 11. Constituent of Concern—Asbestos, petroleum, radioactive materials, polychlorinated biphenyls (PCBs), lead-based paint (as defined by the HUD/EPA standard), hazardous waste, and any substance, product, waste, or other material of any nature whatsoever that is or becomes listed, regulated, or addressed pursuant to Laws and Regulations regulating, relating to, or imposing liability or standards of conduct concerning, any hazardous, toxic, or dangerous waste, substance, or material.
- 12. *Contract*—The entire and integrated written contract between Owner and Contractor concerning the Work.
- 13. *Contract Documents*—Those items so designated in the Agreement, and which together comprise the Contract.
- 14. *Contract Price*—The money that Owner has agreed to pay Contractor for completion of the Work in accordance with the Contract Documents.
- 15. *Contract Times*—The number of days or the dates by which Contractor shall: (a) achieve Milestones, if any; (b) achieve Substantial Completion; and (c) complete the Work.
- 16. *Contractor*—The individual or entity with which Owner has contracted for performance of the Work.
- 17. Cost of the Work—See Paragraph 13.01 for definition.
- 18. *Drawings*—The part of the Contract that graphically shows the scope, extent, and character of the Work to be performed by Contractor.
- 19. *Effective Date of the Contract*—The date, indicated in the Agreement, on which the Contract becomes effective.
- 20. *Electronic Document*—Any Project-related correspondence, attachments to correspondence, data, documents, drawings, information, or graphics, including but not limited to Shop Drawings and other Submittals, that are in an electronic or digital format.
- 21. Electronic Means—Electronic mail (email), upload/download from a secure Project website, or other communications methods that allow: (a) the transmission or communication of Electronic Documents; (b) the documentation of transmissions, including sending and receipt; (c) printing of the transmitted Electronic Document by the

- recipient; (d) the storage and archiving of the Electronic Document by sender and recipient; and (e) the use by recipient of the Electronic Document for purposes permitted by this Contract. Electronic Means does not include the use of text messaging, or of Facebook, Twitter, Instagram, or similar social media services for transmission of Electronic Documents.
- 22. Engineer—The individual or entity named as such in the Agreement.
- 23. Field Order—A written order issued by Engineer which requires minor changes in the Work but does not change the Contract Price or the Contract Times.
- 24. *Hazardous Environmental Condition*—The presence at the Site of Constituents of Concern in such quantities or circumstances that may present a danger to persons or property exposed thereto.
  - a. The presence at the Site of materials that are necessary for the execution of the Work, or that are to be incorporated into the Work, and that are controlled and contained pursuant to industry practices, Laws and Regulations, and the requirements of the Contract, is not a Hazardous Environmental Condition.
  - b. The presence of Constituents of Concern that are to be removed or remediated as part of the Work is not a Hazardous Environmental Condition.
  - c. The presence of Constituents of Concern as part of the routine, anticipated, and obvious working conditions at the Site, is not a Hazardous Environmental Condition.
- 25. Laws and Regulations; Laws or Regulations—Any and all applicable laws, statutes, rules, regulations, ordinances, codes, and binding decrees, resolutions, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.
- 26. *Liens*—Charges, security interests, or encumbrances upon Contract-related funds, real property, or personal property.
- 27. *Milestone*—A principal event in the performance of the Work that the Contract requires Contractor to achieve by an intermediate completion date, or by a time prior to Substantial Completion of all the Work.
- 28. *Notice of Award*—The written notice by Owner to a Bidder of Owner's acceptance of the Bid.
- 29. *Notice to Proceed*—A written notice by Owner to Contractor fixing the date on which the Contract Times will commence to run and on which Contractor shall start to perform the Work.
- 30. Owner—The individual or entity with which Contractor has contracted regarding the Work, and which has agreed to pay Contractor for the performance of the Work, pursuant to the terms of the Contract.
- 31. *Progress Schedule*—A schedule, prepared and maintained by Contractor, describing the sequence and duration of the activities comprising Contractor's plan to accomplish the Work within the Contract Times.
- 32. *Project*—The total undertaking to be accomplished for Owner by engineers, contractors, and others, including planning, study, design, construction, testing, commissioning, and start-up, and of which the Work to be performed under the Contract Documents is a part.

- 33. Resident Project Representative—The authorized representative of Engineer assigned to assist Engineer at the Site. As used herein, the term Resident Project Representative (RPR) includes any assistants or field staff of Resident Project Representative.
- 34. Samples—Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and that establish the standards by which such portion of the Work will be judged.
- 35. *Schedule of Submittals*—A schedule, prepared and maintained by Contractor, of required submittals and the time requirements for Engineer's review of the submittals.
- 36. Schedule of Values—A schedule, prepared and maintained by Contractor, allocating portions of the Contract Price to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.
- 37. Shop Drawings—All drawings, diagrams, illustrations, schedules, and other data or information that are specifically prepared or assembled by or for Contractor and submitted by Contractor to illustrate some portion of the Work. Shop Drawings, whether approved or not, are not Drawings and are not Contract Documents.
- 38. Site—Lands or areas indicated in the Contract Documents as being furnished by Owner upon which the Work is to be performed, including rights-of-way and easements, and such other lands or areas furnished by Owner which are designated for the use of Contractor.
- 39. *Specifications*—The part of the Contract that consists of written requirements for materials, equipment, systems, standards, and workmanship as applied to the Work, and certain administrative requirements and procedural matters applicable to the Work.
- 40. *Subcontractor*—An individual or entity having a direct contract with Contractor or with any other Subcontractor for the performance of a part of the Work.
- 41. Submittal—A written or graphic document, prepared by or for Contractor, which the Contract Documents require Contractor to submit to Engineer, or that is indicated as a Submittal in the Schedule of Submittals accepted by Engineer. Submittals may include Shop Drawings and Samples; schedules; product data; Owner-delegated designs; sustainable design information; information on special procedures; testing plans; results of tests and evaluations, source quality-control testing and inspections, and field or Site quality-control testing and inspections; warranties and certifications; Suppliers' instructions and reports; records of delivery of spare parts and tools; operations and maintenance data; Project photographic documentation; record documents; and other such documents required by the Contract Documents. Submittals, whether or not approved or accepted by Engineer, are not Contract Documents. Change Proposals, Change Orders, Claims, notices, Applications for Payment, and requests for interpretation or clarification are not Submittals.
- 42. Substantial Completion—The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms "substantially complete" and "substantially completed" as applied to all or part of the Work refer to Substantial Completion of such Work.

- 43. Successful Bidder—The Bidder to which the Owner makes an award of contract.
- 44. *Supplementary Conditions*—The part of the Contract that amends or supplements these General Conditions.
- 45. Supplier—A manufacturer, fabricator, supplier, distributor, or vendor having a direct contract with Contractor or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by Contractor or a Subcontractor.

### 46. Technical Data

- a. Those items expressly identified as Technical Data in the Supplementary Conditions, with respect to either (1) existing subsurface conditions at or adjacent to the Site, or existing physical conditions at or adjacent to the Site including existing surface or subsurface structures (except Underground Facilities) or (2) Hazardous Environmental Conditions at the Site.
- b. If no such express identifications of Technical Data have been made with respect to conditions at the Site, then Technical Data is defined, with respect to conditions at the Site under Paragraphs 5.03, 5.04, and 5.06, as the data contained in boring logs, recorded measurements of subsurface water levels, assessments of the condition of subsurface facilities, laboratory test results, and other factual, objective information regarding conditions at the Site that are set forth in any geotechnical, environmental, or other Site or facilities conditions report prepared for the Project and made available to Contractor.
- c. Information and data regarding the presence or location of Underground Facilities are not intended to be categorized, identified, or defined as Technical Data, and instead Underground Facilities are shown or indicated on the Drawings.
- 47. *Underground Facilities*—All active or not-in-service underground lines, pipelines, conduits, ducts, encasements, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or systems at the Site, including but not limited to those facilities or systems that produce, transmit, distribute, or convey telephone or other communications, cable television, fiber optic transmissions, power, electricity, light, heat, gases, oil, crude oil products, liquid petroleum products, water, steam, waste, wastewater, storm water, other liquids or chemicals, or traffic or other control systems. An abandoned facility or system is not an Underground Facility.
- 48. *Unit Price Work*—Work to be paid for on the basis of unit prices.
- 49. Work—The entire construction or the various separately identifiable parts thereof required to be provided under the Contract Documents. Work includes and is the result of performing or providing all labor, services, and documentation necessary to produce such construction; furnishing, installing, and incorporating all materials and equipment into such construction; and may include related services such as testing, start-up, and commissioning, all as required by the Contract Documents.
- 50. Work Change Directive—A written directive to Contractor issued on or after the Effective Date of the Contract, signed by Owner and recommended by Engineer, ordering an addition, deletion, or revision in the Work.

### 1.02 *Terminology*

- A. The words and terms discussed in Paragraphs 1.02.B, C, D, and E are not defined terms that require initial capital letters, but, when used in the Bidding Requirements or Contract Documents, have the indicated meaning.
- B. Intent of Certain Terms or Adjectives: The Contract Documents include the terms "as allowed," "as approved," "as ordered," "as directed" or terms of like effect or import to authorize an exercise of professional judgment by Engineer. In addition, the adjectives "reasonable," "suitable," "acceptable," "proper," "satisfactory," or adjectives of like effect or import are used to describe an action or determination of Engineer as to the Work. It is intended that such exercise of professional judgment, action, or determination will be solely to evaluate, in general, the Work for compliance with the information in the Contract Documents and with the design concept of the Project as a functioning whole as shown or indicated in the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective is not intended to and shall not be effective to assign to Engineer any duty or authority to supervise or direct the performance of the Work, or any duty or authority to undertake responsibility contrary to the provisions of Article 10 or any other provision of the Contract Documents.
- C. Day: The word "day" means a calendar day of 24 hours measured from midnight to the next midnight.
- D. *Defective*: The word "defective," when modifying the word "Work," refers to Work that is unsatisfactory, faulty, or deficient in that it:
  - 1. does not conform to the Contract Documents;
  - 2. does not meet the requirements of any applicable inspection, reference standard, test, or approval referred to in the Contract Documents; or
  - 3. has been damaged prior to Engineer's recommendation of final payment (unless responsibility for the protection thereof has been assumed by Owner at Substantial Completion in accordance with Paragraph 15.03 or Paragraph 15.04).

### E. Furnish, Install, Perform, Provide

- 1. The word "furnish," when used in connection with services, materials, or equipment, means to supply and deliver said services, materials, or equipment to the Site (or some other specified location) ready for use or installation and in usable or operable condition.
- 2. The word "install," when used in connection with services, materials, or equipment, means to put into use or place in final position said services, materials, or equipment complete and ready for intended use.
- 3. The words "perform" or "provide," when used in connection with services, materials, or equipment, means to furnish and install said services, materials, or equipment complete and ready for intended use.
- 4. If the Contract Documents establish an obligation of Contractor with respect to specific services, materials, or equipment, but do not expressly use any of the four words "furnish," "install," "perform," or "provide," then Contractor shall furnish and install said services, materials, or equipment complete and ready for intended use.

- F. Contract Price or Contract Times: References to a change in "Contract Price or Contract Times" or "Contract Times or Contract Price" or similar, indicate that such change applies to (1) Contract Price, (2) Contract Times, or (3) both Contract Price and Contract Times, as warranted, even if the term "or both" is not expressed.
- G. Unless stated otherwise in the Contract Documents, words or phrases that have a well-known technical or construction industry or trade meaning are used in the Contract Documents in accordance with such recognized meaning.

#### **ARTICLE 2—PRELIMINARY MATTERS**

## 2.01 Delivery of Performance and Payment Bonds; Evidence of Insurance

- A. *Performance and Payment Bonds*: When Contractor delivers the signed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner the performance bond and payment bond (if the Contract requires Contractor to furnish such bonds).
- B. Evidence of Contractor's Insurance: When Contractor delivers the signed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner, with copies to each additional insured (as identified in the Contract), the certificates, endorsements, and other evidence of insurance required to be provided by Contractor in accordance with Article 6, except to the extent the Supplementary Conditions expressly establish other dates for delivery of specific insurance policies.
- C. Evidence of Owner's Insurance: After receipt of the signed counterparts of the Agreement and all required bonds and insurance documentation, Owner shall promptly deliver to Contractor, with copies to each additional insured (as identified in the Contract), the certificates and other evidence of insurance required to be provided by Owner under Article 6.

# 2.02 Copies of Documents

- A. Owner shall furnish to Contractor four printed copies of the Contract (including one fully signed counterpart of the Agreement), and one copy in electronic portable document format (PDF). Additional printed copies will be furnished upon request at the cost of reproduction.
- B. Owner shall maintain and safeguard at least one original printed record version of the Contract, including Drawings and Specifications signed and sealed by Engineer and other design professionals. Owner shall make such original printed record version of the Contract available to Contractor for review. Owner may delegate the responsibilities under this provision to Engineer.

### 2.03 Before Starting Construction

- A. *Preliminary Schedules*: Within 10 days after the Effective Date of the Contract (or as otherwise required by the Contract Documents), Contractor shall submit to Engineer for timely review:
  - a preliminary Progress Schedule indicating the times (numbers of days or dates) for starting and completing the various stages of the Work, including any Milestones specified in the Contract;
  - 2. a preliminary Schedule of Submittals; and
  - 3. a preliminary Schedule of Values for all of the Work which includes quantities and prices of items which when added together equal the Contract Price and subdivides the Work

into component parts in sufficient detail to serve as the basis for progress payments during performance of the Work. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work.

## 2.04 Preconstruction Conference; Designation of Authorized Representatives

- A. Before any Work at the Site is started, a conference attended by Owner, Contractor, Engineer, and others as appropriate will be held to establish a working understanding among the parties as to the Work, and to discuss the schedules referred to in Paragraph 2.03.A, procedures for handling Shop Drawings, Samples, and other Submittals, processing Applications for Payment, electronic or digital transmittals, and maintaining required records.
- B. At this conference Owner and Contractor each shall designate, in writing, a specific individual to act as its authorized representative with respect to the services and responsibilities under the Contract. Such individuals shall have the authority to transmit and receive information, render decisions relative to the Contract, and otherwise act on behalf of each respective party.

# 2.05 Acceptance of Schedules

- A. At least 10 days before submission of the first Application for Payment a conference, attended by Contractor, Engineer, and others as appropriate, will be held to review the schedules submitted in accordance with Paragraph 2.03.A. No progress payment will be made to Contractor until acceptable schedules are submitted to Engineer.
  - The Progress Schedule will be acceptable to Engineer if it provides an orderly progression
    of the Work to completion within the Contract Times. Such acceptance will not impose
    on Engineer responsibility for the Progress Schedule, for sequencing, scheduling, or
    progress of the Work, nor interfere with or relieve Contractor from Contractor's full
    responsibility therefor.
  - 2. Contractor's Schedule of Submittals will be acceptable to Engineer if it provides a workable arrangement for reviewing and processing the required submittals.
  - Contractor's Schedule of Values will be acceptable to Engineer as to form and substance if it provides a reasonable allocation of the Contract Price to the component parts of the Work.
  - 4. If a schedule is not acceptable, Contractor will have an additional 10 days to revise and resubmit the schedule.

### 2.06 Electronic Transmittals

- A. Except as otherwise stated elsewhere in the Contract, the Owner, Engineer, and Contractor may send, and shall accept, Electronic Documents transmitted by Electronic Means.
- B. If the Contract does not establish protocols for Electronic Means, then Owner, Engineer, and Contractor shall jointly develop such protocols.
- C. Subject to any governing protocols for Electronic Means, when transmitting Electronic Documents by Electronic Means, the transmitting party makes no representations as to long-term compatibility, usability, or readability of the Electronic Documents resulting from the recipient's use of software application packages, operating systems, or computer hardware differing from those used in the drafting or transmittal of the Electronic Documents.

#### ARTICLE 3—CONTRACT DOCUMENTS: INTENT, REQUIREMENTS, REUSE

### 3.01 Intent

- A. The Contract Documents are complementary; what is required by one Contract Document is as binding as if required by all.
- B. It is the intent of the Contract Documents to describe a functionally complete Project (or part thereof) to be constructed in accordance with the Contract Documents.
- C. Unless otherwise stated in the Contract Documents, if there is a discrepancy between the electronic versions of the Contract Documents (including any printed copies derived from such electronic versions) and the printed record version, the printed record version will govern.
- D. The Contract supersedes prior negotiations, representations, and agreements, whether written or oral.
- E. Engineer will issue clarifications and interpretations of the Contract Documents as provided herein.
- F. Any provision or part of the Contract Documents held to be void or unenforceable under any Law or Regulation will be deemed stricken, and all remaining provisions will continue to be valid and binding upon Owner and Contractor, which agree that the Contract Documents will be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision.
- G. Nothing in the Contract Documents creates:
  - 1. any contractual relationship between Owner or Engineer and any Subcontractor, Supplier, or other individual or entity performing or furnishing any of the Work, for the benefit of such Subcontractor, Supplier, or other individual or entity; or
  - 2. any obligation on the part of Owner or Engineer to pay or to see to the payment of any money due any such Subcontractor, Supplier, or other individual or entity, except as may otherwise be required by Laws and Regulations.

# 3.02 Reference Standards

- A. Standards Specifications, Codes, Laws and Regulations
  - Reference in the Contract Documents to standard specifications, manuals, reference standards, or codes of any technical society, organization, or association, or to Laws or Regulations, whether such reference be specific or by implication, means the standard specification, manual, reference standard, code, or Laws or Regulations in effect at the time of opening of Bids (or on the Effective Date of the Contract if there were no Bids), except as may be otherwise specifically stated in the Contract Documents.
  - 2. No provision of any such standard specification, manual, reference standard, or code, and no instruction of a Supplier, will be effective to change the duties or responsibilities of Owner, Contractor, or Engineer from those set forth in the part of the Contract Documents prepared by or for Engineer. No such provision or instruction shall be effective to assign to Owner or Engineer any duty or authority to supervise or direct the performance of the Work, or any duty or authority to undertake responsibility

inconsistent with the provisions of the part of the Contract Documents prepared by or for Engineer.

# 3.03 Reporting and Resolving Discrepancies

## A. Reporting Discrepancies

- 1. Contractor's Verification of Figures and Field Measurements: Before undertaking each part of the Work, Contractor shall carefully study the Contract Documents, and check and verify pertinent figures and dimensions therein, particularly with respect to applicable field measurements. Contractor shall promptly report in writing to Engineer any conflict, error, ambiguity, or discrepancy that Contractor discovers, or has actual knowledge of, and shall not proceed with any Work affected thereby until the conflict, error, ambiguity, or discrepancy is resolved by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract issued pursuant to Paragraph 11.01.
- 2. Contractor's Review of Contract Documents: If, before or during the performance of the Work, Contractor discovers any conflict, error, ambiguity, or discrepancy within the Contract Documents, or between the Contract Documents and (a) any applicable Law or Regulation, (b) actual field conditions, (c) any standard specification, manual, reference standard, or code, or (d) any instruction of any Supplier, then Contractor shall promptly report it to Engineer in writing. Contractor shall not proceed with the Work affected thereby (except in an emergency as required by Paragraph 7.15) until the conflict, error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract issued pursuant to Paragraph 11.01.
- Contractor shall not be liable to Owner or Engineer for failure to report any conflict, error, ambiguity, or discrepancy in the Contract Documents unless Contractor had actual knowledge thereof.

## B. Resolving Discrepancies

- Except as may be otherwise specifically stated in the Contract Documents, the provisions
  of the part of the Contract Documents prepared by or for Engineer take precedence in
  resolving any conflict, error, ambiguity, or discrepancy between such provisions of the
  Contract Documents and:
  - a. the provisions of any standard specification, manual, reference standard, or code, or the instruction of any Supplier (whether or not specifically incorporated by reference as a Contract Document); or
  - b. the provisions of any Laws or Regulations applicable to the performance of the Work (unless such an interpretation of the provisions of the Contract Documents would result in violation of such Law or Regulation).

## 3.04 Requirements of the Contract Documents

A. During the performance of the Work and until final payment, Contractor and Owner shall submit to the Engineer in writing all matters in question concerning the requirements of the Contract Documents (sometimes referred to as requests for information or interpretation—RFIs), or relating to the acceptability of the Work under the Contract Documents, as soon as possible after such matters arise. Engineer will be the initial interpreter of the requirements of the Contract Documents, and judge of the acceptability of the Work.

- B. Engineer will, with reasonable promptness, render a written clarification, interpretation, or decision on the issue submitted, or initiate an amendment or supplement to the Contract Documents. Engineer's written clarification, interpretation, or decision will be final and binding on Contractor, unless it appeals by submitting a Change Proposal, and on Owner, unless it appeals by filing a Claim.
- C. If a submitted matter in question concerns terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work under the Contract Documents, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, then Engineer will promptly notify Owner and Contractor in writing that Engineer is unable to provide a decision or interpretation. If Owner and Contractor are unable to agree on resolution of such a matter in question, either party may pursue resolution as provided in Article 12.

## 3.05 Reuse of Documents

- A. Contractor and its Subcontractors and Suppliers shall not:
  - have or acquire any title to or ownership rights in any of the Drawings, Specifications, or other documents (or copies of any thereof) prepared by or bearing the seal of Engineer or its consultants, including electronic media versions, or reuse any such Drawings, Specifications, other documents, or copies thereof on extensions of the Project or any other project without written consent of Owner and Engineer and specific written verification or adaptation by Engineer; or
  - 2. have or acquire any title or ownership rights in any other Contract Documents, reuse any such Contract Documents for any purpose without Owner's express written consent, or violate any copyrights pertaining to such Contract Documents.
- B. The prohibitions of this Paragraph 3.05 will survive final payment, or termination of the Contract. Nothing herein precludes Contractor from retaining copies of the Contract Documents for record purposes.

## ARTICLE 4—COMMENCEMENT AND PROGRESS OF THE WORK

- 4.01 Commencement of Contract Times; Notice to Proceed
  - A. The Contract Times will commence to run on the 30th day after the Effective Date of the Contract or, if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within 30 days after the Effective Date of the Contract. In no event will the Contract Times commence to run later than the 60th day after the day of Bid opening or the 30th day after the Effective Date of the Contract, whichever date is earlier.

## 4.02 Starting the Work

A. Contractor shall start to perform the Work on the date when the Contract Times commence to run. No Work may be done at the Site prior to such date.

### 4.03 Reference Points

A. Owner shall provide engineering surveys to establish reference points for construction which in Engineer's judgment are necessary to enable Contractor to proceed with the Work. Contractor shall be responsible for laying out the Work, shall protect and preserve the

established reference points and property monuments, and shall make no changes or relocations without the prior written approval of Owner. Contractor shall report to Engineer whenever any reference point or property monument is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points or property monuments by professionally qualified personnel.

## 4.04 Progress Schedule

- A. Contractor shall adhere to the Progress Schedule established in accordance with Paragraph 2.05 as it may be adjusted from time to time as provided below.
  - 1. Contractor shall submit to Engineer for acceptance (to the extent indicated in Paragraph 2.05) proposed adjustments in the Progress Schedule that will not result in changing the Contract Times.
  - 2. Proposed adjustments in the Progress Schedule that will change the Contract Times must be submitted in accordance with the requirements of Article 11.
- B. Contractor shall carry on the Work and adhere to the Progress Schedule during all disputes or disagreements with Owner. No Work will be delayed or postponed pending resolution of any disputes or disagreements, or during any appeal process, except as permitted by Paragraph 16.04, or as Owner and Contractor may otherwise agree in writing.

# 4.05 Delays in Contractor's Progress

- A. If Owner, Engineer, or anyone for whom Owner is responsible, delays, disrupts, or interferes with the performance or progress of the Work, then Contractor shall be entitled to an equitable adjustment in Contract Price or Contract Times.
- B. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for delay, disruption, or interference caused by or within the control of Contractor. Delay, disruption, and interference attributable to and within the control of a Subcontractor or Supplier shall be deemed to be within the control of Contractor.
- C. If Contractor's performance or progress is delayed, disrupted, or interfered with by unanticipated causes not the fault of and beyond the control of Owner, Contractor, and those for which they are responsible, then Contractor shall be entitled to an equitable adjustment in Contract Times. Such an adjustment will be Contractor's sole and exclusive remedy for the delays, disruption, and interference described in this paragraph. Causes of delay, disruption, or interference that may give rise to an adjustment in Contract Times under this paragraph include but are not limited to the following:
  - 1. Severe and unavoidable natural catastrophes such as fires, floods, epidemics, and earthquakes;
  - 2. Abnormal weather conditions;
  - 3. Acts or failures to act of third-party utility owners or other third-party entities (other than those third-party utility owners or other third-party entities performing other work at or adjacent to the Site as arranged by or under contract with Owner, as contemplated in Article 8); and
  - 4. Acts of war or terrorism.

- D. Contractor's entitlement to an adjustment of Contract Times or Contract Price is limited as follows:
  - 1. Contractor's entitlement to an adjustment of the Contract Times is conditioned on the delay, disruption, or interference adversely affecting an activity on the critical path to completion of the Work, as of the time of the delay, disruption, or interference.
  - Contractor shall not be entitled to an adjustment in Contract Price for any delay, disruption, or interference if such delay is concurrent with a delay, disruption, or interference caused by or within the control of Contractor. Such a concurrent delay by Contractor shall not preclude an adjustment of Contract Times to which Contractor is otherwise entitled.
  - 3. Adjustments of Contract Times or Contract Price are subject to the provisions of Article 11.
- E. Each Contractor request or Change Proposal seeking an increase in Contract Times or Contract Price must be supplemented by supporting data that sets forth in detail the following:
  - 1. The circumstances that form the basis for the requested adjustment;
  - 2. The date upon which each cause of delay, disruption, or interference began to affect the progress of the Work;
  - 3. The date upon which each cause of delay, disruption, or interference ceased to affect the progress of the Work;
  - 4. The number of days' increase in Contract Times claimed as a consequence of each such cause of delay, disruption, or interference; and
  - 5. The impact on Contract Price, in accordance with the provisions of Paragraph 11.07.
  - Contractor shall also furnish such additional supporting documentation as Owner or Engineer may require including, where appropriate, a revised progress schedule indicating all the activities affected by the delay, disruption, or interference, and an explanation of the effect of the delay, disruption, or interference on the critical path to completion of the Work.
- F. Delays, disruption, and interference to the performance or progress of the Work resulting from the existence of a differing subsurface or physical condition, an Underground Facility that was not shown or indicated by the Contract Documents, or not shown or indicated with reasonable accuracy, and those resulting from Hazardous Environmental Conditions, are governed by Article 5, together with the provisions of Paragraphs 4.05.D and 4.05.E.
- G. Paragraph 8.03 addresses delays, disruption, and interference to the performance or progress of the Work resulting from the performance of certain other work at or adjacent to the Site.

# ARTICLE 5—SITE; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS

- 5.01 Availability of Lands
  - A. Owner shall furnish the Site. Owner shall notify Contractor in writing of any encumbrances or restrictions not of general application but specifically related to use of the Site with which Contractor must comply in performing the Work.

- B. Upon reasonable written request, Owner shall furnish Contractor with a current statement of record legal title and legal description of the lands upon which permanent improvements are to be made and Owner's interest therein as necessary for giving notice of or filing a mechanic's or construction lien against such lands in accordance with applicable Laws and Regulations.
- C. Contractor shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.

#### 5.02 Use of Site and Other Areas

- A. Limitation on Use of Site and Other Areas
  - 1. Contractor shall confine construction equipment, temporary construction facilities, the storage of materials and equipment, and the operations of workers to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas permitted by Laws and Regulations, and shall not unreasonably encumber the Site and such other adjacent areas with construction equipment or other materials or equipment. Contractor shall assume full responsibility for (a) damage to the Site; (b) damage to any such other adjacent areas used for Contractor's operations; (c) damage to any other adjacent land or areas, or to improvements, structures, utilities, or similar facilities located at such adjacent lands or areas; and (d) for injuries and losses sustained by the owners or occupants of any such land or areas; provided that such damage or injuries result from the performance of the Work or from other actions or conduct of the Contractor or those for which Contractor is responsible.
  - 2. If a damage or injury claim is made by the owner or occupant of any such land or area because of the performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible, Contractor shall (a) take immediate corrective or remedial action as required by Paragraph 7.13, or otherwise; (b) promptly attempt to settle the claim as to all parties through negotiations with such owner or occupant, or otherwise resolve the claim by arbitration or other dispute resolution proceeding, or in a court of competent jurisdiction; and (c) to the fullest extent permitted by Laws and Regulations, indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, from and against any such claim, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any claim or action, legal or equitable, brought by any such owner or occupant against Owner, Engineer, or any other party indemnified hereunder to the extent caused directly or indirectly, in whole or in part by, or based upon, Contractor's performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible.
- B. Removal of Debris During Performance of the Work: During the progress of the Work the Contractor shall keep the Site and other adjacent areas free from accumulations of waste materials, rubbish, and other debris. Removal and disposal of such waste materials, rubbish, and other debris will conform to applicable Laws and Regulations.
- C. Cleaning: Prior to Substantial Completion of the Work Contractor shall clean the Site and the Work and make it ready for utilization by Owner. At the completion of the Work Contractor shall remove from the Site and adjacent areas all tools, appliances, construction equipment

- and machinery, and surplus materials and shall restore to original condition all property not designated for alteration by the Contract Documents.
- D. Loading of Structures: Contractor shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall Contractor subject any part of the Work or adjacent structures or land to stresses or pressures that will endanger them.

### 5.03 Subsurface and Physical Conditions

- A. Reports and Drawings: The Supplementary Conditions identify:
  - 1. Those reports of explorations and tests of subsurface conditions at or adjacent to the Site that contain Technical Data;
  - 2. Those drawings of existing physical conditions at or adjacent to the Site, including those drawings depicting existing surface or subsurface structures at or adjacent to the Site (except Underground Facilities), that contain Technical Data; and
  - 3. Technical Data contained in such reports and drawings.
- B. *Underground Facilities*: Underground Facilities are shown or indicated on the Drawings, pursuant to Paragraph 5.05, and not in the drawings referred to in Paragraph 5.03.A. Information and data regarding the presence or location of Underground Facilities are not intended to be categorized, identified, or defined as Technical Data.
- C. Reliance by Contractor on Technical Data: Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely upon the accuracy of the Technical Data as defined in Paragraph 1.01.A.46.b.
- D. Limitations of Other Data and Documents: Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to:
  - the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto;
  - 2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings;
  - the contents of other Site-related documents made available to Contractor, such as record drawings from other projects at or adjacent to the Site, or Owner's archival documents concerning the Site; or
  - 4. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions, or information.

## 5.04 Differing Subsurface or Physical Conditions

- A. *Notice by Contractor*: If Contractor believes that any subsurface or physical condition that is uncovered or revealed at the Site:
  - 1. is of such a nature as to establish that any Technical Data on which Contractor is entitled to rely as provided in Paragraph 5.03 is materially inaccurate;
  - 2. is of such a nature as to require a change in the Drawings or Specifications;
  - 3. differs materially from that shown or indicated in the Contract Documents; or
  - 4. is of an unusual nature, and differs materially from conditions ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract Documents;

then Contractor shall, promptly after becoming aware thereof and before further disturbing the subsurface or physical conditions or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), notify Owner and Engineer in writing about such condition. Contractor shall not further disturb such condition or perform any Work in connection therewith (except with respect to an emergency) until receipt of a written statement permitting Contractor to do so.

- B. Engineer's Review: After receipt of written notice as required by the preceding paragraph, Engineer will promptly review the subsurface or physical condition in question; determine whether it is necessary for Owner to obtain additional exploration or tests with respect to the condition; conclude whether the condition falls within any one or more of the differing site condition categories in Paragraph 5.04.A; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption of Work in connection with the subsurface or physical condition in question and the need for any change in the Drawings or Specifications; and advise Owner in writing of Engineer's findings, conclusions, and recommendations.
- C. Owner's Statement to Contractor Regarding Site Condition: After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the subsurface or physical condition in question, addressing the resumption of Work in connection with such condition, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations, in whole or in part.
- D. Early Resumption of Work: If at any time Engineer determines that Work in connection with the subsurface or physical condition in question may resume prior to completion of Engineer's review or Owner's issuance of its statement to Contractor, because the condition in question has been adequately documented, and analyzed on a preliminary basis, then the Engineer may at its discretion instruct Contractor to resume such Work.
- E. Possible Price and Times Adjustments
  - Contractor shall be entitled to an equitable adjustment in Contract Price or Contract
    Times, to the extent that the existence of a differing subsurface or physical condition, or
    any related delay, disruption, or interference, causes an increase or decrease in

Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:

- a. Such condition must fall within any one or more of the categories described in Paragraph 5.04.A;
- b. With respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03; and,
- c. Contractor's entitlement to an adjustment of the Contract Times is subject to the provisions of Paragraphs 4.05.D and 4.05.E.
- 2. Contractor shall not be entitled to any adjustment in the Contract Price or Contract Times with respect to a subsurface or physical condition if:
  - a. Contractor knew of the existence of such condition at the time Contractor made a commitment to Owner with respect to Contract Price and Contract Times by the submission of a Bid or becoming bound under a negotiated contract, or otherwise;
  - b. The existence of such condition reasonably could have been discovered or revealed as a result of any examination, investigation, exploration, test, or study of the Site and contiguous areas expressly required by the Bidding Requirements or Contract Documents to be conducted by or for Contractor prior to Contractor's making such commitment; or
  - c. Contractor failed to give the written notice required by Paragraph 5.04.A.
- 3. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, then any such adjustment will be set forth in a Change Order.
- 4. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the subsurface or physical condition in question.
- F. Underground Facilities; Hazardous Environmental Conditions: Paragraph 5.05 governs rights and responsibilities regarding the presence or location of Underground Facilities. Paragraph 5.06 governs rights and responsibilities regarding Hazardous Environmental Conditions. The provisions of Paragraphs 5.03 and 5.04 are not applicable to the presence or location of Underground Facilities, or to Hazardous Environmental Conditions.

## 5.05 Underground Facilities

- A. *Contractor's Responsibilities*: Unless it is otherwise expressly provided in the Supplementary Conditions, the cost of all of the following are included in the Contract Price, and Contractor shall have full responsibility for:
  - 1. reviewing and checking all information and data regarding existing Underground Facilities at the Site;
  - complying with applicable state and local utility damage prevention Laws and Regulations;

- 3. verifying the actual location of those Underground Facilities shown or indicated in the Contract Documents as being within the area affected by the Work, by exposing such Underground Facilities during the course of construction;
- 4. coordination of the Work with the owners (including Owner) of such Underground Facilities, during construction; and
- 5. the safety and protection of all existing Underground Facilities at the Site, and repairing any damage thereto resulting from the Work.
- B. Notice by Contractor: If Contractor believes that an Underground Facility that is uncovered or revealed at the Site was not shown or indicated on the Drawings, or was not shown or indicated on the Drawings with reasonable accuracy, then Contractor shall, promptly after becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), notify Owner and Engineer in writing regarding such Underground Facility.
- C. *Engineer's Review*: Engineer will:
  - 1. promptly review the Underground Facility and conclude whether such Underground Facility was not shown or indicated on the Drawings, or was not shown or indicated with reasonable accuracy;
  - identify and communicate with the owner of the Underground Facility; prepare recommendations to Owner (and if necessary issue any preliminary instructions to Contractor) regarding the Contractor's resumption of Work in connection with the Underground Facility in question;
  - obtain any pertinent cost or schedule information from Contractor; determine the extent,
    if any, to which a change is required in the Drawings or Specifications to reflect and
    document the consequences of the existence or location of the Underground Facility; and
  - 4. advise Owner in writing of Engineer's findings, conclusions, and recommendations.
  - During such time, Contractor shall be responsible for the safety and protection of such Underground Facility.
- D. Owner's Statement to Contractor Regarding Underground Facility: After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the Underground Facility in question addressing the resumption of Work in connection with such Underground Facility, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations in whole or in part.
- E. Early Resumption of Work: If at any time Engineer determines that Work in connection with the Underground Facility may resume prior to completion of Engineer's review or Owner's issuance of its statement to Contractor, because the Underground Facility in question and conditions affected by its presence have been adequately documented, and analyzed on a preliminary basis, then the Engineer may at its discretion instruct Contractor to resume such Work.
- F. Possible Price and Times Adjustments
  - Contractor shall be entitled to an equitable adjustment in the Contract Price or Contract
    Times, to the extent that any existing Underground Facility at the Site that was not shown

or indicated on the Drawings, or was not shown or indicated with reasonable accuracy, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:

- a. With respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03;
- b. Contractor's entitlement to an adjustment of the Contract Times is subject to the provisions of Paragraphs 4.05.D and 4.05.E; and
- c. Contractor gave the notice required in Paragraph 5.05.B.
- 2. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, then any such adjustment will be set forth in a Change Order.
- 3. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the Underground Facility in question.
- 4. The information and data shown or indicated on the Drawings with respect to existing Underground Facilities at the Site is based on information and data (a) furnished by the owners of such Underground Facilities, or by others, (b) obtained from available records, or (c) gathered in an investigation conducted in accordance with the current edition of ASCE 38, Standard Guideline for the Collection and Depiction of Existing Subsurface Utility Data, by the American Society of Civil Engineers. If such information or data is incorrect or incomplete, Contractor's remedies are limited to those set forth in this Paragraph 5.05.F.

#### 5.06 Hazardous Environmental Conditions at Site

- A. *Reports and Drawings*: The Supplementary Conditions identify:
  - 1. those reports known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site;
  - drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site; and
  - 3. Technical Data contained in such reports and drawings.
- B. Reliance by Contractor on Technical Data Authorized: Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely on the accuracy of the Technical Data as defined in Paragraph 1.01.A.46.b. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to:
  - 1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences and procedures

- of construction to be employed by Contractor, and safety precautions and programs incident thereto;
- 2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or
- 3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions or information.
- C. Contractor shall not be responsible for removing or remediating any Hazardous Environmental Condition encountered, uncovered, or revealed at the Site unless such removal or remediation is expressly identified in the Contract Documents to be within the scope of the Work.
- D. Contractor shall be responsible for controlling, containing, and duly removing all Constituents of Concern brought to the Site by Contractor, Subcontractors, Suppliers, or anyone else for whom Contractor is responsible, and for any associated costs; and for the costs of removing and remediating any Hazardous Environmental Condition created by the presence of any such Constituents of Concern.
- E. If Contractor encounters, uncovers, or reveals a Hazardous Environmental Condition whose removal or remediation is not expressly identified in the Contract Documents as being within the scope of the Work, or if Contractor or anyone for whom Contractor is responsible creates a Hazardous Environmental Condition, then Contractor shall immediately: (1) secure or otherwise isolate such condition; (2) stop all Work in connection with such condition and in any area affected thereby (except in an emergency as required by Paragraph 7.15); and (3) notify Owner and Engineer (and promptly thereafter confirm such notice in writing). Owner shall promptly consult with Engineer concerning the necessity for Owner to retain a qualified expert to evaluate such condition or take corrective action, if any. Promptly after consulting with Engineer, Owner shall take such actions as are necessary to permit Owner to timely obtain required permits and provide Contractor the written notice required by Paragraph 5.06.F. If Contractor or anyone for whom Contractor is responsible created the Hazardous Environmental Condition in question, then Owner may remove and remediate the Hazardous Environmental Condition, and impose a set-off against payments to account for the associated costs.
- F. Contractor shall not resume Work in connection with such Hazardous Environmental Condition or in any affected area until after Owner has obtained any required permits related thereto, and delivered written notice to Contractor either (1) specifying that such condition and any affected area is or has been rendered safe for the resumption of Work, or (2) specifying any special conditions under which such Work may be resumed safely.
- G. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times, as a result of such Work stoppage, such special conditions under which Work is agreed to be resumed by Contractor, or any costs or expenses incurred in response to the Hazardous Environmental Condition, then within 30 days of Owner's written notice regarding the resumption of Work, Contractor may submit a Change Proposal, or Owner may impose a set-off. Entitlement to any such adjustment is subject to the provisions of Paragraphs 4.05.D, 4.05.E, 11.07, and 11.08.
- H. If, after receipt of such written notice, Contractor does not agree to resume such Work based on a reasonable belief it is unsafe, or does not agree to resume such Work under such special

conditions, then Owner may order the portion of the Work that is in the area affected by such condition to be deleted from the Work, following the contractual change procedures in Article 11. Owner may have such deleted portion of the Work performed by Owner's own forces or others in accordance with Article 8.

- I. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court, arbitration, or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition, provided that such Hazardous Environmental Condition (1) was not shown or indicated in the Drawings, Specifications, or other Contract Documents, identified as Technical Data entitled to limited reliance pursuant to Paragraph 5.06.B, or identified in the Contract Documents to be included within the scope of the Work, and (2) was not created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.I obligates Owner to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- J. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the failure to control, contain, or remove a Constituent of Concern brought to the Site by Contractor or by anyone for whom Contractor is responsible, or to a Hazardous Environmental Condition created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.J obligates Contractor to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- K. The provisions of Paragraphs 5.03, 5.04, and 5.05 do not apply to the presence of Constituents of Concern or to a Hazardous Environmental Condition uncovered or revealed at the Site.

## **ARTICLE 6—BONDS AND INSURANCE**

- 6.01 Performance, Payment, and Other Bonds
  - A. Contractor shall furnish a performance bond and a payment bond, each in an amount at least equal to the Contract Price, as security for the faithful performance and payment of Contractor's obligations under the Contract. These bonds must remain in effect until one year after the date when final payment becomes due or until completion of the correction period specified in Paragraph 15.08, whichever is later, except as provided otherwise by Laws or Regulations, the terms of a prescribed bond form, the Supplementary Conditions, or other provisions of the Contract.
  - B. Contractor shall also furnish such other bonds (if any) as are required by the Supplementary Conditions or other provisions of the Contract.
  - C. All bonds must be in the form included in the Bidding Documents or otherwise specified by Owner prior to execution of the Contract, except as provided otherwise by Laws or

Regulations, and must be issued and signed by a surety named in "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Department Circular 570 (as amended and supplemented) by the Bureau of the Fiscal Service, U.S. Department of the Treasury. A bond signed by an agent or attorney-in-fact must be accompanied by a certified copy of that individual's authority to bind the surety. The evidence of authority must show that it is effective on the date the agent or attorney-in-fact signed the accompanying bond.

- D. Contractor shall obtain the required bonds from surety companies that are duly licensed or authorized, in the state or jurisdiction in which the Project is located, to issue bonds in the required amounts.
- E. If the surety on a bond furnished by Contractor is declared bankrupt or becomes insolvent, or the surety ceases to meet the requirements above, then Contractor shall promptly notify Owner and Engineer in writing and shall, within 20 days after the event giving rise to such notification, provide another bond and surety, both of which must comply with the bond and surety requirements above.
- F. If Contractor has failed to obtain a required bond, Owner may exclude the Contractor from the Site and exercise Owner's termination rights under Article 16.
- G. Upon request to Owner from any Subcontractor, Supplier, or other person or entity claiming to have furnished labor, services, materials, or equipment used in the performance of the Work, Owner shall provide a copy of the payment bond to such person or entity.
- H. Upon request to Contractor from any Subcontractor, Supplier, or other person or entity claiming to have furnished labor, services, materials, or equipment used in the performance of the Work, Contractor shall provide a copy of the payment bond to such person or entity.

## 6.02 Insurance—General Provisions

- A. Owner and Contractor shall obtain and maintain insurance as required in this article and in the Supplementary Conditions.
- B. All insurance required by the Contract to be purchased and maintained by Owner or Contractor shall be obtained from insurance companies that are duly licensed or authorized in the state or jurisdiction in which the Project is located to issue insurance policies for the required limits and coverages. Unless a different standard is indicated in the Supplementary Conditions, all companies that provide insurance policies required under this Contract shall have an A.M. Best rating of A-VII or better.
- C. Alternative forms of insurance coverage, including but not limited to self-insurance and "Occupational Accident and Excess Employer's Indemnity Policies," are not sufficient to meet the insurance requirements of this Contract, unless expressly allowed in the Supplementary Conditions.
- D. Contractor shall deliver to Owner, with copies to each additional insured identified in the Contract, certificates of insurance and endorsements establishing that Contractor has obtained and is maintaining the policies and coverages required by the Contract. Upon request by Owner or any other insured, Contractor shall also furnish other evidence of such required insurance, including but not limited to copies of policies, documentation of applicable self-insured retentions (if allowed) and deductibles, full disclosure of all relevant exclusions, and evidence of insurance required to be purchased and maintained by

- Subcontractors or Suppliers. In any documentation furnished under this provision, Contractor, Subcontractors, and Suppliers may block out (redact) (1) any confidential premium or pricing information and (2) any wording specific to a project or jurisdiction other than those applicable to this Contract.
- E. Owner shall deliver to Contractor, with copies to each additional insured identified in the Contract, certificates of insurance and endorsements establishing that Owner has obtained and is maintaining the policies and coverages required of Owner by the Contract (if any). Upon request by Contractor or any other insured, Owner shall also provide other evidence of such required insurance (if any), including but not limited to copies of policies, documentation of applicable self-insured retentions (if allowed) and deductibles, and full disclosure of all relevant exclusions. In any documentation furnished under this provision, Owner may block out (redact) (1) any confidential premium or pricing information and (2) any wording specific to a project or jurisdiction other than those relevant to this Contract.
- F. Failure of Owner or Contractor to demand such certificates or other evidence of the other party's full compliance with these insurance requirements, or failure of Owner or Contractor to identify a deficiency in compliance from the evidence provided, will not be construed as a waiver of the other party's obligation to obtain and maintain such insurance.
- G. In addition to the liability insurance required to be provided by Contractor, the Owner, at Owner's option, may purchase and maintain Owner's own liability insurance. Owner's liability policies, if any, operate separately and independently from policies required to be provided by Contractor, and Contractor cannot rely upon Owner's liability policies for any of Contractor's obligations to the Owner, Engineer, or third parties.

#### H. Contractor shall require:

- 1. Subcontractors to purchase and maintain worker's compensation, commercial general liability, and other insurance that is appropriate for their participation in the Project, and to name as additional insureds Owner and Engineer (and any other individuals or entities identified in the Supplementary Conditions as additional insureds on Contractor's liability policies) on each Subcontractor's commercial general liability insurance policy; and
- 2. Suppliers to purchase and maintain insurance that is appropriate for their participation in the Project.
- If either party does not purchase or maintain the insurance required of such party by the Contract, such party shall notify the other party in writing of such failure to purchase prior to the start of the Work, or of such failure to maintain prior to any change in the required coverage.
- J. If Contractor has failed to obtain and maintain required insurance, Contractor's entitlement to enter or remain at the Site will end immediately, and Owner may impose an appropriate set-off against payment for any associated costs (including but not limited to the cost of purchasing necessary insurance coverage), and exercise Owner's termination rights under Article 16.
- K. Without prejudice to any other right or remedy, if a party has failed to obtain required insurance, the other party may elect (but is in no way obligated) to obtain equivalent insurance to protect such other party's interests at the expense of the party who was required to provide such coverage, and the Contract Price will be adjusted accordingly.

- L. Owner does not represent that insurance coverage and limits established in this Contract necessarily will be adequate to protect Contractor or Contractor's interests. Contractor is responsible for determining whether such coverage and limits are adequate to protect its interests, and for obtaining and maintaining any additional insurance that Contractor deems necessary.
- M. The insurance and insurance limits required herein will not be deemed as a limitation on Contractor's liability, or that of its Subcontractors or Suppliers, under the indemnities granted to Owner and other individuals and entities in the Contract or otherwise.
- N. All the policies of insurance required to be purchased and maintained under this Contract will contain a provision or endorsement that the coverage afforded will not be canceled, or renewal refused, until at least 10 days prior written notice has been given to the purchasing policyholder. Within three days of receipt of any such written notice, the purchasing policyholder shall provide a copy of the notice to each other insured and Engineer.

#### 6.03 Contractor's Insurance

- A. Required Insurance: Contractor shall purchase and maintain Worker's Compensation, Commercial General Liability, and other insurance pursuant to the specific requirements of the Supplementary Conditions.
- B. *General Provisions*: The policies of insurance required by this Paragraph 6.03 as supplemented must:
  - 1. include at least the specific coverages required;
  - 2. be written for not less than the limits provided, or those required by Laws or Regulations, whichever is greater;
  - remain in effect at least until the Work is complete (as set forth in Paragraph 15.06.D), and longer if expressly required elsewhere in this Contract, and at all times thereafter when Contractor may be correcting, removing, or replacing defective Work as a warranty or correction obligation, or otherwise, or returning to the Site to conduct other tasks arising from the Contract;
  - 4. apply with respect to the performance of the Work, whether such performance is by Contractor, any Subcontractor or Supplier, or by anyone directly or indirectly employed by any of them to perform any of the Work, or by anyone for whose acts any of them may be liable; and
  - 5. include all necessary endorsements to support the stated requirements.
- C. Additional Insureds: The Contractor's commercial general liability, automobile liability, employer's liability, umbrella or excess, pollution liability, and unmanned aerial vehicle liability policies, if required by this Contract, must:
  - 1. include and list as additional insureds Owner and Engineer, and any individuals or entities identified as additional insureds in the Supplementary Conditions;
  - 2. include coverage for the respective officers, directors, members, partners, employees, and consultants of all such additional insureds;
  - 3. afford primary coverage to these additional insureds for all claims covered thereby (including as applicable those arising from both ongoing and completed operations);

- 4. not seek contribution from insurance maintained by the additional insured; and
- 5. as to commercial general liability insurance, apply to additional insureds with respect to liability caused in whole or in part by Contractor's acts or omissions, or the acts and omissions of those working on Contractor's behalf, in the performance of Contractor's operations.

## 6.04 Builder's Risk and Other Property Insurance

- A. Builder's Risk: Unless otherwise provided in the Supplementary Conditions, Contractor shall purchase and maintain builder's risk insurance upon the Work on a completed value basis, in the amount of the Work's full insurable replacement cost (subject to such deductible amounts as may be provided in the Supplementary Conditions or required by Laws and Regulations). The specific requirements applicable to the builder's risk insurance are set forth in the Supplementary Conditions.
- B. Property Insurance for Facilities of Owner Where Work Will Occur: Owner is responsible for obtaining and maintaining property insurance covering each existing structure, building, or facility in which any part of the Work will occur, or to which any part of the Work will attach or be adjoined. Such property insurance will be written on a special perils (all-risk) form, on a replacement cost basis, providing coverage consistent with that required for the builder's risk insurance, and will be maintained until the Work is complete, as set forth in Paragraph 15.06.D.
- C. Property Insurance for Substantially Complete Facilities: Promptly after Substantial Completion, and before actual occupancy or use of the substantially completed Work, Owner will obtain property insurance for such substantially completed Work, and maintain such property insurance at least until the Work is complete, as set forth in Paragraph 15.06.D. Such property insurance will be written on a special perils (all-risk) form, on a replacement cost basis, and provide coverage consistent with that required for the builder's risk insurance. The builder's risk insurance may terminate upon written confirmation of Owner's procurement of such property insurance.
- D. Partial Occupancy or Use by Owner: If Owner will occupy or use a portion or portions of the Work prior to Substantial Completion of all the Work, as provided in Paragraph 15.04, then Owner (directly, if it is the purchaser of the builder's risk policy, or through Contractor) will provide advance notice of such occupancy or use to the builder's risk insurer, and obtain an endorsement consenting to the continuation of coverage prior to commencing such partial occupancy or use.
- E. Insurance of Other Property; Additional Insurance: If the express insurance provisions of the Contract do not require or address the insurance of a property item or interest, then the entity or individual owning such property item will be responsible for insuring it. If Contractor elects to obtain other special insurance to be included in or supplement the builder's risk or property insurance policies provided under this Paragraph 6.04, it may do so at Contractor's expense.

## 6.05 Property Losses; Subrogation

A. The builder's risk insurance policy purchased and maintained in accordance with Paragraph 6.04 (or an installation floater policy if authorized by the Supplementary Conditions), will contain provisions to the effect that in the event of payment of any loss or damage the insurer will have no rights of recovery against any insureds thereunder, or against

Engineer or its consultants, or their officers, directors, members, partners, employees, agents, consultants, or subcontractors.

- 1. Owner and Contractor waive all rights against each other and the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, or resulting from any of the perils, risks, or causes of loss covered by such policies and any other property insurance applicable to the Work; and, in addition, waive all such rights against Engineer, its consultants, all individuals or entities identified in the Supplementary Conditions as builder's risk or installation floater insureds, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, under such policies for losses and damages so caused.
- 2. None of the above waivers extends to the rights that any party making such waiver may have to the proceeds of insurance held by Owner or Contractor as trustee or fiduciary, or otherwise payable under any policy so issued.
- B. Any property insurance policy maintained by Owner covering any loss, damage, or consequential loss to Owner's existing structures, buildings, or facilities in which any part of the Work will occur, or to which any part of the Work will attach or adjoin; to adjacent structures, buildings, or facilities of Owner; or to part or all of the completed or substantially completed Work, during partial occupancy or use pursuant to Paragraph 15.04, after Substantial Completion pursuant to Paragraph 15.03, or after final payment pursuant to Paragraph 15.06, will contain provisions to the effect that in the event of payment of any loss or damage the insurer will have no rights of recovery against any insureds thereunder, or against Contractor, Subcontractors, or Engineer, or the officers, directors, members, partners, employees, agents, consultants, or subcontractors of each and any of them, and that the insured is allowed to waive the insurer's rights of subrogation in a written contract executed prior to the loss, damage, or consequential loss.
  - Owner waives all rights against Contractor, Subcontractors, and Engineer, and the
    officers, directors, members, partners, employees, agents, consultants and
    subcontractors of each and any of them, for all losses and damages caused by, arising out
    of, or resulting from fire or any of the perils, risks, or causes of loss covered by such
    policies.
- C. The waivers in this Paragraph 6.05 include the waiver of rights due to business interruption, loss of use, or other consequential loss extending beyond direct physical loss or damage to Owner's property or the Work caused by, arising out of, or resulting from fire or other insured peril, risk, or cause of loss.
- D. Contractor shall be responsible for assuring that each Subcontract contains provisions whereby the Subcontractor waives all rights against Owner, Contractor, all individuals or entities identified in the Supplementary Conditions as insureds, the Engineer and its consultants, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, relating to, or resulting from fire or other peril, risk, or cause of loss covered by builder's risk insurance, installation floater, and any other property insurance applicable to the Work.

## 6.06 Receipt and Application of Property Insurance Proceeds

- A. Any insured loss under the builder's risk and other policies of property insurance required by Paragraph 6.04 will be adjusted and settled with the named insured that purchased the policy. Such named insured shall act as fiduciary for the other insureds, and give notice to such other insureds that adjustment and settlement of a claim is in progress. Any other insured may state its position regarding a claim for insured loss in writing within 15 days after notice of such claim.
- B. Proceeds for such insured losses may be made payable by the insurer either jointly to multiple insureds, or to the named insured that purchased the policy in its own right and as fiduciary for other insureds, subject to the requirements of any applicable mortgage clause. A named insured receiving insurance proceeds under the builder's risk and other policies of insurance required by Paragraph 6.04 shall maintain such proceeds in a segregated account, and distribute such proceeds in accordance with such agreement as the parties in interest may reach, or as otherwise required under the dispute resolution provisions of this Contract or applicable Laws and Regulations.
- C. If no other special agreement is reached, Contractor shall repair or replace the damaged Work, using allocated insurance proceeds.

#### ARTICLE 7—CONTRACTOR'S RESPONSIBILITIES

## 7.01 Contractor's Means and Methods of Construction

- A. Contractor shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction.
- B. If the Contract Documents note, or Contractor determines, that professional engineering or other design services are needed to carry out Contractor's responsibilities for construction means, methods, techniques, sequences, and procedures, or for Site safety, then Contractor shall cause such services to be provided by a properly licensed design professional, at Contractor's expense. Such services are not Owner-delegated professional design services under this Contract, and neither Owner nor Engineer has any responsibility with respect to (1) Contractor's determination of the need for such services, (2) the qualifications or licensing of the design professionals retained or employed by Contractor, (3) the performance of such services, or (4) any errors, omissions, or defects in such services.

### 7.02 Supervision and Superintendence

- A. Contractor shall supervise, inspect, and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents.
- B. At all times during the progress of the Work, Contractor shall assign a competent resident superintendent who will not be replaced without written notice to Owner and Engineer except under extraordinary circumstances.

## 7.03 Labor; Working Hours

A. Contractor shall provide competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. Contractor shall maintain good discipline and order at the Site.

- B. Contractor shall be fully responsible to Owner and Engineer for all acts and omissions of Contractor's employees; of Suppliers and Subcontractors, and their employees; and of any other individuals or entities performing or furnishing any of the Work, just as Contractor is responsible for Contractor's own acts and omissions.
- C. Except as otherwise required for the safety or protection of persons or the Work or property at the Site or adjacent thereto, and except as otherwise stated in the Contract Documents, all Work at the Site will be performed during regular working hours, Monday through Friday. Contractor will not perform Work on a Saturday, Sunday, or any legal holiday. Contractor may perform Work outside regular working hours or on Saturdays, Sundays, or legal holidays only with Owner's written consent, which will not be unreasonably withheld.

# 7.04 Services, Materials, and Equipment

- A. Unless otherwise specified in the Contract Documents, Contractor shall provide and assume full responsibility for all services, materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities, and all other facilities and incidentals necessary for the performance, testing, start up, and completion of the Work, whether or not such items are specifically called for in the Contract Documents.
- B. All materials and equipment incorporated into the Work must be new and of good quality, except as otherwise provided in the Contract Documents. All special warranties and guarantees required by the Specifications will expressly run to the benefit of Owner. If required by Engineer, Contractor shall furnish satisfactory evidence (including reports of required tests) as to the source, kind, and quality of materials and equipment.
- C. All materials and equipment must be stored, applied, installed, connected, erected, protected, used, cleaned, and conditioned in accordance with instructions of the applicable Supplier, except as otherwise may be provided in the Contract Documents.

## 7.05 *"Or Equals"*

- A. Contractor's Request; Governing Criteria: Whenever an item of equipment or material is specified or described in the Contract Documents by using the names of one or more proprietary items or specific Suppliers, the Contract Price has been based upon Contractor furnishing such item as specified. The specification or description of such an item is intended to establish the type, function, appearance, and quality required. Unless the specification or description contains or is followed by words reading that no like, equivalent, or "or equal" item is permitted, Contractor may request that Engineer authorize the use of other items of equipment or material, or items from other proposed Suppliers, under the circumstances described below.
  - 1. If Engineer in its sole discretion determines that an item of equipment or material proposed by Contractor is functionally equal to that named and sufficiently similar so that no change in related Work will be required, Engineer will deem it an "or equal" item. For the purposes of this paragraph, a proposed item of equipment or material will be considered functionally equal to an item so named if:
    - a. in the exercise of reasonable judgment Engineer determines that the proposed item:
      - 1) is at least equal in materials of construction, quality, durability, appearance, strength, and design characteristics;

- 2) will reliably perform at least equally well the function and achieve the results imposed by the design concept of the completed Project as a functioning whole;
- 3) has a proven record of performance and availability of responsive service; and
- 4) is not objectionable to Owner.
- b. Contractor certifies that, if the proposed item is approved and incorporated into the Work:
  - 1) there will be no increase in cost to the Owner or increase in Contract Times; and
  - 2) the item will conform substantially to the detailed requirements of the item named in the Contract Documents.
- B. *Contractor's Expense*: Contractor shall provide all data in support of any proposed "or equal" item at Contractor's expense.
- C. Engineer's Evaluation and Determination: Engineer will be allowed a reasonable time to evaluate each "or-equal" request. Engineer may require Contractor to furnish additional data about the proposed "or-equal" item. Engineer will be the sole judge of acceptability. No "or-equal" item will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an "or-equal," which will be evidenced by an approved Shop Drawing or other written communication. Engineer will advise Contractor in writing of any negative determination.
- D. Effect of Engineer's Determination: Neither approval nor denial of an "or-equal" request will result in any change in Contract Price. The Engineer's denial of an "or-equal" request will be final and binding, and may not be reversed through an appeal under any provision of the Contract.
- E. Treatment as a Substitution Request: If Engineer determines that an item of equipment or material proposed by Contractor does not qualify as an "or-equal" item, Contractor may request that Engineer consider the item a proposed substitute pursuant to Paragraph 7.06.

### 7.06 Substitutes

- A. Contractor's Request; Governing Criteria: Unless the specification or description of an item of equipment or material required to be furnished under the Contract Documents contains or is followed by words reading that no substitution is permitted, Contractor may request that Engineer authorize the use of other items of equipment or material under the circumstances described below. To the extent possible such requests must be made before commencement of related construction at the Site.
  - Contractor shall submit sufficient information as provided below to allow Engineer to determine if the item of material or equipment proposed is functionally equivalent to that named and an acceptable substitute therefor. Engineer will not accept requests for review of proposed substitute items of equipment or material from anyone other than Contractor.
  - 2. The requirements for review by Engineer will be as set forth in Paragraph 7.06.B, as supplemented by the Specifications, and as Engineer may decide is appropriate under the circumstances.

- 3. Contractor shall make written application to Engineer for review of a proposed substitute item of equipment or material that Contractor seeks to furnish or use. The application:
  - a. will certify that the proposed substitute item will:
    - 1) perform adequately the functions and achieve the results called for by the general design;
    - 2) be similar in substance to the item specified; and
    - 3) be suited to the same use as the item specified.
  - b. will state:
    - 1) the extent, if any, to which the use of the proposed substitute item will necessitate a change in Contract Times;
    - 2) whether use of the proposed substitute item in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with Owner for other work on the Project) to adapt the design to the proposed substitute item; and
    - 3) whether incorporation or use of the proposed substitute item in connection with the Work is subject to payment of any license fee or royalty.
  - c. will identify:
    - 1) all variations of the proposed substitute item from the item specified; and
    - 2) available engineering, sales, maintenance, repair, and replacement services.
  - d. will contain an itemized estimate of all costs or credits that will result directly or indirectly from use of such substitute item, including but not limited to changes in Contract Price, shared savings, costs of redesign, and claims of other contractors affected by any resulting change.
- B. Engineer's Evaluation and Determination: Engineer will be allowed a reasonable time to evaluate each substitute request, and to obtain comments and direction from Owner. Engineer may require Contractor to furnish additional data about the proposed substitute item. Engineer will be the sole judge of acceptability. No substitute will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an acceptable substitute. Engineer's determination will be evidenced by a Field Order or a proposed Change Order accounting for the substitution itself and all related impacts, including changes in Contract Price or Contract Times. Engineer will advise Contractor in writing of any negative determination.
- C. *Special Guarantee*: Owner may require Contractor to furnish at Contractor's expense a special performance guarantee or other surety with respect to any substitute.
- D. Reimbursement of Engineer's Cost: Engineer will record Engineer's costs in evaluating a substitute proposed or submitted by Contractor. Whether or not Engineer approves a substitute so proposed or submitted by Contractor, Contractor shall reimburse Owner for the reasonable charges of Engineer for evaluating each such proposed substitute. Contractor shall also reimburse Owner for the reasonable charges of Engineer for making changes in the Contract Documents (or in the provisions of any other direct contract with Owner) resulting from the acceptance of each proposed substitute.

- E. *Contractor's Expense*: Contractor shall provide all data in support of any proposed substitute at Contractor's expense.
- F. Effect of Engineer's Determination: If Engineer approves the substitution request, Contractor shall execute the proposed Change Order and proceed with the substitution. The Engineer's denial of a substitution request will be final and binding, and may not be reversed through an appeal under any provision of the Contract. Contractor may challenge the scope of reimbursement costs imposed under Paragraph 7.06.D, by timely submittal of a Change Proposal.

# 7.07 Concerning Subcontractors and Suppliers

- A. Contractor may retain Subcontractors and Suppliers for the performance of parts of the Work. Such Subcontractors and Suppliers must be acceptable to Owner. The Contractor's retention of a Subcontractor or Supplier for the performance of parts of the Work will not relieve Contractor's obligation to Owner to perform and complete the Work in accordance with the Contract Documents.
- B. Contractor shall retain specific Subcontractors and Suppliers for the performance of designated parts of the Work if required by the Contract to do so.
- C. Subsequent to the submittal of Contractor's Bid or final negotiation of the terms of the Contract, Owner may not require Contractor to retain any Subcontractor or Supplier to furnish or perform any of the Work against which Contractor has reasonable objection.
- D. Prior to entry into any binding subcontract or purchase order, Contractor shall submit to Owner the identity of the proposed Subcontractor or Supplier (unless Owner has already deemed such proposed Subcontractor or Supplier acceptable during the bidding process or otherwise). Such proposed Subcontractor or Supplier shall be deemed acceptable to Owner unless Owner raises a substantive, reasonable objection within 5 days.
- E. Owner may require the replacement of any Subcontractor or Supplier. Owner also may require Contractor to retain specific replacements; provided, however, that Owner may not require a replacement to which Contractor has a reasonable objection. If Contractor has submitted the identity of certain Subcontractors or Suppliers for acceptance by Owner, and Owner has accepted it (either in writing or by failing to make written objection thereto), then Owner may subsequently revoke the acceptance of any such Subcontractor or Supplier so identified solely on the basis of substantive, reasonable objection after due investigation. Contractor shall submit an acceptable replacement for the rejected Subcontractor or Supplier.
- F. If Owner requires the replacement of any Subcontractor or Supplier retained by Contractor to perform any part of the Work, then Contractor shall be entitled to an adjustment in Contract Price or Contract Times, with respect to the replacement; and Contractor shall initiate a Change Proposal for such adjustment within 30 days of Owner's requirement of replacement.
- G. No acceptance by Owner of any such Subcontractor or Supplier, whether initially or as a replacement, will constitute a waiver of the right of Owner to the completion of the Work in accordance with the Contract Documents.

- H. On a monthly basis, Contractor shall submit to Engineer a complete list of all Subcontractors and Suppliers having a direct contract with Contractor, and of all other Subcontractors and Suppliers known to Contractor at the time of submittal.
- I. Contractor shall be solely responsible for scheduling and coordinating the work of Subcontractors and Suppliers.
- J. The divisions and sections of the Specifications and the identifications of any Drawings do not control Contractor in dividing the Work among Subcontractors or Suppliers, or in delineating the Work to be performed by any specific trade.
- K. All Work performed for Contractor by a Subcontractor or Supplier must be pursuant to an appropriate contractual agreement that specifically binds the Subcontractor or Supplier to the applicable terms and conditions of the Contract for the benefit of Owner and Engineer.
- L. Owner may furnish to any Subcontractor or Supplier, to the extent practicable, information about amounts paid to Contractor for Work performed for Contractor by the Subcontractor or Supplier.
- M. Contractor shall restrict all Subcontractors and Suppliers from communicating with Engineer or Owner, except through Contractor or in case of an emergency, or as otherwise expressly allowed in this Contract.

## 7.08 Patent Fees and Royalties

- A. Contractor shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product, or device which is the subject of patent rights or copyrights held by others. If an invention, design, process, product, or device is specified in the Contract Documents for use in the performance of the Work and if, to the actual knowledge of Owner or Engineer, its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights will be disclosed in the Contract Documents.
- B. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, and its officers, directors, members, partners, employees, agents, consultants, and subcontractors, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device specified in the Contract Documents, but not identified as being subject to payment of any license fee or royalty to others required by patent rights or copyrights.
- C. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device not specified in the Contract Documents.

#### 7.09 Permits

A. Unless otherwise provided in the Contract Documents, Contractor shall obtain and pay for all construction permits, licenses, and certificates of occupancy. Owner shall assist Contractor, when necessary, in obtaining such permits and licenses. Contractor shall pay all governmental charges and inspection fees necessary for the prosecution of the Work which are applicable at the time of the submission of Contractor's Bid (or when Contractor became bound under a negotiated contract). Owner shall pay all charges of utility owners for connections for providing permanent service to the Work.

## 7.10 *Taxes*

A. Contractor shall pay all sales, consumer, use, and other similar taxes required to be paid by Contractor in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work.

# 7.11 Laws and Regulations

- A. Contractor shall give all notices required by and shall comply with all Laws and Regulations applicable to the performance of the Work. Neither Owner nor Engineer shall be responsible for monitoring Contractor's compliance with any Laws or Regulations.
- B. If Contractor performs any Work or takes any other action knowing or having reason to know that it is contrary to Laws or Regulations, Contractor shall bear all resulting costs and losses, and shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such Work or other action. It is not Contractor's responsibility to make certain that the Work described in the Contract Documents is in accordance with Laws and Regulations, but this does not relieve Contractor of its obligations under Paragraph 3.03.
- C. Owner or Contractor may give written notice to the other party of any changes after the submission of Contractor's Bid (or after the date when Contractor became bound under a negotiated contract) in Laws or Regulations having an effect on the cost or time of performance of the Work, including but not limited to changes in Laws or Regulations having an effect on procuring permits and on sales, use, value-added, consumption, and other similar taxes. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times resulting from such changes, then within 30 days of such written notice Contractor may submit a Change Proposal, or Owner may initiate a Claim.

#### 7.12 Record Documents

A. Contractor shall maintain in a safe place at the Site one printed record copy of all Drawings, Specifications, Addenda, Change Orders, Work Change Directives, Field Orders, written interpretations and clarifications, and approved Shop Drawings. Contractor shall keep such record documents in good order and annotate them to show changes made during construction. These record documents, together with all approved Samples, will be available to Engineer for reference. Upon completion of the Work, Contractor shall deliver these record documents to Engineer.

## 7.13 Safety and Protection

- A. Contractor shall be solely responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the Work. Such responsibility does not relieve Subcontractors of their responsibility for the safety of persons or property in the performance of their work, nor for compliance with applicable safety Laws and Regulations.
- B. Contractor shall designate a qualified and experienced safety representative whose duties and responsibilities are the prevention of Work-related accidents and the maintenance and supervision of safety precautions and programs.
- C. Contractor shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury, or loss to:
  - 1. all persons on the Site or who may be affected by the Work;
  - 2. all the Work and materials and equipment to be incorporated therein, whether in storage on or off the Site; and
  - 3. other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, other work in progress, utilities, and Underground Facilities not designated for removal, relocation, or replacement in the course of construction.
- D. All damage, injury, or loss to any property referred to in Paragraph 7.13.C.2 or 7.13.C.3 caused, directly or indirectly, in whole or in part, by Contractor, any Subcontractor, Supplier, or any other individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, shall be remedied by Contractor at its expense (except damage or loss attributable to the fault of Drawings or Specifications or to the acts or omissions of Owner or Engineer or anyone employed by any of them, or anyone for whose acts any of them may be liable, and not attributable, directly or indirectly, in whole or in part, to the fault or negligence of Contractor or any Subcontractor, Supplier, or other individual or entity directly or indirectly employed by any of them).
- E. Contractor shall comply with all applicable Laws and Regulations relating to the safety of persons or property, or to the protection of persons or property from damage, injury, or loss; and shall erect and maintain all necessary safeguards for such safety and protection.
- F. Contractor shall notify Owner; the owners of adjacent property; the owners of Underground Facilities and other utilities (if the identity of such owners is known to Contractor); and other contractors and utility owners performing work at or adjacent to the Site, in writing, when Contractor knows that prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation, and replacement of their property or work in progress.
- G. Contractor shall comply with the applicable requirements of Owner's safety programs, if any. Any Owner's safety programs that are applicable to the Work are identified or included in the Supplementary Conditions or Specifications.
- H. Contractor shall inform Owner and Engineer of the specific requirements of Contractor's safety program with which Owner's and Engineer's employees and representatives must comply while at the Site.

- I. Contractor's duties and responsibilities for safety and protection will continue until all the Work is completed, Engineer has issued a written notice to Owner and Contractor in accordance with Paragraph 15.06.C that the Work is acceptable, and Contractor has left the Site (except as otherwise expressly provided in connection with Substantial Completion).
- J. Contractor's duties and responsibilities for safety and protection will resume whenever Contractor or any Subcontractor or Supplier returns to the Site to fulfill warranty or correction obligations, or to conduct other tasks arising from the Contract Documents.

## 7.14 Hazard Communication Programs

A. Contractor shall be responsible for coordinating any exchange of safety data sheets (formerly known as material safety data sheets) or other hazard communication information required to be made available to or exchanged between or among employers at the Site in accordance with Laws or Regulations.

## 7.15 *Emergencies*

A. In emergencies affecting the safety or protection of persons or the Work or property at the Site or adjacent thereto, Contractor is obligated to act to prevent damage, injury, or loss. Contractor shall give Engineer prompt written notice if Contractor believes that any significant changes in the Work or variations from the Contract Documents have been caused by an emergency, or are required as a result of Contractor's response to an emergency. If Engineer determines that a change in the Contract Documents is required because of an emergency or Contractor's response, a Work Change Directive or Change Order will be issued.

#### 7.16 Submittals

- A. Shop Drawing and Sample Requirements
  - 1. Before submitting a Shop Drawing or Sample, Contractor shall:
    - a. review and coordinate the Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the Work and the Contract Documents;
    - b. determine and verify:
      - all field measurements, quantities, dimensions, specified performance and design criteria, installation requirements, materials, catalog numbers, and similar information with respect to the Submittal;
      - 2) the suitability of all materials and equipment offered with respect to the indicated application, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the Work; and
      - all information relative to Contractor's responsibilities for means, methods, techniques, sequences, and procedures of construction, and safety precautions and programs incident thereto;
    - c. confirm that the Submittal is complete with respect to all related data included in the Submittal.
  - Each Shop Drawing or Sample must bear a stamp or specific written certification that Contractor has satisfied Contractor's obligations under the Contract Documents with respect to Contractor's review of that Submittal, and that Contractor approves the Submittal.

- 3. With each Shop Drawing or Sample, Contractor shall give Engineer specific written notice of any variations that the Submittal may have from the requirements of the Contract Documents. This notice must be set forth in a written communication separate from the Submittal; and, in addition, in the case of a Shop Drawing by a specific notation made on the Shop Drawing itself.
- B. Submittal Procedures for Shop Drawings and Samples: Contractor shall label and submit Shop Drawings and Samples to Engineer for review and approval in accordance with the accepted Schedule of Submittals.

# 1. Shop Drawings

- a. Contractor shall submit the number of copies required in the Specifications.
- b. Data shown on the Shop Drawings must be complete with respect to quantities, dimensions, specified performance and design criteria, materials, and similar data to show Engineer the services, materials, and equipment Contractor proposes to provide, and to enable Engineer to review the information for the limited purposes required by Paragraph 7.16.C.

#### 2. Samples

- a. Contractor shall submit the number of Samples required in the Specifications.
- b. Contractor shall clearly identify each Sample as to material, Supplier, pertinent data such as catalog numbers, the use for which intended and other data as Engineer may require to enable Engineer to review the Submittal for the limited purposes required by Paragraph 7.16.C.
- 3. Where a Shop Drawing or Sample is required by the Contract Documents or the Schedule of Submittals, any related Work performed prior to Engineer's review and approval of the pertinent submittal will be at the sole expense and responsibility of Contractor.
- C. Engineer's Review of Shop Drawings and Samples
  - Engineer will provide timely review of Shop Drawings and Samples in accordance with the
    accepted Schedule of Submittals. Engineer's review and approval will be only to
    determine if the items covered by the Submittals will, after installation or incorporation
    in the Work, comply with the requirements of the Contract Documents, and be
    compatible with the design concept of the completed Project as a functioning whole as
    indicated by the Contract Documents.
  - 2. Engineer's review and approval will not extend to means, methods, techniques, sequences, or procedures of construction, or to safety precautions or programs incident thereto.
  - 3. Engineer's review and approval of a separate item as such will not indicate approval of the assembly in which the item functions.
  - 4. Engineer's review and approval of a Shop Drawing or Sample will not relieve Contractor from responsibility for any variation from the requirements of the Contract Documents unless Contractor has complied with the requirements of Paragraph 7.16.A.3 and Engineer has given written approval of each such variation by specific written notation thereof incorporated in or accompanying the Shop Drawing or Sample. Engineer will

- document any such approved variation from the requirements of the Contract Documents in a Field Order or other appropriate Contract modification.
- 5. Engineer's review and approval of a Shop Drawing or Sample will not relieve Contractor from responsibility for complying with the requirements of Paragraphs 7.16.A and B.
- 6. Engineer's review and approval of a Shop Drawing or Sample, or of a variation from the requirements of the Contract Documents, will not, under any circumstances, change the Contract Times or Contract Price, unless such changes are included in a Change Order.
- 7. Neither Engineer's receipt, review, acceptance, or approval of a Shop Drawing or Sample will result in such item becoming a Contract Document.
- 8. Contractor shall perform the Work in compliance with the requirements and commitments set forth in approved Shop Drawings and Samples, subject to the provisions of Paragraph 7.16.C.4.

## D. Resubmittal Procedures for Shop Drawings and Samples

- 1. Contractor shall make corrections required by Engineer and shall return the required number of corrected copies of Shop Drawings and submit, as required, new Samples for review and approval. Contractor shall direct specific attention in writing to revisions other than the corrections called for by Engineer on previous Submittals.
- 2. Contractor shall furnish required Shop Drawing and Sample submittals with sufficient information and accuracy to obtain required approval of an item with no more than two resubmittals. Engineer will record Engineer's time for reviewing a third or subsequent resubmittal of a Shop Drawing or Sample, and Contractor shall be responsible for Engineer's charges to Owner for such time. Owner may impose a set-off against payments due Contractor to secure reimbursement for such charges.
- 3. If Contractor requests a change of a previously approved Shop Drawing or Sample, Contractor shall be responsible for Engineer's charges to Owner for its review time, and Owner may impose a set-off against payments due Contractor to secure reimbursement for such charges, unless the need for such change is beyond the control of Contractor.

## E. Submittals Other than Shop Drawings, Samples, and Owner-Delegated Designs

- 1. The following provisions apply to all Submittals other than Shop Drawings, Samples, and Owner-delegated designs:
  - a. Contractor shall submit all such Submittals to the Engineer in accordance with the Schedule of Submittals and pursuant to the applicable terms of the Contract Documents.
  - b. Engineer will provide timely review of all such Submittals in accordance with the Schedule of Submittals and return such Submittals with a notation of either Accepted or Not Accepted. Any such Submittal that is not returned within the time established in the Schedule of Submittals will be deemed accepted.
  - c. Engineer's review will be only to determine if the Submittal is acceptable under the requirements of the Contract Documents as to general form and content of the Submittal.

- d. If any such Submittal is not accepted, Contractor shall confer with Engineer regarding the reason for the non-acceptance, and resubmit an acceptable document.
- 2. Procedures for the submittal and acceptance of the Progress Schedule, the Schedule of Submittals, and the Schedule of Values are set forth in Paragraphs 2.03. 2.04, and 2.05.
- F. Owner-delegated Designs: Submittals pursuant to Owner-delegated designs are governed by the provisions of Paragraph 7.19.

## 7.17 Contractor's General Warranty and Guarantee

- A. Contractor warrants and guarantees to Owner that all Work will be in accordance with the Contract Documents and will not be defective. Engineer is entitled to rely on Contractor's warranty and guarantee.
- B. Owner's rights under this warranty and guarantee are in addition to, and are not limited by, Owner's rights under the correction period provisions of Paragraph 15.08. The time in which Owner may enforce its warranty and guarantee rights under this Paragraph 7.17 is limited only by applicable Laws and Regulations restricting actions to enforce such rights; provided, however, that after the end of the correction period under Paragraph 15.08:
  - 1. Owner shall give Contractor written notice of any defective Work within 60 days of the discovery that such Work is defective; and
  - 2. Such notice will be deemed the start of an event giving rise to a Claim under Paragraph 12.01.B, such that any related Claim must be brought within 30 days of the notice.
- C. Contractor's warranty and guarantee hereunder excludes defects or damage caused by:
  - 1. abuse, or improper modification, maintenance, or operation, by persons other than Contractor, Subcontractors, Suppliers, or any other individual or entity for whom Contractor is responsible; or
  - 2. normal wear and tear under normal usage.
- D. Contractor's obligation to perform and complete the Work in accordance with the Contract Documents is absolute. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents, a release of Contractor's obligation to perform the Work in accordance with the Contract Documents, or a release of Owner's warranty and guarantee rights under this Paragraph 7.17:
  - 1. Observations by Engineer;
  - 2. Recommendation by Engineer or payment by Owner of any progress or final payment;
  - 3. The issuance of a certificate of Substantial Completion by Engineer or any payment related thereto by Owner;
  - 4. Use or occupancy of the Work or any part thereof by Owner;
  - 5. Any review and approval of a Shop Drawing or Sample submittal;
  - 6. The issuance of a notice of acceptability by Engineer;
  - 7. The end of the correction period established in Paragraph 15.08;
  - 8. Any inspection, test, or approval by others; or

- 9. Any correction of defective Work by Owner.
- E. If the Contract requires the Contractor to accept the assignment of a contract entered into by Owner, then the specific warranties, guarantees, and correction obligations contained in the assigned contract will govern with respect to Contractor's performance obligations to Owner for the Work described in the assigned contract.

## 7.18 *Indemnification*

- A. To the fullest extent permitted by Laws and Regulations, and in addition to any other obligations of Contractor under the Contract or otherwise, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, from losses, damages, costs, and judgments (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court or arbitration or other dispute resolution costs) arising from third-party claims or actions relating to or resulting from the performance or furnishing of the Work, provided that any such claim, action, loss, cost, judgment or damage is attributable to bodily injury, sickness, disease, or death, or to damage to or destruction of tangible property (other than the Work itself), including the loss of use resulting therefrom, but only to the extent caused by any negligent act or omission of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable.
- B. In any and all claims against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, by any employee (or the survivor or personal representative of such employee) of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph 7.18.A will not be limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for Contractor or any such Subcontractor, Supplier, or other individual or entity under workers' compensation acts, disability benefit acts, or other employee benefit acts.

### 7.19 Delegation of Professional Design Services

- A. Owner may require Contractor to provide professional design services for a portion of the Work by express delegation in the Contract Documents. Such delegation will specify the performance and design criteria that such services must satisfy, and the Submittals that Contractor must furnish to Engineer with respect to the Owner-delegated design.
- B. Contractor shall cause such Owner-delegated professional design services to be provided pursuant to the professional standard of care by a properly licensed design professional, whose signature and seal must appear on all drawings, calculations, specifications, certifications, and Submittals prepared by such design professional. Such design professional must issue all certifications of design required by Laws and Regulations.
- C. If a Shop Drawing or other Submittal related to the Owner-delegated design is prepared by Contractor, a Subcontractor, or others for submittal to Engineer, then such Shop Drawing or other Submittal must bear the written approval of Contractor's design professional when submitted by Contractor to Engineer.

- D. Owner and Engineer shall be entitled to rely upon the adequacy, accuracy, and completeness of the services, certifications, and approvals performed or provided by the design professionals retained or employed by Contractor under an Owner-delegated design, subject to the professional standard of care and the performance and design criteria stated in the Contract Documents.
- E. Pursuant to this Paragraph 7.19, Engineer's review, approval, and other determinations regarding design drawings, calculations, specifications, certifications, and other Submittals furnished by Contractor pursuant to an Owner-delegated design will be only for the following limited purposes:
  - 1. Checking for conformance with the requirements of this Paragraph 7.19;
  - 2. Confirming that Contractor (through its design professionals) has used the performance and design criteria specified in the Contract Documents; and
  - 3. Establishing that the design furnished by Contractor is consistent with the design concept expressed in the Contract Documents.
- F. Contractor shall not be responsible for the adequacy of performance or design criteria specified by Owner or Engineer.
- G. Contractor is not required to provide professional services in violation of applicable Laws and Regulations.

### **ARTICLE 8—OTHER WORK AT THE SITE**

#### 8.01 Other Work

- A. In addition to and apart from the Work under the Contract Documents, the Owner may perform other work at or adjacent to the Site. Such other work may be performed by Owner's employees, or through contracts between the Owner and third parties. Owner may also arrange to have third-party utility owners perform work on their utilities and facilities at or adjacent to the Site.
- B. If Owner performs other work at or adjacent to the Site with Owner's employees, or through contracts for such other work, then Owner shall give Contractor written notice thereof prior to starting any such other work. If Owner has advance information regarding the start of any third-party utility work that Owner has arranged to take place at or adjacent to the Site, Owner shall provide such information to Contractor.
- C. Contractor shall afford proper and safe access to the Site to each contractor that performs such other work, each utility owner performing other work, and Owner, if Owner is performing other work with Owner's employees, and provide a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work.
- D. Contractor shall do all cutting, fitting, and patching of the Work that may be required to properly connect or otherwise make its several parts come together and properly integrate with such other work. Contractor shall not endanger any work of others by cutting, excavating, or otherwise altering such work; provided, however, that Contractor may cut or alter others' work with the written consent of Engineer and the others whose work will be affected.

- E. If the proper execution or results of any part of Contractor's Work depends upon work performed by others, Contractor shall inspect such other work and promptly report to Engineer in writing any delays, defects, or deficiencies in such other work that render it unavailable or unsuitable for the proper execution and results of Contractor's Work. Contractor's failure to so report will constitute an acceptance of such other work as fit and proper for integration with Contractor's Work except for latent defects and deficiencies in such other work.
- F. The provisions of this article are not applicable to work that is performed by third-party utilities or other third-party entities without a contract with Owner, or that is performed without having been arranged by Owner. If such work occurs, then any related delay, disruption, or interference incurred by Contractor is governed by the provisions of Paragraph 4.05.C.3.

#### 8.02 *Coordination*

- A. If Owner intends to contract with others for the performance of other work at or adjacent to the Site, to perform other work at or adjacent to the Site with Owner's employees, or to arrange to have utility owners perform work at or adjacent to the Site, the following will be set forth in the Supplementary Conditions or provided to Contractor prior to the start of any such other work:
  - 1. The identity of the individual or entity that will have authority and responsibility for coordination of the activities among the various contractors;
  - An itemization of the specific matters to be covered by such authority and responsibility;
  - 3. The extent of such authority and responsibilities.
- B. Unless otherwise provided in the Supplementary Conditions, Owner shall have sole authority and responsibility for such coordination.

## 8.03 Legal Relationships

A. If, in the course of performing other work for Owner at or adjacent to the Site, the Owner's employees, any other contractor working for Owner, or any utility owner that Owner has arranged to perform work, causes damage to the Work or to the property of Contractor or its Subcontractors, or delays, disrupts, interferes with, or increases the scope or cost of the performance of the Work, through actions or inaction, then Contractor shall be entitled to an equitable adjustment in the Contract Price or the Contract Times. Contractor must submit any Change Proposal seeking an equitable adjustment in the Contract Price or the Contract Times under this paragraph within 30 days of the damaging, delaying, disrupting, or interfering event. The entitlement to, and extent of, any such equitable adjustment will take into account information (if any) regarding such other work that was provided to Contractor in the Contract Documents prior to the submittal of the Bid or the final negotiation of the terms of the Contract, and any remedies available to Contractor under Laws or Regulations concerning utility action or inaction. When applicable, any such equitable adjustment in Contract Price will be conditioned on Contractor assigning to Owner all Contractor's rights against such other contractor or utility owner with respect to the damage, delay, disruption, or interference that is the subject of the adjustment. Contractor's entitlement to an adjustment of the Contract Times or Contract Price is subject to the provisions of Paragraphs 4.05.D and 4.05.E.

- B. Contractor shall take reasonable and customary measures to avoid damaging, delaying, disrupting, or interfering with the work of Owner, any other contractor, or any utility owner performing other work at or adjacent to the Site.
  - 1. If Contractor fails to take such measures and as a result damages, delays, disrupts, or interferes with the work of any such other contractor or utility owner, then Owner may impose a set-off against payments due Contractor, and assign to such other contractor or utility owner the Owner's contractual rights against Contractor with respect to the breach of the obligations set forth in this Paragraph 8.03.B.
  - 2. When Owner is performing other work at or adjacent to the Site with Owner's employees, Contractor shall be liable to Owner for damage to such other work, and for the reasonable direct delay, disruption, and interference costs incurred by Owner as a result of Contractor's failure to take reasonable and customary measures with respect to Owner's other work. In response to such damage, delay, disruption, or interference, Owner may impose a set-off against payments due Contractor.
- C. If Contractor damages, delays, disrupts, or interferes with the work of any other contractor, or any utility owner performing other work at or adjacent to the Site, through Contractor's failure to take reasonable and customary measures to avoid such impacts, or if any claim arising out of Contractor's actions, inactions, or negligence in performance of the Work at or adjacent to the Site is made by any such other contractor or utility owner against Contractor, Owner, or Engineer, then Contractor shall (1) promptly attempt to settle the claim as to all parties through negotiations with such other contractor or utility owner, or otherwise resolve the claim by arbitration or other dispute resolution proceeding or at law, and (2) indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claims, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such damage, delay, disruption, or interference.

# **ARTICLE 9—OWNER'S RESPONSIBILITIES**

- 9.01 Communications to Contractor
  - A. Except as otherwise provided in these General Conditions, Owner shall issue all communications to Contractor through Engineer.
- 9.02 Replacement of Engineer
  - A. Owner may at its discretion appoint an engineer to replace Engineer, provided Contractor makes no reasonable objection to the replacement engineer. The replacement engineer's status under the Contract Documents will be that of the former Engineer.
- 9.03 Furnish Data
  - A. Owner shall promptly furnish the data required of Owner under the Contract Documents.
- 9.04 Pay When Due
  - A. Owner shall make payments to Contractor when they are due as provided in the Agreement.

- 9.05 Lands and Easements; Reports, Tests, and Drawings
  - A. Owner's duties with respect to providing lands and easements are set forth in Paragraph 5.01.
  - B. Owner's duties with respect to providing engineering surveys to establish reference points are set forth in Paragraph 4.03.
  - C. Article 5 refers to Owner's identifying and making available to Contractor copies of reports of explorations and tests of conditions at the Site, and drawings of physical conditions relating to existing surface or subsurface structures at the Site.

## 9.06 Insurance

A. Owner's responsibilities, if any, with respect to purchasing and maintaining liability and property insurance are set forth in Article 6.

## 9.07 Change Orders

A. Owner's responsibilities with respect to Change Orders are set forth in Article 11.

## 9.08 Inspections, Tests, and Approvals

A. Owner's responsibility with respect to certain inspections, tests, and approvals is set forth in Paragraph 14.02.B.

#### 9.09 Limitations on Owner's Responsibilities

A. The Owner shall not supervise, direct, or have control or authority over, nor be responsible for, Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Owner will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.

#### 9.10 Undisclosed Hazardous Environmental Condition

A. Owner's responsibility in respect to an undisclosed Hazardous Environmental Condition is set forth in Paragraph 5.06.

#### 9.11 Evidence of Financial Arrangements

A. Upon request of Contractor, Owner shall furnish Contractor reasonable evidence that financial arrangements have been made to satisfy Owner's obligations under the Contract (including obligations under proposed changes in the Work).

#### 9.12 Safety Programs

- A. While at the Site, Owner's employees and representatives shall comply with the specific applicable requirements of Contractor's safety programs of which Owner has been informed.
- B. Owner shall furnish copies of any applicable Owner safety programs to Contractor.

#### ARTICLE 10—ENGINEER'S STATUS DURING CONSTRUCTION

## 10.01 Owner's Representative

A. Engineer will be Owner's representative during the construction period. The duties and responsibilities and the limitations of authority of Engineer as Owner's representative during construction are set forth in the Contract.

#### 10.02 Visits to Site

- A. Engineer will make visits to the Site at intervals appropriate to the various stages of construction as Engineer deems necessary in order to observe, as an experienced and qualified design professional, the progress that has been made and the quality of the various aspects of Contractor's executed Work. Based on information obtained during such visits and observations, Engineer, for the benefit of Owner, will determine, in general, if the Work is proceeding in accordance with the Contract Documents. Engineer will not be required to make exhaustive or continuous inspections on the Site to check the quality or quantity of the Work. Engineer's efforts will be directed toward providing for Owner a greater degree of confidence that the completed Work will conform generally to the Contract Documents. On the basis of such visits and observations, Engineer will keep Owner informed of the progress of the Work and will endeavor to guard Owner against defective Work.
- B. Engineer's visits and observations are subject to all the limitations on Engineer's authority and responsibility set forth in Paragraph 10.07. Particularly, but without limitation, during or as a result of Engineer's visits or observations of Contractor's Work, Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work.

#### 10.03 Resident Project Representative

- A. If Owner and Engineer have agreed that Engineer will furnish a Resident Project Representative to represent Engineer at the Site and assist Engineer in observing the progress and quality of the Work, then the authority and responsibilities of any such Resident Project Representative will be as provided in the Supplementary Conditions, and limitations on the responsibilities thereof will be as provided in the Supplementary Conditions and in Paragraph 10.07.
- B. If Owner designates an individual or entity who is not Engineer's consultant, agent, or employee to represent Owner at the Site, then the responsibilities and authority of such individual or entity will be as provided in the Supplementary Conditions.

# 10.04 Engineer's Authority

- A. Engineer has the authority to reject Work in accordance with Article 14.
- B. Engineer's authority as to Submittals is set forth in Paragraph 7.16.
- C. Engineer's authority as to design drawings, calculations, specifications, certifications and other Submittals from Contractor in response to Owner's delegation (if any) to Contractor of professional design services, is set forth in Paragraph 7.19.
- D. Engineer's authority as to changes in the Work is set forth in Article 11.

E. Engineer's authority as to Applications for Payment is set forth in Article 15.

## 10.05 Determinations for Unit Price Work

A. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor as set forth in Paragraph 13.03.

#### 10.06 Decisions on Requirements of Contract Documents and Acceptability of Work

A. Engineer will render decisions regarding the requirements of the Contract Documents, and judge the acceptability of the Work, pursuant to the specific procedures set forth herein for initial interpretations, Change Proposals, and acceptance of the Work. In rendering such decisions and judgments, Engineer will not show partiality to Owner or Contractor, and will not be liable to Owner, Contractor, or others in connection with any proceedings, interpretations, decisions, or judgments conducted or rendered in good faith.

## 10.07 Limitations on Engineer's Authority and Responsibilities

- A. Neither Engineer's authority or responsibility under this Article 10 or under any other provision of the Contract, nor any decision made by Engineer in good faith either to exercise or not exercise such authority or responsibility or the undertaking, exercise, or performance of any authority or responsibility by Engineer, will create, impose, or give rise to any duty in contract, tort, or otherwise owed by Engineer to Contractor, any Subcontractor, any Supplier, any other individual or entity, or to any surety for or employee or agent of any of them.
- B. Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Engineer will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.
- C. Engineer will not be responsible for the acts or omissions of Contractor or of any Subcontractor, any Supplier, or of any other individual or entity performing any of the Work.
- D. Engineer's review of the final Application for Payment and accompanying documentation, and all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, tests and approvals, and other documentation required to be delivered by Contractor under Paragraph 15.06.A, will only be to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests, and approvals, that the results certified indicate compliance with the Contract Documents.
- E. The limitations upon authority and responsibility set forth in this Paragraph 10.07 also apply to the Resident Project Representative, if any.

## 10.08 Compliance with Safety Program

A. While at the Site, Engineer's employees and representatives will comply with the specific applicable requirements of Owner's and Contractor's safety programs of which Engineer has been informed.

#### ARTICLE 11—CHANGES TO THE CONTRACT

## 11.01 Amending and Supplementing the Contract

- A. The Contract may be amended or supplemented by a Change Order, a Work Change Directive, or a Field Order.
- B. If an amendment or supplement to the Contract includes a change in the Contract Price or the Contract Times, such amendment or supplement must be set forth in a Change Order.
- C. All changes to the Contract that involve (1) the performance or acceptability of the Work, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, must be supported by Engineer's recommendation. Owner and Contractor may amend other terms and conditions of the Contract without the recommendation of the Engineer.

## 11.02 Change Orders

- A. Owner and Contractor shall execute appropriate Change Orders covering:
  - Changes in Contract Price or Contract Times which are agreed to by the parties, including any undisputed sum or amount of time for Work actually performed in accordance with a Work Change Directive;
  - Changes in Contract Price resulting from an Owner set-off, unless Contractor has duly contested such set-off;
  - 3. Changes in the Work which are: (a) ordered by Owner pursuant to Paragraph 11.05, (b) required because of Owner's acceptance of defective Work under Paragraph 14.04 or Owner's correction of defective Work under Paragraph 14.07, or (c) agreed to by the parties, subject to the need for Engineer's recommendation if the change in the Work involves the design (as set forth in the Drawings, Specifications, or otherwise) or other engineering or technical matters; and
  - 4. Changes that embody the substance of any final and binding results under: Paragraph 11.03.B, resolving the impact of a Work Change Directive; Paragraph 11.09, concerning Change Proposals; Article 12, Claims; Paragraph 13.02.D, final adjustments resulting from allowances; Paragraph 13.03.D, final adjustments relating to determination of quantities for Unit Price Work; and similar provisions.
- B. If Owner or Contractor refuses to execute a Change Order that is required to be executed under the terms of Paragraph 11.02.A, it will be deemed to be of full force and effect, as if fully executed.

# 11.03 Work Change Directives

A. A Work Change Directive will not change the Contract Price or the Contract Times but is evidence that the parties expect that the modification ordered or documented by a Work Change Directive will be incorporated in a subsequently issued Change Order, following negotiations by the parties as to the Work Change Directive's effect, if any, on the Contract Price and Contract Times; or, if negotiations are unsuccessful, by a determination under the terms of the Contract Documents governing adjustments, expressly including Paragraph 11.07 regarding change of Contract Price.

- B. If Owner has issued a Work Change Directive and:
  - 1. Contractor believes that an adjustment in Contract Times or Contract Price is necessary, then Contractor shall submit any Change Proposal seeking such an adjustment no later than 30 days after the completion of the Work set out in the Work Change Directive.
  - Owner believes that an adjustment in Contract Times or Contract Price is necessary, then
     Owner shall submit any Claim seeking such an adjustment no later than 60 days after
     issuance of the Work Change Directive.

#### 11.04 Field Orders

- A. Engineer may authorize minor changes in the Work if the changes do not involve an adjustment in the Contract Price or the Contract Times and are compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. Such changes will be accomplished by a Field Order and will be binding on Owner and also on Contractor, which shall perform the Work involved promptly.
- B. If Contractor believes that a Field Order justifies an adjustment in the Contract Price or Contract Times, then before proceeding with the Work at issue, Contractor shall submit a Change Proposal as provided herein.

## 11.05 Owner-Authorized Changes in the Work

- A. Without invalidating the Contract and without notice to any surety, Owner may, at any time or from time to time, order additions, deletions, or revisions in the Work. Changes involving the design (as set forth in the Drawings, Specifications, or otherwise) or other engineering or technical matters will be supported by Engineer's recommendation.
- B. Such changes in the Work may be accomplished by a Change Order, if Owner and Contractor have agreed as to the effect, if any, of the changes on Contract Times or Contract Price; or by a Work Change Directive. Upon receipt of any such document, Contractor shall promptly proceed with the Work involved; or, in the case of a deletion in the Work, promptly cease construction activities with respect to such deleted Work. Added or revised Work must be performed under the applicable conditions of the Contract Documents.
- C. Nothing in this Paragraph 11.05 obligates Contractor to undertake work that Contractor reasonably concludes cannot be performed in a manner consistent with Contractor's safety obligations under the Contract Documents or Laws and Regulations.

#### 11.06 Unauthorized Changes in the Work

A. Contractor shall not be entitled to an increase in the Contract Price or an extension of the Contract Times with respect to any work performed that is not required by the Contract Documents, as amended, modified, or supplemented, except in the case of an emergency as provided in Paragraph 7.15 or in the case of uncovering Work as provided in Paragraph 14.05.C.2.

#### 11.07 Change of Contract Price

- A. The Contract Price may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Price must comply with the provisions of Paragraph 11.09. Any Claim for an adjustment of Contract Price must comply with the provisions of Article 12.
- B. An adjustment in the Contract Price will be determined as follows:

- 1. Where the Work involved is covered by unit prices contained in the Contract Documents, then by application of such unit prices to the quantities of the items involved (subject to the provisions of Paragraph 13.03);
- Where the Work involved is not covered by unit prices contained in the Contract Documents, then by a mutually agreed lump sum (which may include an allowance for overhead and profit not necessarily in accordance with Paragraph 11.07.C.2); or
- 3. Where the Work involved is not covered by unit prices contained in the Contract Documents and the parties do not reach mutual agreement to a lump sum, then on the basis of the Cost of the Work (determined as provided in Paragraph 13.01) plus a Contractor's fee for overhead and profit (determined as provided in Paragraph 11.07.C).
- C. *Contractor's Fee*: When applicable, the Contractor's fee for overhead and profit will be determined as follows:
  - 1. A mutually acceptable fixed fee; or
  - 2. If a fixed fee is not agreed upon, then a fee based on the following percentages of the various portions of the Cost of the Work:
    - a. For costs incurred under Paragraphs 13.01.B.1 and 13.01.B.2, the Contractor's fee will be 15 percent;
    - b. For costs incurred under Paragraph 13.01.B.3, the Contractor's fee will be 5 percent;
    - c. Where one or more tiers of subcontracts are on the basis of Cost of the Work plus a fee and no fixed fee is agreed upon, the intent of Paragraphs 11.07.C.2.a and 11.07.C.2.b is that the Contractor's fee will be based on: (1) a fee of 15 percent of the costs incurred under Paragraphs 13.01.B.1 and 13.01.B.2 by the Subcontractor that actually performs the Work, at whatever tier, and (2) with respect to Contractor itself and to any Subcontractors of a tier higher than that of the Subcontractor that actually performs the Work, a fee of 5 percent of the amount (fee plus underlying costs incurred) attributable to the next lower tier Subcontractor; provided, however, that for any such subcontracted Work the maximum total fee to be paid by Owner will be no greater than 27 percent of the costs incurred by the Subcontractor that actually performs the Work;
    - d. No fee will be payable on the basis of costs itemized under Paragraphs 13.01.B.4, 13.01.B.5, and 13.01.C;
    - e. The amount of credit to be allowed by Contractor to Owner for any change which results in a net decrease in Cost of the Work will be the amount of the actual net decrease in Cost of the Work and a deduction of an additional amount equal to 5 percent of such actual net decrease in Cost of the Work; and
    - f. When both additions and credits are involved in any one change or Change Proposal, the adjustment in Contractor's fee will be computed by determining the sum of the costs in each of the cost categories in Paragraph 13.01.B (specifically, payroll costs, Paragraph 13.01.B.1; incorporated materials and equipment costs, Paragraph 13.01.B.2; Subcontract costs, Paragraph 13.01.B.3; special consultants costs, Paragraph 13.01.B.4; and other costs, Paragraph 13.01.B.5) and applying to each such cost category sum the appropriate fee from Paragraphs 11.07.C.2.a through 11.07.C.2.e, inclusive.

#### 11.08 Change of Contract Times

- A. The Contract Times may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Times must comply with the provisions of Paragraph 11.09. Any Claim for an adjustment in the Contract Times must comply with the provisions of Article 12.
- B. Delay, disruption, and interference in the Work, and any related changes in Contract Times, are addressed in and governed by Paragraph 4.05.

## 11.09 Change Proposals

A. Purpose and Content: Contractor shall submit a Change Proposal to Engineer to request an adjustment in the Contract Times or Contract Price; contest an initial decision by Engineer concerning the requirements of the Contract Documents or relating to the acceptability of the Work under the Contract Documents; challenge a set-off against payment due; or seek other relief under the Contract. The Change Proposal will specify any proposed change in Contract Times or Contract Price, or other proposed relief, and explain the reason for the proposed change, with citations to any governing or applicable provisions of the Contract Documents. Each Change Proposal will address only one issue, or a set of closely related issues.

## B. Change Proposal Procedures

- 1. *Submittal*: Contractor shall submit each Change Proposal to Engineer within 30 days after the start of the event giving rise thereto, or after such initial decision.
- 2. Supporting Data: The Contractor shall submit supporting data, including the proposed change in Contract Price or Contract Time (if any), to the Engineer and Owner within 15 days after the submittal of the Change Proposal.
  - a. Change Proposals based on or related to delay, interruption, or interference must comply with the provisions of Paragraphs 4.05.D and 4.05.E.
  - b. Change proposals related to a change of Contract Price must include full and detailed accounts of materials incorporated into the Work and labor and equipment used for the subject Work.

The supporting data must be accompanied by a written statement that the supporting data are accurate and complete, and that any requested time or price adjustment is the entire adjustment to which Contractor believes it is entitled as a result of said event.

- 3. Engineer's Initial Review: Engineer will advise Owner regarding the Change Proposal, and consider any comments or response from Owner regarding the Change Proposal. If in its discretion Engineer concludes that additional supporting data is needed before conducting a full review and making a decision regarding the Change Proposal, then Engineer may request that Contractor submit such additional supporting data by a date specified by Engineer, prior to Engineer beginning its full review of the Change Proposal.
- 4. Engineer's Full Review and Action on the Change Proposal: Upon receipt of Contractor's supporting data (including any additional data requested by Engineer), Engineer will conduct a full review of each Change Proposal and, within 30 days after such receipt of the Contractor's supporting data, either approve the Change Proposal in whole, deny it in whole, or approve it in part and deny it in part. Such actions must be in writing, with a copy provided to Owner and Contractor. If Engineer does not take action on the Change

Proposal within 30 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of Engineer's inaction the Change Proposal is deemed denied, thereby commencing the time for appeal of the denial under Article 12.

- 5. *Binding Decision*: Engineer's decision is final and binding upon Owner and Contractor, unless Owner or Contractor appeals the decision by filing a Claim under Article 12.
- C. Resolution of Certain Change Proposals: If the Change Proposal does not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters, then Engineer will notify the parties in writing that the Engineer is unable to resolve the Change Proposal. For purposes of further resolution of such a Change Proposal, such notice will be deemed a denial, and Contractor may choose to seek resolution under the terms of Article 12.
- D. *Post-Completion*: Contractor shall not submit any Change Proposals after Engineer issues a written recommendation of final payment pursuant to Paragraph 15.06.B.

## 11.10 Notification to Surety

A. If the provisions of any bond require notice to be given to a surety of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Times), the giving of any such notice will be Contractor's responsibility. The amount of each applicable bond will be adjusted to reflect the effect of any such change.

#### **ARTICLE 12—CLAIMS**

#### 12.01 *Claims*

- A. *Claims Process*: The following disputes between Owner and Contractor are subject to the Claims process set forth in this article:
  - 1. Appeals by Owner or Contractor of Engineer's decisions regarding Change Proposals;
  - 2. Owner demands for adjustments in the Contract Price or Contract Times, or other relief under the Contract Documents;
  - 3. Disputes that Engineer has been unable to address because they do not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters; and
  - 4. Subject to the waiver provisions of Paragraph 15.07, any dispute arising after Engineer has issued a written recommendation of final payment pursuant to Paragraph 15.06.B.
- B. Submittal of Claim: The party submitting a Claim shall deliver it directly to the other party to the Contract promptly (but in no event later than 30 days) after the start of the event giving rise thereto; in the case of appeals regarding Change Proposals within 30 days of the decision under appeal. The party submitting the Claim shall also furnish a copy to the Engineer, for its information only. The responsibility to substantiate a Claim rests with the party making the Claim. In the case of a Claim by Contractor seeking an increase in the Contract Times or Contract Price, Contractor shall certify that the Claim is made in good faith, that the supporting data are accurate and complete, and that to the best of Contractor's knowledge

- and belief the amount of time or money requested accurately reflects the full amount to which Contractor is entitled.
- C. Review and Resolution: The party receiving a Claim shall review it thoroughly, giving full consideration to its merits. The two parties shall seek to resolve the Claim through the exchange of information and direct negotiations. The parties may extend the time for resolving the Claim by mutual agreement. All actions taken on a Claim will be stated in writing and submitted to the other party, with a copy to Engineer.

#### D. Mediation

- 1. At any time after initiation of a Claim, Owner and Contractor may mutually agree to mediation of the underlying dispute. The agreement to mediate will stay the Claim submittal and response process.
- 2. If Owner and Contractor agree to mediation, then after 60 days from such agreement, either Owner or Contractor may unilaterally terminate the mediation process, and the Claim submittal and decision process will resume as of the date of the termination. If the mediation proceeds but is unsuccessful in resolving the dispute, the Claim submittal and decision process will resume as of the date of the conclusion of the mediation, as determined by the mediator.
- 3. Owner and Contractor shall each pay one-half of the mediator's fees and costs.
- E. *Partial Approval*: If the party receiving a Claim approves the Claim in part and denies it in part, such action will be final and binding unless within 30 days of such action the other party invokes the procedure set forth in Article 17 for final resolution of disputes.
- F. Denial of Claim: If efforts to resolve a Claim are not successful, the party receiving the Claim may deny it by giving written notice of denial to the other party. If the receiving party does not take action on the Claim within 90 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of the inaction, the Claim is deemed denied, thereby commencing the time for appeal of the denial. A denial of the Claim will be final and binding unless within 30 days of the denial the other party invokes the procedure set forth in Article 17 for the final resolution of disputes.
- G. Final and Binding Results: If the parties reach a mutual agreement regarding a Claim, whether through approval of the Claim, direct negotiations, mediation, or otherwise; or if a Claim is approved in part and denied in part, or denied in full, and such actions become final and binding; then the results of the agreement or action on the Claim will be incorporated in a Change Order or other written document to the extent they affect the Contract, including the Work, the Contract Times, or the Contract Price.

## ARTICLE 13—COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK

# 13.01 Cost of the Work

- A. Purposes for Determination of Cost of the Work: The term Cost of the Work means the sum of all costs necessary for the proper performance of the Work at issue, as further defined below. The provisions of this Paragraph 13.01 are used for two distinct purposes:
  - 1. To determine Cost of the Work when Cost of the Work is a component of the Contract Price, under cost-plus-fee, time-and-materials, or other cost-based terms; or

- 2. When needed to determine the value of a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price. When the value of any such adjustment is determined on the basis of Cost of the Work, Contractor is entitled only to those additional or incremental costs required because of the change in the Work or because of the event giving rise to the adjustment.
- B. Costs Included: Except as otherwise may be agreed to in writing by Owner, costs included in the Cost of the Work will be in amounts no higher than those commonly incurred in the locality of the Project, will not include any of the costs itemized in Paragraph 13.01.C, and will include only the following items:
  - 1. Payroll costs for employees in the direct employ of Contractor in the performance of the Work under schedules of job classifications agreed upon by Owner and Contractor in advance of the subject Work. Such employees include, without limitation, superintendents, foremen, safety managers, safety representatives, and other personnel employed full time on the Work. Payroll costs for employees not employed full time on the Work will be apportioned on the basis of their time spent on the Work. Payroll costs include, but are not limited to, salaries and wages plus the cost of fringe benefits, which include social security contributions, unemployment, excise, and payroll taxes, workers' compensation, health and retirement benefits, sick leave, and vacation and holiday pay applicable thereto. The expenses of performing Work outside of regular working hours, on Saturday, Sunday, or legal holidays, will be included in the above to the extent authorized by Owner.
  - 2. Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts accrue to Contractor unless Owner deposits funds with Contractor with which to make payments, in which case the cash discounts will accrue to Owner. All trade discounts, rebates, and refunds and returns from sale of surplus materials and equipment will accrue to Owner, and Contractor shall make provisions so that they may be obtained.
  - 3. Payments made by Contractor to Subcontractors for Work performed by Subcontractors. If required by Owner, Contractor shall obtain competitive bids from subcontractors acceptable to Owner and Contractor and shall deliver such bids to Owner, which will then determine, with the advice of Engineer, which bids, if any, will be acceptable. If any subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work plus a fee, the Subcontractor's Cost of the Work and fee will be determined in the same manner as Contractor's Cost of the Work and fee as provided in this Paragraph 13.01.
  - 4. Costs of special consultants (including but not limited to engineers, architects, testing laboratories, surveyors, attorneys, and accountants) employed or retained for services specifically related to the Work.
  - 5. Other costs consisting of the following:
    - a. The proportion of necessary transportation, travel, and subsistence expenses of Contractor's employees incurred in discharge of duties connected with the Work.
    - b. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office, and temporary facilities at the Site, which are

consumed in the performance of the Work, and cost, less market value, of such items used but not consumed which remain the property of Contractor.

1) In establishing included costs for materials such as scaffolding, plating, or sheeting, consideration will be given to the actual or the estimated life of the material for use on other projects; or rental rates may be established on the basis of purchase or salvage value of such items, whichever is less. Contractor will not be eligible for compensation for such items in an amount that exceeds the purchase cost of such item.

## c. Construction Equipment Rental

- 1) Rentals of all construction equipment and machinery, and the parts thereof, in accordance with rental agreements approved by Owner as to price (including any surcharge or special rates applicable to overtime use of the construction equipment or machinery), and the costs of transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs will be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts must cease when the use thereof is no longer necessary for the Work.
- 2) Costs for equipment and machinery owned by Contractor or a Contractor-related entity will be paid at a rate shown for such equipment in the equipment rental rate book specified in the Supplementary Conditions. An hourly rate will be computed by dividing the monthly rates by 176. These computed rates will include all operating costs.
- 3) With respect to Work that is the result of a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price ("changed Work"), included costs will be based on the time the equipment or machinery is in use on the changed Work and the costs of transportation, loading, unloading, assembly, dismantling, and removal when directly attributable to the changed Work. The cost of any such equipment or machinery, or parts thereof, must cease to accrue when the use thereof is no longer necessary for the changed Work.
- d. Sales, consumer, use, and other similar taxes related to the Work, and for which Contractor is liable, as imposed by Laws and Regulations.
- e. Deposits lost for causes other than negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.
- f. Losses and damages (and related expenses) caused by damage to the Work, not compensated by insurance or otherwise, sustained by Contractor in connection with the performance of the Work (except losses and damages within the deductible amounts of builder's risk or other property insurance established in accordance with Paragraph 6.04), provided such losses and damages have resulted from causes other than the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses include settlements made with the written consent and approval of Owner. No such losses, damages, and expenses will be included in the Cost of the Work for the purpose of determining Contractor's fee.

- g. The cost of utilities, fuel, and sanitary facilities at the Site.
- h. Minor expenses such as communication service at the Site, express and courier services, and similar petty cash items in connection with the Work.
- i. The costs of premiums for all bonds and insurance that Contractor is required by the Contract Documents to purchase and maintain.
- C. Costs Excluded: The term Cost of the Work does not include any of the following items:
  - 1. Payroll costs and other compensation of Contractor's officers, executives, principals, general managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expediters, timekeepers, clerks, and other personnel employed by Contractor, whether at the Site or in Contractor's principal or branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in Paragraph 13.01.B.1 or specifically covered by Paragraph 13.01.B.4. The payroll costs and other compensation excluded here are to be considered administrative costs covered by the Contractor's fee.
  - 2. The cost of purchasing, renting, or furnishing small tools and hand tools.
  - 3. Expenses of Contractor's principal and branch offices other than Contractor's office at the Site.
  - 4. Any part of Contractor's capital expenses, including interest on Contractor's capital employed for the Work and charges against Contractor for delinquent payments.
  - 5. Costs due to the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied, and making good any damage to property.
  - 6. Expenses incurred in preparing and advancing Claims.
  - 7. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in Paragraph 13.01.B.

## D. Contractor's Fee

- 1. When the Work as a whole is performed on the basis of cost-plus-a-fee, then:
  - a. Contractor's fee for the Work set forth in the Contract Documents as of the Effective Date of the Contract will be determined as set forth in the Agreement.
  - b. for any Work covered by a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price on the basis of Cost of the Work, Contractor's fee will be determined as follows:
    - 1) When the fee for the Work as a whole is a percentage of the Cost of the Work, the fee will automatically adjust as the Cost of the Work changes.
    - 2) When the fee for the Work as a whole is a fixed fee, the fee for any additions or deletions will be determined in accordance with Paragraph 11.07.C.2.
- 2. When the Work as a whole is performed on the basis of a stipulated sum, or any other basis other than cost-plus-a-fee, then Contractor's fee for any Work covered by a Change

Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price on the basis of Cost of the Work will be determined in accordance with Paragraph 11.07.C.2.

E. Documentation and Audit: Whenever the Cost of the Work for any purpose is to be determined pursuant to this Article 13, Contractor and pertinent Subcontractors will establish and maintain records of the costs in accordance with generally accepted accounting practices. Subject to prior written notice, Owner will be afforded reasonable access, during normal business hours, to all Contractor's accounts, records, books, correspondence, instructions, drawings, receipts, vouchers, memoranda, and similar data relating to the Cost of the Work and Contractor's fee. Contractor shall preserve all such documents for a period of three years after the final payment by Owner. Pertinent Subcontractors will afford such access to Owner, and preserve such documents, to the same extent required of Contractor.

#### 13.02 Allowances

- A. It is understood that Contractor has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be performed for such sums and by such persons or entities as may be acceptable to Owner and Engineer.
- B. Cash Allowances: Contractor agrees that:
  - the cash allowances include the cost to Contractor (less any applicable trade discounts)
    of materials and equipment required by the allowances to be delivered at the Site, and
    all applicable taxes; and
  - 2. Contractor's costs for unloading and handling on the Site, labor, installation, overhead, profit, and other expenses contemplated for the cash allowances have been included in the Contract Price and not in the allowances, and no demand for additional payment for any of the foregoing will be valid.
- C. *Owner's Contingency Allowance*: Contractor agrees that an Owner's contingency allowance, if any, is for the sole use of Owner to cover unanticipated costs.
- D. Prior to final payment, an appropriate Change Order will be issued as recommended by Engineer to reflect actual amounts due Contractor for Work covered by allowances, and the Contract Price will be correspondingly adjusted.

#### 13.03 Unit Price Work

- A. Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the unit price for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Agreement.
- B. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Payments to Contractor for Unit Price Work will be based on actual quantities.
- C. Each unit price will be deemed to include an amount considered by Contractor to be adequate to cover Contractor's overhead and profit for each separately identified item.
- D. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor. Engineer will review with Contractor the Engineer's preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). Engineer's written decision

thereon will be final and binding (except as modified by Engineer to reflect changed factual conditions or more accurate data) upon Owner and Contractor, and the final adjustment of Contract Price will be set forth in a Change Order, subject to the provisions of the following paragraph.

# E. Adjustments in Unit Price

- 1. Contractor or Owner shall be entitled to an adjustment in the unit price with respect to an item of Unit Price Work if:
  - a. the quantity of the item of Unit Price Work performed by Contractor differs materially and significantly from the estimated quantity of such item indicated in the Agreement; and
  - b. Contractor's unit costs to perform the item of Unit Price Work have changed materially and significantly as a result of the quantity change.
- 2. The adjustment in unit price will account for and be coordinated with any related changes in quantities of other items of Work, and in Contractor's costs to perform such other Work, such that the resulting overall change in Contract Price is equitable to Owner and Contractor.
- 3. Adjusted unit prices will apply to all units of that item.

#### ARTICLE 14—TESTS AND INSPECTIONS; CORRECTION, REMOVAL, OR ACCEPTANCE OF DEFECTIVE WORK

#### 14.01 Access to Work

A. Owner, Engineer, their consultants and other representatives and personnel of Owner, independent testing laboratories, and authorities having jurisdiction have access to the Site and the Work at reasonable times for their observation, inspection, and testing. Contractor shall provide them proper and safe conditions for such access and advise them of Contractor's safety procedures and programs so that they may comply with such procedures and programs as applicable.

#### 14.02 Tests, Inspections, and Approvals

- A. Contractor shall give Engineer timely notice of readiness of the Work (or specific parts thereof) for all required inspections and tests, and shall cooperate with inspection and testing personnel to facilitate required inspections and tests.
- B. Owner shall retain and pay for the services of an independent inspector, testing laboratory, or other qualified individual or entity to perform all inspections and tests expressly required by the Contract Documents to be furnished and paid for by Owner, except that costs incurred in connection with tests or inspections of covered Work will be governed by the provisions of Paragraph 14.05.
- C. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) specifically to be inspected, tested, or approved by an employee or other representative of such public body, Contractor shall assume full responsibility for arranging and obtaining such inspections, tests, or approvals, pay all costs in connection therewith, and furnish Engineer the required certificates of inspection or approval.

- D. Contractor shall be responsible for arranging, obtaining, and paying for all inspections and tests required:
  - 1. by the Contract Documents, unless the Contract Documents expressly allocate responsibility for a specific inspection or test to Owner;
  - 2. to attain Owner's and Engineer's acceptance of materials or equipment to be incorporated in the Work;
  - 3. by manufacturers of equipment furnished under the Contract Documents;
  - 4. for testing, adjusting, and balancing of mechanical, electrical, and other equipment to be incorporated into the Work; and
  - 5. for acceptance of materials, mix designs, or equipment submitted for approval prior to Contractor's purchase thereof for incorporation in the Work.

Such inspections and tests will be performed by independent inspectors, testing laboratories, or other qualified individuals or entities acceptable to Owner and Engineer.

- E. If the Contract Documents require the Work (or part thereof) to be approved by Owner, Engineer, or another designated individual or entity, then Contractor shall assume full responsibility for arranging and obtaining such approvals.
- F. If any Work (or the work of others) that is to be inspected, tested, or approved is covered by Contractor without written concurrence of Engineer, Contractor shall, if requested by Engineer, uncover such Work for observation. Such uncovering will be at Contractor's expense unless Contractor had given Engineer timely notice of Contractor's intention to cover the same and Engineer had not acted with reasonable promptness in response to such notice.

#### 14.03 Defective Work

- A. Contractor's Obligation: It is Contractor's obligation to assure that the Work is not defective.
- B. *Engineer's Authority*: Engineer has the authority to determine whether Work is defective, and to reject defective Work.
- C. *Notice of Defects*: Prompt written notice of all defective Work of which Owner or Engineer has actual knowledge will be given to Contractor.
- D. Correction, or Removal and Replacement: Promptly after receipt of written notice of defective Work, Contractor shall correct all such defective Work, whether or not fabricated, installed, or completed, or, if Engineer has rejected the defective Work, remove it from the Project and replace it with Work that is not defective.
- E. *Preservation of Warranties*: When correcting defective Work, Contractor shall take no action that would void or otherwise impair Owner's special warranty and guarantee, if any, on said Work.
- F. Costs and Damages: In addition to its correction, removal, and replacement obligations with respect to defective Work, Contractor shall pay all claims, costs, losses, and damages arising out of or relating to defective Work, including but not limited to the cost of the inspection, testing, correction, removal, replacement, or reconstruction of such defective Work, fines levied against Owner by governmental authorities because the Work is defective, and the costs of repair or replacement of work of others resulting from defective Work. Prior to final payment, if Owner and Contractor are unable to agree as to the measure of such claims, costs,

losses, and damages resulting from defective Work, then Owner may impose a reasonable set-off against payments due under Article 15.

# 14.04 Acceptance of Defective Work

A. If, instead of requiring correction or removal and replacement of defective Work, Owner prefers to accept it, Owner may do so (subject, if such acceptance occurs prior to final payment, to Engineer's confirmation that such acceptance is in general accord with the design intent and applicable engineering principles, and will not endanger public safety). Contractor shall pay all claims, costs, losses, and damages attributable to Owner's evaluation of and determination to accept such defective Work (such costs to be approved by Engineer as to reasonableness), and for the diminished value of the Work to the extent not otherwise paid by Contractor. If any such acceptance occurs prior to final payment, the necessary revisions in the Contract Documents with respect to the Work will be incorporated in a Change Order. If the parties are unable to agree as to the decrease in the Contract Price, reflecting the diminished value of Work so accepted, then Owner may impose a reasonable set-off against payments due under Article 15. If the acceptance of defective Work occurs after final payment, Contractor shall pay an appropriate amount to Owner.

## 14.05 Uncovering Work

- A. Engineer has the authority to require additional inspection or testing of the Work, whether or not the Work is fabricated, installed, or completed.
- B. If any Work is covered contrary to the written request of Engineer, then Contractor shall, if requested by Engineer, uncover such Work for Engineer's observation, and then replace the covering, all at Contractor's expense.
- C. If Engineer considers it necessary or advisable that covered Work be observed by Engineer or inspected or tested by others, then Contractor, at Engineer's request, shall uncover, expose, or otherwise make available for observation, inspection, or testing as Engineer may require, that portion of the Work in question, and provide all necessary labor, material, and equipment.
  - 1. If it is found that the uncovered Work is defective, Contractor shall be responsible for all claims, costs, losses, and damages arising out of or relating to such uncovering, exposure, observation, inspection, and testing, and of satisfactory replacement or reconstruction (including but not limited to all costs of repair or replacement of work of others); and pending Contractor's full discharge of this responsibility the Owner shall be entitled to impose a reasonable set-off against payments due under Article 15.
  - 2. If the uncovered Work is not found to be defective, Contractor shall be allowed an increase in the Contract Price or an extension of the Contract Times, directly attributable to such uncovering, exposure, observation, inspection, testing, replacement, and reconstruction. If the parties are unable to agree as to the amount or extent thereof, then Contractor may submit a Change Proposal within 30 days of the determination that the Work is not defective.

#### 14.06 Owner May Stop the Work

A. If the Work is defective, or Contractor fails to supply sufficient skilled workers or suitable materials or equipment, or fails to perform the Work in such a way that the completed Work will conform to the Contract Documents, then Owner may order Contractor to stop the Work,

or any portion thereof, until the cause for such order has been eliminated; however, this right of Owner to stop the Work will not give rise to any duty on the part of Owner to exercise this right for the benefit of Contractor, any Subcontractor, any Supplier, any other individual or entity, or any surety for, or employee or agent of any of them.

## 14.07 Owner May Correct Defective Work

- A. If Contractor fails within a reasonable time after written notice from Engineer to correct defective Work, or to remove and replace defective Work as required by Engineer, then Owner may, after 7 days' written notice to Contractor, correct or remedy any such deficiency.
- B. In exercising the rights and remedies under this Paragraph 14.07, Owner shall proceed expeditiously. In connection with such corrective or remedial action, Owner may exclude Contractor from all or part of the Site, take possession of all or part of the Work and suspend Contractor's services related thereto, and incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere. Contractor shall allow Owner, Owner's representatives, agents and employees, Owner's other contractors, and Engineer and Engineer's consultants access to the Site to enable Owner to exercise the rights and remedies under this paragraph.
- C. All claims, costs, losses, and damages incurred or sustained by Owner in exercising the rights and remedies under this Paragraph 14.07 will be charged against Contractor as set-offs against payments due under Article 15. Such claims, costs, losses and damages will include but not be limited to all costs of repair, or replacement of work of others destroyed or damaged by correction, removal, or replacement of Contractor's defective Work.
- D. Contractor shall not be allowed an extension of the Contract Times because of any delay in the performance of the Work attributable to the exercise by Owner of Owner's rights and remedies under this Paragraph 14.07.

#### ARTICLE 15—PAYMENTS TO CONTRACTOR; SET-OFFS; COMPLETION; CORRECTION PERIOD

## 15.01 Progress Payments

A. Basis for Progress Payments: The Schedule of Values established as provided in Article 2 will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to Engineer. Progress payments for Unit Price Work will be based on the number of units completed during the pay period, as determined under the provisions of Paragraph 13.03. Progress payments for cost-based Work will be based on Cost of the Work completed by Contractor during the pay period.

#### B. Applications for Payments

- At least 20 days before the date established in the Agreement for each progress payment (but not more often than once a month), Contractor shall submit to Engineer for review an Application for Payment filled out and signed by Contractor covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents.
- 2. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing, the Application for Payment must also be accompanied by: (a) a bill of sale, invoice, copies of subcontract or purchase order payments, or other documentation

establishing full payment by Contractor for the materials and equipment; (b) at Owner's request, documentation warranting that Owner has received the materials and equipment free and clear of all Liens; and (c) evidence that the materials and equipment are covered by appropriate property insurance, a warehouse bond, or other arrangements to protect Owner's interest therein, all of which must be satisfactory to Owner.

- Beginning with the second Application for Payment, each Application must include an
  affidavit of Contractor stating that all previous progress payments received by Contractor
  have been applied to discharge Contractor's legitimate obligations associated with prior
  Applications for Payment.
- 4. The amount of retainage with respect to progress payments will be as stipulated in the Agreement.

# C. Review of Applications

- Engineer will, within 10 days after receipt of each Application for Payment, including each
  resubmittal, either indicate in writing a recommendation of payment and present the
  Application to Owner, or return the Application to Contractor indicating in writing
  Engineer's reasons for refusing to recommend payment. In the latter case, Contractor
  may make the necessary corrections and resubmit the Application.
- 2. Engineer's recommendation of any payment requested in an Application for Payment will constitute a representation by Engineer to Owner, based on Engineer's observations of the executed Work as an experienced and qualified design professional, and on Engineer's review of the Application for Payment and the accompanying data and schedules, that to the best of Engineer's knowledge, information and belief:
  - a. the Work has progressed to the point indicated;
  - b. the quality of the Work is generally in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, the results of any subsequent tests called for in the Contract Documents, a final determination of quantities and classifications for Unit Price Work under Paragraph 13.03, and any other qualifications stated in the recommendation); and
  - c. the conditions precedent to Contractor's being entitled to such payment appear to have been fulfilled in so far as it is Engineer's responsibility to observe the Work.
- 3. By recommending any such payment Engineer will not thereby be deemed to have represented that:
  - a. inspections made to check the quality or the quantity of the Work as it has been performed have been exhaustive, extended to every aspect of the Work in progress, or involved detailed inspections of the Work beyond the responsibilities specifically assigned to Engineer in the Contract; or
  - b. there may not be other matters or issues between the parties that might entitle Contractor to be paid additionally by Owner or entitle Owner to withhold payment to Contractor.

- 4. Neither Engineer's review of Contractor's Work for the purposes of recommending payments nor Engineer's recommendation of any payment, including final payment, will impose responsibility on Engineer:
  - a. to supervise, direct, or control the Work;
  - b. for the means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto;
  - c. for Contractor's failure to comply with Laws and Regulations applicable to Contractor's performance of the Work;
  - d. to make any examination to ascertain how or for what purposes Contractor has used the money paid by Owner; or
  - e. to determine that title to any of the Work, materials, or equipment has passed to Owner free and clear of any Liens.
- 5. Engineer may refuse to recommend the whole or any part of any payment if, in Engineer's opinion, it would be incorrect to make the representations to Owner stated in Paragraph 15.01.C.2.
- 6. Engineer will recommend reductions in payment (set-offs) necessary in Engineer's opinion to protect Owner from loss because:
  - a. the Work is defective, requiring correction or replacement;
  - b. the Contract Price has been reduced by Change Orders;
  - c. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
  - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible; or
  - e. Engineer has actual knowledge of the occurrence of any of the events that would constitute a default by Contractor and therefore justify termination for cause under the Contract Documents.

## D. Payment Becomes Due

1. Ten days after presentation of the Application for Payment to Owner with Engineer's recommendation, the amount recommended (subject to any Owner set-offs) will become due, and when due will be paid by Owner to Contractor.

## E. Reductions in Payment by Owner

- 1. In addition to any reductions in payment (set-offs) recommended by Engineer, Owner is entitled to impose a set-off against payment based on any of the following:
  - a. Claims have been made against Owner based on Contractor's conduct in the performance or furnishing of the Work, or Owner has incurred costs, losses, or damages resulting from Contractor's conduct in the performance or furnishing of the Work, including but not limited to claims, costs, losses, or damages from workplace injuries, adjacent property damage, non-compliance with Laws and Regulations, and patent infringement;

- b. Contractor has failed to take reasonable and customary measures to avoid damage, delay, disruption, and interference with other work at or adjacent to the Site;
- c. Contractor has failed to provide and maintain required bonds or insurance;
- d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible;
- e. Owner has incurred extra charges or engineering costs related to submittal reviews, evaluations of proposed substitutes, tests and inspections, or return visits to manufacturing or assembly facilities;
- f. The Work is defective, requiring correction or replacement;
- g. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
- h. The Contract Price has been reduced by Change Orders;
- i. An event has occurred that would constitute a default by Contractor and therefore justify a termination for cause;
- j. Liquidated or other damages have accrued as a result of Contractor's failure to achieve Milestones, Substantial Completion, or final completion of the Work;
- k. Liens have been filed in connection with the Work, except where Contractor has delivered a specific bond satisfactory to Owner to secure the satisfaction and discharge of such Liens; or
- I. Other items entitle Owner to a set-off against the amount recommended.
- 2. If Owner imposes any set-off against payment, whether based on its own knowledge or on the written recommendations of Engineer, Owner will give Contractor immediate written notice (with a copy to Engineer) stating the reasons for such action and the specific amount of the reduction, and promptly pay Contractor any amount remaining after deduction of the amount so withheld. Owner shall promptly pay Contractor the amount so withheld, or any adjustment thereto agreed to by Owner and Contractor, if Contractor remedies the reasons for such action. The reduction imposed will be binding on Contractor unless it duly submits a Change Proposal contesting the reduction.
- 3. Upon a subsequent determination that Owner's refusal of payment was not justified, the amount wrongfully withheld will be treated as an amount due as determined by Paragraph 15.01.D.1 and subject to interest as provided in the Agreement.

#### 15.02 Contractor's Warranty of Title

A. Contractor warrants and guarantees that title to all Work, materials, and equipment furnished under the Contract will pass to Owner free and clear of (1) all Liens and other title defects, and (2) all patent, licensing, copyright, or royalty obligations, no later than 7 days after the time of payment by Owner.

# 15.03 Substantial Completion

A. When Contractor considers the entire Work ready for its intended use Contractor shall notify Owner and Engineer in writing that the entire Work is substantially complete and request that Engineer issue a certificate of Substantial Completion. Contractor shall at the same time

- submit to Owner and Engineer an initial draft of punch list items to be completed or corrected before final payment.
- B. Promptly after Contractor's notification, Owner, Contractor, and Engineer shall make an inspection of the Work to determine the status of completion. If Engineer does not consider the Work substantially complete, Engineer will notify Contractor in writing giving the reasons therefor.
- C. If Engineer considers the Work substantially complete, Engineer will deliver to Owner a preliminary certificate of Substantial Completion which will fix the date of Substantial Completion. Engineer shall attach to the certificate a punch list of items to be completed or corrected before final payment. Owner shall have 7 days after receipt of the preliminary certificate during which to make written objection to Engineer as to any provisions of the certificate or attached punch list. If, after considering the objections to the provisions of the preliminary certificate, Engineer concludes that the Work is not substantially complete, Engineer will, within 14 days after submission of the preliminary certificate to Owner, notify Contractor in writing that the Work is not substantially complete, stating the reasons therefor. If Owner does not object to the provisions of the certificate, or if despite consideration of Owner's objections Engineer concludes that the Work is substantially complete, then Engineer will, within said 14 days, execute and deliver to Owner and Contractor a final certificate of Substantial Completion (with a revised punch list of items to be completed or corrected) reflecting such changes from the preliminary certificate as Engineer believes justified after consideration of any objections from Owner.
- D. At the time of receipt of the preliminary certificate of Substantial Completion, Owner and Contractor will confer regarding Owner's use or occupancy of the Work following Substantial Completion, review the builder's risk insurance policy with respect to the end of the builder's risk coverage, and confirm the transition to coverage of the Work under a permanent property insurance policy held by Owner. Unless Owner and Contractor agree otherwise in writing, Owner shall bear responsibility for security, operation, protection of the Work, property insurance, maintenance, heat, and utilities upon Owner's use or occupancy of the Work.
- E. After Substantial Completion the Contractor shall promptly begin work on the punch list of items to be completed or corrected prior to final payment. In appropriate cases Contractor may submit monthly Applications for Payment for completed punch list items, following the progress payment procedures set forth above.
- F. Owner shall have the right to exclude Contractor from the Site after the date of Substantial Completion subject to allowing Contractor reasonable access to remove its property and complete or correct items on the punch list.

## 15.04 Partial Use or Occupancy

A. Prior to Substantial Completion of all the Work, Owner may use or occupy any substantially completed part of the Work which has specifically been identified in the Contract Documents, or which Owner, Engineer, and Contractor agree constitutes a separately functioning and usable part of the Work that can be used by Owner for its intended purpose without

significant interference with Contractor's performance of the remainder of the Work, subject to the following conditions:

- At any time, Owner may request in writing that Contractor permit Owner to use or occupy any such part of the Work that Owner believes to be substantially complete. If and when Contractor agrees that such part of the Work is substantially complete, Contractor, Owner, and Engineer will follow the procedures of Paragraph 15.03.A through 15.03.E for that part of the Work.
- 2. At any time, Contractor may notify Owner and Engineer in writing that Contractor considers any such part of the Work substantially complete and request Engineer to issue a certificate of Substantial Completion for that part of the Work.
- 3. Within a reasonable time after either such request, Owner, Contractor, and Engineer shall make an inspection of that part of the Work to determine its status of completion. If Engineer does not consider that part of the Work to be substantially complete, Engineer will notify Owner and Contractor in writing giving the reasons therefor. If Engineer considers that part of the Work to be substantially complete, the provisions of Paragraph 15.03 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.
- 4. No use or occupancy or separate operation of part of the Work may occur prior to compliance with the requirements of Paragraph 6.04 regarding builder's risk or other property insurance.

#### 15.05 Final Inspection

A. Upon written notice from Contractor that the entire Work or an agreed portion thereof is complete, Engineer will promptly make a final inspection with Owner and Contractor and will notify Contractor in writing of all particulars in which this inspection reveals that the Work, or agreed portion thereof, is incomplete or defective. Contractor shall immediately take such measures as are necessary to complete such Work or remedy such deficiencies.

#### 15.06 Final Payment

## A. Application for Payment

- After Contractor has, in the opinion of Engineer, satisfactorily completed all corrections identified during the final inspection and has delivered, in accordance with the Contract Documents, all maintenance and operating instructions, schedules, guarantees, bonds, certificates or other evidence of insurance, certificates of inspection, annotated record documents (as provided in Paragraph 7.12), and other documents, Contractor may make application for final payment.
- 2. The final Application for Payment must be accompanied (except as previously delivered) by:
  - a. all documentation called for in the Contract Documents;
  - b. consent of the surety, if any, to final payment;
  - c. satisfactory evidence that all title issues have been resolved such that title to all Work, materials, and equipment has passed to Owner free and clear of any Liens or other title defects, or will so pass upon final payment.

- d. a list of all duly pending Change Proposals and Claims; and
- e. complete and legally effective releases or waivers (satisfactory to Owner) of all Lien rights arising out of the Work, and of Liens filed in connection with the Work.
- 3. In lieu of the releases or waivers of Liens specified in Paragraph 15.06.A.2 and as approved by Owner, Contractor may furnish receipts or releases in full and an affidavit of Contractor that: (a) the releases and receipts include all labor, services, material, and equipment for which a Lien could be filed; and (b) all payrolls, material and equipment bills, and other indebtedness connected with the Work for which Owner might in any way be responsible, or which might in any way result in liens or other burdens on Owner's property, have been paid or otherwise satisfied. If any Subcontractor or Supplier fails to furnish such a release or receipt in full, Contractor may furnish a bond or other collateral satisfactory to Owner to indemnify Owner against any Lien, or Owner at its option may issue joint checks payable to Contractor and specified Subcontractors and Suppliers.
- B. Engineer's Review of Final Application and Recommendation of Payment: If, on the basis of Engineer's observation of the Work during construction and final inspection, and Engineer's review of the final Application for Payment and accompanying documentation as required by the Contract Documents, Engineer is satisfied that the Work has been completed and Contractor's other obligations under the Contract have been fulfilled, Engineer will, within 10 days after receipt of the final Application for Payment, indicate in writing Engineer's recommendation of final payment and present the final Application for Payment to Owner for payment. Such recommendation will account for any set-offs against payment that are necessary in Engineer's opinion to protect Owner from loss for the reasons stated above with respect to progress payments. Otherwise, Engineer will return the Application for Payment to Contractor, indicating in writing the reasons for refusing to recommend final payment, in which case Contractor shall make the necessary corrections and resubmit the Application for Payment.
- C. Notice of Acceptability: In support of its recommendation of payment of the final Application for Payment, Engineer will also give written notice to Owner and Contractor that the Work is acceptable, subject to stated limitations in the notice and to the provisions of Paragraph 15.07.
- D. Completion of Work: The Work is complete (subject to surviving obligations) when it is ready for final payment as established by the Engineer's written recommendation of final payment and issuance of notice of the acceptability of the Work.
- E. Final Payment Becomes Due: Upon receipt from Engineer of the final Application for Payment and accompanying documentation, Owner shall set off against the amount recommended by Engineer for final payment any further sum to which Owner is entitled, including but not limited to set-offs for liquidated damages and set-offs allowed under the provisions of this Contract with respect to progress payments. Owner shall pay the resulting balance due to Contractor within 30 days of Owner's receipt of the final Application for Payment from Engineer.

## 15.07 Waiver of Claims

A. By making final payment, Owner waives its claim or right to liquidated damages or other damages for late completion by Contractor, except as set forth in an outstanding Claim,

- appeal under the provisions of Article 17, set-off, or express reservation of rights by Owner. Owner reserves all other claims or rights after final payment.
- B. The acceptance of final payment by Contractor will constitute a waiver by Contractor of all claims and rights against Owner other than those pending matters that have been duly submitted as a Claim, or appealed under the provisions of Article 17.

#### 15.08 Correction Period

- A. If within one year after the date of Substantial Completion (or such longer period of time as may be prescribed by the Supplementary Conditions or the terms of any applicable special guarantee required by the Contract Documents), Owner gives Contractor written notice that any Work has been found to be defective, or that Contractor's repair of any damages to the Site or adjacent areas has been found to be defective, then after receipt of such notice of defect Contractor shall promptly, without cost to Owner and in accordance with Owner's written instructions:
  - 1. correct the defective repairs to the Site or such adjacent areas;
  - 2. correct such defective Work;
  - 3. remove the defective Work from the Project and replace it with Work that is not defective, if the defective Work has been rejected by Owner, and
  - 4. satisfactorily correct or repair or remove and replace any damage to other Work, to the work of others, or to other land or areas resulting from the corrective measures.
- B. Owner shall give any such notice of defect within 60 days of the discovery that such Work or repairs is defective. If such notice is given within such 60 days but after the end of the correction period, the notice will be deemed a notice of defective Work under Paragraph 7.17.B.
- C. If, after receipt of a notice of defect within 60 days and within the correction period, Contractor does not promptly comply with the terms of Owner's written instructions, or in an emergency where delay would cause serious risk of loss or damage, Owner may have the defective Work corrected or repaired or may have the rejected Work removed and replaced. Contractor shall pay all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or repair or such removal and replacement (including but not limited to all costs of repair or replacement of work of others). Contractor's failure to pay such costs, losses, and damages within 10 days of invoice from Owner will be deemed the start of an event giving rise to a Claim under Paragraph 12.01.B, such that any related Claim must be brought within 30 days of the failure to pay.
- D. In special circumstances where a particular item of equipment is placed in continuous service before Substantial Completion of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications.
- E. Where defective Work (and damage to other Work resulting therefrom) has been corrected or removed and replaced under this paragraph, the correction period hereunder with respect to such Work will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.

F. Contractor's obligations under this paragraph are in addition to all other obligations and warranties. The provisions of this paragraph are not to be construed as a substitute for, or a waiver of, the provisions of any applicable statute of limitation or repose.

#### ARTICLE 16—SUSPENSION OF WORK AND TERMINATION

## 16.01 Owner May Suspend Work

A. At any time and without cause, Owner may suspend the Work or any portion thereof for a period of not more than 90 consecutive days by written notice to Contractor and Engineer. Such notice will fix the date on which Work will be resumed. Contractor shall resume the Work on the date so fixed. Contractor shall be entitled to an adjustment in the Contract Price or an extension of the Contract Times directly attributable to any such suspension. Any Change Proposal seeking such adjustments must be submitted no later than 30 days after the date fixed for resumption of Work.

# 16.02 Owner May Terminate for Cause

- A. The occurrence of any one or more of the following events will constitute a default by Contractor and justify termination for cause:
  - Contractor's persistent failure to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment, or failure to adhere to the Progress Schedule);
  - 2. Failure of Contractor to perform or otherwise to comply with a material term of the Contract Documents;
  - 3. Contractor's disregard of Laws or Regulations of any public body having jurisdiction; or
  - 4. Contractor's repeated disregard of the authority of Owner or Engineer.
- B. If one or more of the events identified in Paragraph 16.02.A occurs, then after giving Contractor (and any surety) 10 days' written notice that Owner is considering a declaration that Contractor is in default and termination of the Contract, Owner may proceed to:
  - 1. declare Contractor to be in default, and give Contractor (and any surety) written notice that the Contract is terminated; and
  - 2. enforce the rights available to Owner under any applicable performance bond.
- C. Subject to the terms and operation of any applicable performance bond, if Owner has terminated the Contract for cause, Owner may exclude Contractor from the Site, take possession of the Work, incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere, and complete the Work as Owner may deem expedient.
- D. Owner may not proceed with termination of the Contract under Paragraph 16.02.B if Contractor within 7 days of receipt of notice of intent to terminate begins to correct its failure to perform and proceeds diligently to cure such failure.
- E. If Owner proceeds as provided in Paragraph 16.02.B, Contractor shall not be entitled to receive any further payment until the Work is completed. If the unpaid balance of the Contract Price exceeds the cost to complete the Work, including all related claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects,

attorneys, and other professionals) sustained by Owner, such excess will be paid to Contractor. If the cost to complete the Work including such related claims, costs, losses, and damages exceeds such unpaid balance, Contractor shall pay the difference to Owner. Such claims, costs, losses, and damages incurred by Owner will be reviewed by Engineer as to their reasonableness and, when so approved by Engineer, incorporated in a Change Order. When exercising any rights or remedies under this paragraph, Owner shall not be required to obtain the lowest price for the Work performed.

- F. Where Contractor's services have been so terminated by Owner, the termination will not affect any rights or remedies of Owner against Contractor then existing or which may thereafter accrue, or any rights or remedies of Owner against Contractor or any surety under any payment bond or performance bond. Any retention or payment of money due Contractor by Owner will not release Contractor from liability.
- G. If and to the extent that Contractor has provided a performance bond under the provisions of Paragraph 6.01.A, the provisions of that bond will govern over any inconsistent provisions of Paragraphs 16.02.B and 16.02.D.

## 16.03 Owner May Terminate for Convenience

- A. Upon 7 days' written notice to Contractor and Engineer, Owner may, without cause and without prejudice to any other right or remedy of Owner, terminate the Contract. In such case, Contractor shall be paid for (without duplication of any items):
  - completed and acceptable Work executed in accordance with the Contract Documents prior to the effective date of termination, including fair and reasonable sums for overhead and profit on such Work;
  - expenses sustained prior to the effective date of termination in performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with uncompleted Work, plus fair and reasonable sums for overhead and profit on such expenses; and
  - 3. other reasonable expenses directly attributable to termination, including costs incurred to prepare a termination for convenience cost proposal.
- B. Contractor shall not be paid for any loss of anticipated profits or revenue, post-termination overhead costs, or other economic loss arising out of or resulting from such termination.

#### 16.04 Contractor May Stop Work or Terminate

- A. If, through no act or fault of Contractor, (1) the Work is suspended for more than 90 consecutive days by Owner or under an order of court or other public authority, or (2) Engineer fails to act on any Application for Payment within 30 days after it is submitted, or (3) Owner fails for 30 days to pay Contractor any sum finally determined to be due, then Contractor may, upon 7 days' written notice to Owner and Engineer, and provided Owner or Engineer do not remedy such suspension or failure within that time, terminate the contract and recover from Owner payment on the same terms as provided in Paragraph 16.03.
- B. In lieu of terminating the Contract and without prejudice to any other right or remedy, if Engineer has failed to act on an Application for Payment within 30 days after it is submitted, or Owner has failed for 30 days to pay Contractor any sum finally determined to be due, Contractor may, 7 days after written notice to Owner and Engineer, stop the Work until payment is made of all such amounts due Contractor, including interest thereon. The

provisions of this paragraph are not intended to preclude Contractor from submitting a Change Proposal for an adjustment in Contract Price or Contract Times or otherwise for expenses or damage directly attributable to Contractor's stopping the Work as permitted by this paragraph.

#### **ARTICLE 17—FINAL RESOLUTION OF DISPUTES**

#### 17.01 Methods and Procedures

- A. *Disputes Subject to Final Resolution*: The following disputed matters are subject to final resolution under the provisions of this article:
  - 1. A timely appeal of an approval in part and denial in part of a Claim, or of a denial in full, pursuant to Article 12; and
  - 2. Disputes between Owner and Contractor concerning the Work, or obligations under the Contract Documents, that arise after final payment has been made.
- B. *Final Resolution of Disputes*: For any dispute subject to resolution under this article, Owner or Contractor may:
  - 1. elect in writing to invoke the dispute resolution process provided for in the Supplementary Conditions;
  - agree with the other party to submit the dispute to another dispute resolution process;
  - 3. if no dispute resolution process is provided for in the Supplementary Conditions or mutually agreed to, give written notice to the other party of the intent to submit the dispute to a court of competent jurisdiction.

## **ARTICLE 18—MISCELLANEOUS**

#### 18.01 Giving Notice

- A. Whenever any provision of the Contract requires the giving of written notice to Owner, Engineer, or Contractor, it will be deemed to have been validly given only if delivered:
  - 1. in person, by a commercial courier service or otherwise, to the recipient's place of business;
  - 2. by registered or certified mail, postage prepaid, to the recipient's place of business; or
  - 3. by e-mail to the recipient, with the words "Formal Notice" or similar in the e-mail's subject line.

## 18.02 Computation of Times

A. When any period of time is referred to in the Contract by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.

#### 18.03 Cumulative Remedies

A. The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of them which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee, or by other provisions of the Contract. The provisions of this paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right, and remedy to which they apply.

## 18.04 Limitation of Damages

A. With respect to any and all Change Proposals, Claims, disputes subject to final resolution, and other matters at issue, neither Owner nor Engineer, nor any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, shall be liable to Contractor for any claims, costs, losses, or damages sustained by Contractor on or in connection with any other project or anticipated project.

#### 18.05 No Waiver

A. A party's non-enforcement of any provision will not constitute a waiver of that provision, nor will it affect the enforceability of that provision or of the remainder of this Contract.

## 18.06 Survival of Obligations

A. All representations, indemnifications, warranties, and guarantees made in, required by, or given in accordance with the Contract, as well as all continuing obligations indicated in the Contract, will survive final payment, completion, and acceptance of the Work or termination of the Contract or of the services of Contractor.

# 18.07 Controlling Law

A. This Contract is to be governed by the law of the state in which the Project is located.

#### 18.08 Assignment of Contract

A. Unless expressly agreed to elsewhere in the Contract, no assignment by a party to this Contract of any rights under or interests in the Contract will be binding on the other party without the written consent of the party sought to be bound; and, specifically but without limitation, money that may become due and money that is due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under the Contract.

#### 18.09 Successors and Assigns

A. Owner and Contractor each binds itself, its successors, assigns, and legal representatives to the other party hereto, its successors, assigns, and legal representatives in respect to all covenants, agreements, and obligations contained in the Contract Documents.

#### 18.10 Headings

A. Article and paragraph headings are inserted for convenience only and do not constitute parts of these General Conditions.

## **SECTION 00800**

#### SUPPLEMENTARY CONDITIONS

These Supplementary Conditions amend or supplement the Standard General Conditions of the Construction Contract, EJCDC Document C-700 (2002 Edition) and other provisions of the Contract Documents as indicated below. All provisions which are not so amended or supplemented remain in full force and effect.

The terms used in these Supplementary Conditions have the same meanings stated in the General Conditions.

SC-1.01.A.3 Add the following language to the end of Paragraph 1.01.A.3:

The Application for Payment Form to be used on this project is EJCDC No. C-620.

SC-1.01.A.9 Add the following language to the end of Paragraph 1.01.A.9:

The Change Order Form to be used on this project is EJCDC No. C-941.

SC-1.01.A.34 Delete Paragraph 1.01.A.34 in its entirety and insert the following in its place:

1.01.A.34 Project Manual - The bound documentary information prepared for bidding and constructing the Work, including the Bidding Requirements and Contract Documents.

SC-1.01.A.45 Delete Paragraph 1.01.A.45 in its entirety and insert the following:

1.01A.45 Substantial Completion - The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of ENGINEER as evidenced by ENGINEER's definitive Certificate of Substantial Completion it is 100% complete in accordance with the Contract Documents with the exception of minor and specific corrective items that would normally be itemized on a final punch list and completed before final acceptance; or if no such certificate is issued, when the Work is complete and ready for final payment as evidenced by ENGINEER=s written recommendation of final payment in accordance with Paragraph 14.07. The terms Asubstantially complete@ and Asubstantially completed@ as applied to

all or part of the Work refer to Substantial Completion thereof.

SC-1.01.A.53 Add the following new paragraph.

1.01.A.53 Final Acceptance - The time at which the Final Application for Payment has been approved by all of the following: Contractor, Engineer, Owner and Agency; if any.

SC-2.02.A Delete Paragraph 2.02.A in its entirety and insert the following in its place:

2.02.A. Owner shall furnish to Contractor without charge, complete sets of Drawings and Project Manuals according to the following schedule:

Contract Amount	<u>No.</u>	of	Sets
\$ 0 to \$200,000	4		
\$200,000 to \$500,000			
	6		
More than \$500,000			
,	10		

(Additional sets or larger size Drawings, if original Drawings furnished were 11" x 17", will be furnished upon request at the cost of reproduction.)

# SC-2.03.A Delete Paragraph 2.03.A in its entirety and insert the following in its place:

2.02.A The Contract Times will commence to run on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within thirty-five (35) days after the effective date of the Agreement.

# SC-2.07.A Add the following language at the end of the first sentence of Paragraph 2.07.A:

Engineer may waive conference and allow schedules to be submitted by mail.

## SC-2.07.A.1 Add the following new paragraph immediately after Paragraph 2.07.A.1:

a. If any work task on the progress schedule is not completed within 10 days as listed on the accepted schedule, the Contractor shall update and resubmit a revised progress schedule.

# SC-3.01.A.1 Add the following paragraph(s) immediately after Paragraph 3.01.A:

- 1. Where a conflict occurs between or within the Standards, Specifications and Drawings, the more stringent or higher quality requirements shall apply. The precedence of the Contract Documents is in the following sequence.
  - a. Addenda and modifications by Change Order, Field Order or Work Change Directive to the Drawings and Specifications take precedence over the original Construction Documents.
  - b. In the Drawings, the precedence shall be Drawings of larger scale over those of smaller scale, figured dimensions over scaled dimensions and noted materials over graphic indications.
  - c. Should there be a conflict within the Specifications, on the Drawings or between the Drawings and Specifications, the Engineer shall decide which stipulation will provide the best installation and his decision shall be final.

# SC-3.02.B Add the following new paragraph immediately after Paragraph 3.02.A.2:

3.02.B Referenced standards are a part of these specifications. If choices or options are contained therein, selection will be by the Engineer.

# SC-3.03.B Add the following paragraph(s) immediately after Paragraph 3.03.B.1:

- 3.03.B.2 Before executing the Agreements, the Contractor shall thoroughly familiarize himself with all specified products and submit written notice to Engineer if Contractor objects to the proposed use of any product.
- 3.03.B.3 Whenever in these Specifications a product is referred to in the singular number, such reference shall include as many such items as are indicated or required to complete work.
- 3.03.B.4 These Specifications are of the abbreviated or AStreamlined@ type and frequently include incomplete sentences. Words such as Contractor shall and similar phrases shall be supplied by inference.

# SC-3.06.B Modify Paragraph 3.06.B as follows:

First Sentence - change 60" to 30".

Second Sentence - change 30" to 60".

## SC-4.02 Add the following new paragraph(s) immediately after Paragraph 4.02.B:

C. No reports of explorations or tests or subsurface conditions have been performed at the site.

## SC-4.03.A.4 Amend fifth line of Paragraph 4.03.A.4. to read as follows:

...Contractor shall, within 24 hours after becoming aware...

# SC-4.04.A.3 Add the following new paragraph immediately after Paragraph 4.04.A.2:

4.04.A.3 Oregon law requires Contractor to follow rules adopted by the Oregon Utility Notification Center. The rules are set forth in OAR 952-001-010 through 952-001-0090. Oregon Utility Notification Center may be contacted at 1-800-332-2344 for utility locates. Copies of the rules may be obtained from the Center at 1-503-232-1987.

## SC-4.05.A Delete Paragraph 4.05.A. in its entirety and insert the following in its place:

4.05.A Reference points, lines and grades shall be furnished by the Contractor. Engineer will establish two (2) temporary benchmarks for Contractors use at the site of work.

## SC-4.05.B Add the following new paragraph immediately after Paragraph 4.05.A:

4.05.B Existing Survey Monuments.

- 1. The Contractor shall be responsible for the preservation of all survey monuments, including established property corner markers, and if such monuments are disturbed or destroyed by the construction work, it shall be the Contractor=s responsibility to engage a registered land surveyor to replace the monuments.
- If construction requires removal and replacement of any existing public land survey corner and accessories or any survey monument of record in the office of the County Surveyor or County Clerk, notice shall be given to the County Surveyor and the monument replaced by a registered professional land surveyor in accordance with ORS 209.140 and 209.150.
- 3. <u>Cost of Replacement</u>. If survey corners or survey monuments are disturbed, destroyed or remove, costs or replacement shall be paid by the Contractor.

# SC-4.06 Delete Paragraphs 4.06.A and 4.06.B in their entirety and insert the following in their place:

4.06.A No reports, exploration or tests of subsurface conditions relating to hazardous environmental conditions at or contiguous to the site are known to the Owner or Engineer.

4.06.B Not used.

SC-4.06.C Add the following language to the end of Paragraph 4.06.C.

Contractor shall be responsible for any Hazardous Environmental Condition which was shown or indicated in Drawings or Specifications or identified in the Contract Documents to be within the scope of Work.

# SC-4.06.C.1 Add the following new paragraph immediately after Paragraph 4.06.C:

4.06.C.1 Contractor responsible for and to pay all costs associated with the abatement, removal, and disposal of any existing Asbestos-Cement pipe requiring removal or disposal during the Work which is shown or indicated in Drawings or Specifications.

# SC-4.06.G Amend the first two lines of Paragraph 4.06.9.G to read as follows:

Subject to the Oregon Constitution, applicable laws and regulations, and to the limits of the Oregon Tort Claims Act, Owner shall indemnify and hold harmless...

# SC-4.07 Add the following new paragraph immediately after Paragraph 4.06.l.

4.07 Historical or archaeological finds.

A. Where historical objects of archaeological or paleontological nature are encountered during the course of construction, (including but not limited to ruins, sites, building, artifacts, and fossils) the Contractor shall suspend operations in the area, preserve all such objects from disturbance and shall consult with the State Historical Preservation Officer for recovery of the items.

Reference: National Historic Preservation Act of 1966 (80 Stat 915, 16 USC 470) and Executive Order No. 11593 of May 31, 1971.

B. No additional costs shall be paid the Contractor for delays or required movement of manpower, materials and equipment to other portions of the project or any other costs resulting from the discovery of historical finds.

## SC-5.01.B Add the following new paragraphs immediately after Paragraph 5.01.B:

5.01.B.1 Bonds shall be accompanied by name, address and telephone number of the agent for the surety who is authorized to receive Notice of Claims against the bonds.

5.01.B.2 If the Contractor submits a cashiers check or a certified check in lieu of a performance bond pursuant to ORS 279C.380(a), the Owner will hold the check for a period of 180 days beyond the date of final acceptance of the Work. If the Owner receives a notice of claim under ORS 279C.600-279C.625, the Owner will hold an amount sufficient to cover the claim for a period of two years following final acceptance of Work.

# SC-5.02.A Add the following new paragraph immediately after Paragraph 5.02.A:

5.02.A.1 Bonds and insurance shall be written by companies licensed to transact business in the State of Oregon and satisfactory to the Owner and Agency, if any.

## SC-5.03.C Add the following new paragraph immediately after Paragraph 5.03.B:

5.03.C Additional insureds shall include Owner, Engineer, and Engineer's Consultants.

Failure of the Owner to demand such certificates or other evidence of full compliance with these insurance requirements or failure of the Owner to identify a deficiency from evidence provided shall not be construed as a waiver of Contractor=s obligation to maintain such insurance.

## SC-5.04.B.1.a Add the following new paragraph immediately after Paragraph 5.04.B.1:

5.04.B.1.a In addition to Owner and Engineer, additional insured parties shall include: 1) Engineer=s Consultants its officers, agents and employees, except as to claims against applicant, for personal injury to its officers, agents and employees, or damage to any of its or their property.

# SC-5.04.C Add the following new paragraph immediately after Paragraph 5.04.B.7.a:

5.04.C The limits of liability for the insurance required by Paragraph 5.04 of the General Conditions shall provide coverage for not less than the following amounts or greater where required by Laws and Regulations:

1. Workers= Compensation, and related coverages under Paragraphs 5.04.A.1 and A.2 of the General Conditions:

a. State:

Statutory

b. Applicable Federal

(e.g., Longshoreman's): Statutory

c. Employer's Liability: \$500,000

 Contractor=s General Liability under Paragraphs 5.04.A.3 through A.6 of the General Conditions which shall include completed operations and product liability coverages and eliminate the exclusion with respect to property under the care, custody and control of Contractor:

a. General Aggregate \$2,000,000

b. Products - Completed

Operations Aggregate \$1,000,000

c. Personal and Advertising

Injury

\$1,000,000

d. Each Occurrence

(Bodily Injury and

Property Damage) \$1,000,000

- e. Property Damage liability insurance will provide Explosion, Collapse, and Under-ground coverages where applicable.
- f. Excess or Umbrella Liability

General Aggregate \$5,000,000

Each Occurrence \$5,000,000

- 3. Automobile Liability under Paragraph 5.04.A.6 of the General Conditions:
  - a. Bodily Injury:

Each person \$1,000,000 Each Accident \$1,000,000

b. Property Damage:

Each Accident \$1,000,000

c. Combined Single

Limit of \$1,000,000

- 4. The Contractual Liability coverage required by Paragraph 5.04.B.4 of the General Conditions shall provide coverage for not less than the following amounts:
  - a. Bodily Injury:

Each Accident \$2,000,000 Annual Aggregate \$2,000,000

b. Property Damage:

Each Accident \$2,000,000 Annual Aggregate \$2,000,000

SC-6.05.C

Amend the paragraph by making it a subparagraph under the Title C Engineer=s Evaluation. The General Condition paragraph is retitled A6.05.C.2 after effective date of Agreement.

- SC-6.05.C.1 Add the following new paragraphs immediately before retitled Paragraph 6.05.C.2:
  - 6.05.C.1.a Prior to Bid Opening. The Contract, if awarded, will be on the basis of materials and equipment specified or described in the Bidding Documents, or Aor-equal@ materials and equipment as defined in Paragraph 6.05 of the General Conditions, or those substitute materials and equipment approved by the Engineer and identified by Addendum. The materials and equipment described in the Bidding Documents establish a standard of required type, function, and quality to be met by any proposed substitute or equal item. Request for Engineers clarification of materials and equipment considered Aor-equal@ prior to the Effective Date of the Agreement must be received by the Engineer at least 5 days prior to the date for receipt of Bids.
  - 6.05.C.1.b For requests prior to Bid Opening, no item of material or equipment will be considered by Engineer as a substitute unless written request for approval has been submitted by Bidder and has been received by Engineer at least 15 days prior to the date for receipt of Bids. Each request shall conform to the requirements of Paragraph 6.05 of the General Conditions. The burden of proof of the merit of the proposed item is upon the Bidder. Engineer=s decision of approval or disapproval of a proposed item will be final. If Engineer approves any proposed substitute item, such approval will be set forth in an Addendum issued to all prospective Bidders. Bidders shall not rely upon approvals made in any other manner.
- SC-6.06 Add the following new paragraphs immediately after Paragraph 6.06.G:

6.06.H The Contractor shall not award work valued at more than fifty (50%) percent of the Contract Price to Subcontractor(s), without prior written approval of the Owner.

#### 6.06.I First-Tier Subcontractors

- 1. Within two working hours of the date and time of the deadline when public improvement bids are due, a bidder is required to submit a disclosure of any Afirst-tier@ subcontractors that will be furnishing labor or labor and materials in connection with the public improvement, whose contract value is equal to or greater than either: (1) 5% of the project bid, but at least \$15,000, or (2) \$350,000 regardless of the percentage of the total project bid.
- The disclosure is required to include the name and address of each subcontractor, the registration number assigned to the subcontractor by the Construction Contractors= Board (if such registration is required), the amount of the subcontractor=s contract, and the category of work. If no subcontractors are to be utilized the disclosure must be written as ANONE@.
- Bidder=s failure to complete and submit a First-Tier Subcontractor Disclosure form in accordance with ORS 279C.370 and Article 12 of the Instructions to Bidders will result in the Bid being declared non-responsive and rejected.

## SC-6.09.D Add the following new paragraph immediately after Paragraph 6.09.C:

6.09.D Contractor, subcontractor(s) and all persons doing or contracting to do any work shall comply with all provisions of Oregon Public Contracting Laws and Regulations, as further specified in Exhibit A attached hereto and incorporated herein by reference.

# SC-6.17.D.1 Delete first sentence of paragraph in its entirety and insert the following in its place:

Shop Drawings and samples shall be submitted by the Contractor in sufficient time to allow the Engineer not less than 20 days to review the data for compliance.

Amend the fifteenth line of Paragraph 6.20.A by deleting the following word:

ANegligent@

#### SC-6.20.D Add the following new paragraph immediately after Paragraph 6.20.C.2:

The Contractor shall not permit any lien or claim to be filed or prosecuted against the Owner in connection with this Contract and agrees to assume full responsibility for their satisfaction should any lien or claim be filed.

# SC-8.02.A Delete Paragraph 8.02.A in its entirety and insert the following:

8.02.A In case of termination of the employment of Engineer, Owner shall appoint an Engineer, whose status under the Contract Document shall be that of the former Engineer.

# SC-9.03.A.1 Add the following new paragraph immediately after Paragraph 9.03.A:

9.03.A.1 The Engineer may provide a Resident Project Representative (services) for portions of this Project. This assistant will be assigned to various portions of the

6.20.D.

SC-6.20.A

Work by the Engineer whether employed by the Owner or the Engineer. It is understood that the Resident Project Representative shall have the power, in the absence of the Engineer, to issue instructions and make decisions within the limitations of the authority of the Engineer. The authority of such assistants shall, however, be limited to the particular portion or phase of the work to which they are assigned and by the particular duties assigned to them by the Engineer.

SC-9.04.A Amend Paragraph 9.04.A by deleting the last sentence in the paragraph in its

entirety.

SC-12.03.D Add the following language to the end line of Paragraph 12.03.D:

..., or delays caused by or within control of Contractor.

SC-13.03.A.1 Add the following new paragraph immediately after Paragraph 13.03.A:

13.03.A.1 ATimely Notice@ for inspection, tests, or approvals shall mean not less than 48 hours prior to the time when inspection, tests, or approval is required.

SC-13.07.A Amend the first four lines of Paragraph 13.07.A to read as follows:

If within one year after the date of <u>Final Acceptance</u> (or such longer period of time as may be prescribed laws or regulations or by the terms of any applicable guarantee required by the Contract Documents) or by any ...

SC-14.02.A.3 Delete Paragraph 12.02.A.3 in its entirety and insert the following:

14.02.A.3 The amount of retainage withheld with respect to progress payments will be five (5) percent (%) of work completed.

Add the following new paragraphs immediately after Paragraph 14.02.A.3:

14.02.A.3.a A percentage of the amount requested on each payment application will be withheld as retainage until final acceptance of the Work by the Owner.

14.02.A.3.b Substitution of Bonds and Securities for payment withheld, reduction of retainage, deposit of retainage in interest bearing accounts for the benefit of the Contractor, and other provisions regarding the disposition of payments withheld shall be in accordance with the provisions of ORS 279C.550 to 279C.570.

SC14.02.B.1 Add the following language at the end of Paragraph 14.02.B.1:

The Engineer may recommend payment of an amount different than the amount indicated on the Contractor=s application for payment, if returning the application to the Contractor for revisions would unnecessarily delay payment.

SC-14.02.C. Delete Paragraph 14.02.C.1 in its entirety and insert the following:

14.02.C.1 After presentation of the Application for Payment to Owner by Engineer with Engineer=s recommendation, the Application for Payment will be reviewed and processed by Owner and other involved funding Agencies, if any. If both the Owner and the involved Agencies find the Application for Payment acceptable, the recommended amount less any reduction under the provisions of Paragraphs 14.02.B.5 and 14.02.D will become due and payable by Owner to Contractor on the date established for progress payments in the Agreement.

14.02.C.2 The Owner and the Contractor are bound by the rights and responsibilities of the prompt payment policies and shall comply with the procedures for prompt payment as stated in ORS 279C.515, 279C.570 and ORS 279C.580.

# SC-14.02.D.1 Add the following new paragraphs immediately after Paragraph 14.02.D.1.d:

- 14.02.D.1.e Third party claims filed or evidence indicating probable filing of such claims.
- 14.02.D.1.f Failure of Contractor to make payments properly or promptly to sub-contractors for material, labor, or equipment.
- 14.02.D.1.g Damage to Owner or others.

# SC-14.02.D.2.a Add the following new paragraph immediately after Paragraph 14.02.D.2:

14.02.D.2.a The Owner may make payment at any time to Contractor under protest, stating generally the reason for the protest. Such payment may be made with respect to the matters described in 14.02.D.1, with respect to matters described or referenced in 9.08, or with respect to any other claim or demand of Contractor. The Owner may then make a claim against Contractor, in the method described in this agreement, for recovery of the amount paid under protest, together with interest at the statutory rate per annum.

# SC-14.04.A.1 and 2 Add the following new paragraphs immediately after Paragraph 14.04.A:

Substantially complete is further defined and clarified as being 100% complete in accordance with the Contract Documents with the exception of minor and specific corrective items that would normally be itemized on a final punch list and completed before final acceptance. Final completion of the entire project shall be no later than the time indicated on the Certificate of Substantial Completion. If no date is indicated, then thirty (30) calendar days from the date of substantial completion will be considered maximum. If final completion is not accomplished within the time indicated, liquidated damages if included in this Contract and as defined in the Agreement will be reinstated at that date and will continue until final completion or a time extension is granted.

Liquidated Damages. Should the Contractor fail to accomplish Substantial Completion or Final Acceptance in the time agreed upon in the Contract or within such extra time as may have been allowed for delays by extensions granted as provided in the Contract, the Contractor shall reimburse the Owner for the additional expense and damage for every day that the Contract remains uncompleted after the date of completion given in the Contract. It is agreed that the amount of such additional expense and damage incurred by reason of failure to complete the Work within the time agreed is the per diem rate stipulated in the Agreement. The said amounts are hereby agreed upon as liquidated damages for the loss to the Owner and on account of the value of the operation of the works dependent thereon. It is expressly understood and agreed that this amount is not to be considered in the nature of a penalty, but as actual expense and damages experience by the Owner for delay of completion beyond the agreed to Contract times. Owner is authorized to deduct the amount of such damages from any monies due the Contractor for work performed or material furnished under this Contract, and the Contractor and its sureties shall be liable for any excess. Liquidated damages include only cost and expenses incurred by the Owner for delay of completion beyond the agreed to Contract times. Liquidated damages do not include any other cost, expense, or claim Owner may have against Contractor for any other reason.

14.04.A.1

14.04.A.2

# SC-14.07.A.4 Add the following new paragraph immediately after Paragraph 14.07.A.3:

14.07.A.4

Final payment will not be made to the Contractor until Contractor files with the Owner the fully completed and signed notarized Compliance Statement Affidavit included in Section 00630 of the Project Manual. Contractor shall request a blank copy from the Engineer for submission with Final Application for Payment.

# SC-14.07.C.1 Add the following language to the end of Paragraph 14.07.C.1:

Final payment shall include all amounts withheld as retainage.

# SC-14.08.A Add the following language to the end of Paragraph 14.08.A:

The remaining balance of any sum included in the final application for payment but held by Owner for Work not fully completed and accepted will become due when the Work is fully completed and accepted.

# SC-14.09.A.1 Delete Paragraph 14.09.A.1 in its entirety and insert the following:

14.09.A.1.A

A waiver of all claims by Owner against Contractor, except claims arising from unsettled liens, from defective work appearing after final inspection pursuant to paragraph 14.06, or from failure to comply with the Contract Documents or the terms of any special guarantees specified therein, or from negligent acts or omissions occurring during the work of the Contractor, any subcontractor, or any of their agents or employees which resulted in property damage or personal injury to any person; however, it will not constitute a waiver by Owner of any rights in respect of Contractor=s continuing obligations under the Contract Documents.

# SC-16.01 Delete Paragraphs 16.01.A, 16.01.B, and 16.01.C in their entirety and insert the following:

- A. **Arbitration.** 1. All claims, disputes, and other matters in question between Owner and Contractor arising out of, or relating to the Contract Documents, or the breach thereof except for claims which may have been waived by the making or acceptance of final payment, may be decided by arbitration in accordance with the rules of ORS Chapter 36 and those mutually agreed to by both parties. Owner shall have the sole discretion as to whether or not a dispute will be decided by arbitration rather than through the court process.
  - 2. No demand for arbitration of any claimed dispute or other matter shall be effective until after a claim or demand is made to Engineer and Engineer has rendered a written decision with respect thereto denying the claim or demand. No demand for arbitration of any such claim, dispute, or other matter shall be made later than thirty (30) days after the date on which Engineer has rendered a written decision in respect herein. Failure to demand arbitration within said thirty (30) days shall result in Engineer=s decision being binding upon Owner and Contractor.
  - 3. Notice of demand for arbitration shall be filed in writing with the other party to the agreement. The demand for arbitration shall be made within the 30-day period specified above. Owner, if not the party demanding arbitration, has the option of allowing the matter to proceed with arbitration, or rejecting arbitration and requiring the other party to proceed through the courts for relief. If arbitration is allowed, the parties agree that the award rendered by the arbitrators will be final, judgment may be entered upon it

- in any court having jurisdiction thereof, and will not be subject to modifications or appeal except to the extent permitted by Oregon Law.
- B. <u>Attorney Fees.</u> 1. If either party commences any legal action, suit, arbitration or proceeding against the other to enforce, interpret or rescind the terms of this contract, the parties agree that the prevailing party shall be awarded reasonable attorney=s fees and costs incurred in any such action, suit or proceeding and in any later appeals filed as a consequence thereof. Such costs shall bear interest at the maximum legal rate from the date incurred, until the date paid by losing party.



# SECTION 00-734 PREVAILING WAGE RATES

#### **PART 1 GENERAL**

#### 1.01 REQUIREMENTS:

- A. The "Prevailing Wage Rates for Public Works Contracts in Oregon" dated July 5, 2025 including any issued corrections or amendments that follow are herein added to the Contract Documents by reference.
- B. BOLI Prevailing Wage Rate information is available upon request, or electronically at www.oregon.gov/boli.
- C. Work under this Contract will be subject to the provisions of ORS 279C.800 to 279C.870, relating to BOLI Prevailing Wage Rates in effect at the time the project was advertised for bids.
- D. Provisions described in this Section or in Exhibit A of the Public Contracting Code Requirement for Public Improvements Contracts over \$50,000, located at the end of the Supplemental General Conditions, will apply regardless of the price of any individual Contract, so long as the combined price of all Contracts award on the project is \$50,000 or more.
- E. If total Contract amount does not exceed \$50,000, Contractor is not required to pay prevailing wage rates.

**PART 2 PRODUCTS - NOT USED** 

**PART 3 EXECUTION - NOT USED** 



# **WORK CHANGE DIRECTIVE NO.: [Number of Work Change Directive]**

Owner:		Owner's Project No.:
Engineer:		Engineer's Project No.:
Contractor:		Contractor's Project No.:
Project: Contract Name:		
Date Issued:	Eff	ective Date of Work Change Directive:
		-
Contractor is direc	ted to proceed promptly wit	h the following change(s):
Description:		
[Description o	f the change to the Work]	
Attachments:		
[List documen	ts related to the change to t	he Work]
Purpose for the W	ork Change Directive:	
[Describe the	purpose for the change to th	ne Work]
Discolit of the second	and a second of the thin NAV and	
•	Time, is issued due to:	described herein, prior to agreeing to change in Contract
Notes to User—Ch	eck one or both of the follo	wing
☐ Non-agreement	t on pricing of proposed chan	ge. $\square$ Necessity to proceed for schedule or other reasons.
Estimated Change	in Contract Price and Contra	ct Times (non-binding, preliminary):
Contract Price:	\$	[increase] [decrease] [not yet estimated].
Contract Time:	days 	[increase] [decrease] [not yet estimated].
Basis of estimated	change in Contract Price:	
☐ Lump Sum ☐ U	nit Price $\square$ Cost of the Work	. □ Other
		0
Recommo	ended by Engineer	Authorized by Owner
Ву:		
Title:		
Date:		



# **CHANGE ORDER NO.:** [Number of Change Order]

Owner: Engineer: Contractor: Project: Contract Name: Date Issued:  Effect	Owner's Project No.: Engineer's Project No.: Contractor's Project No.: ive Date of Change Order:
The Contract is modified as follows upon execution of	f this Change Order:
Description:	
[Description of the change]	
Attachments:	
[List documents related to the change]	
Change in Contract Price	Change in Contract Times [State Contract Times as either a specific date or a number of days]
Original Contract Price:	Original Contract Times: Substantial Completion:
\$	Ready for final payment:
[Increase] [Decrease] from previously approved Change Orders No. 1 to No. [Number of previous Change Order]:	[Increase] [Decrease] from previously approved Change Orders No.1 to No. [Number of previous Change Order]:  Substantial Completion:
\$	Ready for final payment:
Contract Price prior to this Change Order: \$	Contract Times prior to this Change Order:  Substantial Completion:  Ready for final payment:
[Increase] [Decrease] this Change Order:	[Increase] [Decrease] this Change Order: Substantial Completion: Ready for final payment:
Contract Price incorporating this Change Order:	Contract Times with all approved Change Orders: Substantial Completion: Ready for final payment:
Recommended by Engineer (if required)  By:	Authorized by Owner
Title:	
Date:	
Authorized by Owner	Approved by Funding Agency (if applicable)
Ву:	
Title:	
Date:	



# FIELD ORDER NO.: [Number of Field Order]

Owner:	Owner's Project No.:
Engineer:	Engineer's Project No.:
Contractor:	Contractor's Project No.:
Project:	
Contract Name:	
Date Issued:	Effective Date of Field Order:
accordance with Paragraph 11.04 of the Gene changes in Contract Price or Contract Times. If Contract Times is required, submit a Change P	form the Work described in this Field Order, issued in ral Conditions, for minor changes in the Work without f Contractor considers that a change in Contract Price or roposal before proceeding with this Work.
Reference:	
Specification Section(s):	
Drawing(s) / Details (s):	
Description:	
[Description of the change to the Work]	
Attachments:	
[List documents supporting change]	
Issued by Engineer	
Ву:	
Title:	
Date:	

EJCDC® C-942, Field Order.



# VOLUME 2:TECHNICAL SPECIFICATIONS DIV 1:TABLE OF CONTENTS

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SECTION 01740 WARRANTIES



# SUMMARY OF THE WORK

# PART 1 GENERAL

#### 1.01 SUMMARY

- A. The Contractor shall furnish all labor, equipment, and materials necessary to complete all work in accordance with the Contract Documents.
- B. The work shall be performed for the City of Coquille URA, Coos County, Oregon. Coquille is located on Highway 42.
- C. The Project Scope is briefly described below:

Furnish all labor, equipment, and materials as required for the City of Coquille URA restriping of Central Boulevard from 3<sup>rd</sup> Street to 10<sup>th</sup> Street with minimal areas of existing stripe removal.

D. Work shall not begin on any part of this project until Engineer has issued the *Notice to Proceed* to the Contractor. Contract forms and equipment purchases may proceed.

#### 1.02 PROJECT INSPECTION

- E. Project inspection will be provided by City of Coquille Public Works Department.
- F. The Project Inspector will perform inspection services as the Project Engineer's and Landscape Architect's authorized representative. However, all engineering decisions will be made by the Project Engineer, Landscape Architect or Project Manager.
- G. At completion of the project, the Project Inspector will certify in writing to the Owner that construction was inspected by him/her and found to be in accordance with the Plans and Specifications, including any changes therein approved by the Engineer and Department.
- No Record Drawings will be required.

#### 1.03 WORK PROGRESS

- I. It is the intent of these Contract Documents that the Work precedes in a systematic manner so that a minimum of inconvenience to the public and city personnel results in the progression of the work. Suitable equipment will be required to properly execute the work with the least amount of disruption to services and access through the work area. Contractor shall contain operations to within the designated public properties, rights-of-way and within any construction easements obtained for this project.
- J. Order and schedule delivery of materials in ample time to avoid delays in construction. If any item is found to be unavailable, notify the Engineer immediately to permit the Engineer's selection of suitable substitute. Timely delivery of all materials and equipment is Contractor's responsibility. No extensions in Contract Time will be allowed due to delays caused by late delivery of items. Availability of items should be determined during bidding.
- K. The Contractor shall protect the work and materials from damage due to the nature of the work, the elements, carelessness of others, or from any other cause until the completion and final acceptance of the work. All loss or damage arising out of the nature of the work to be done under these Contract Documents, or from any unseen obstruction or defects

- which may be encountered in the execution of the work, or from the action of the elements, shall be sustained by the Contractor.
- L. The Contractor shall remove completely all materials designated for removal, to the extent specified and/or indicated in the drawings. For such materials, removal, hauling, disposal (including providing disposal location), and applicable precautions are entirely the Contractor's responsibility. Allow no excess accumulation of non-reusable material at job site(s).
- M. Contractor is responsible for the protection of all existing improvements that are to remain in place. This includes, but is not necessarily limited to: existing utilities (water, sewer, gas, electric, phone, etc.), roads, driveways, drainage ditches, culverts, fencing, shrubbery, and all landscaping structures and vegetation. Temporary enclosures, walls, covers, or other protection shall be provided and maintained by the Contractor as required. Contractor shall cooperate with the owners of such improvements, and shall restore and/or replace all damaged items as directed, without any additional expense to the Owner or payments to the Contractor.
- N. The location and depth shown on the drawings for the existing underground facilities are approximate only and are not guaranteed to be accurate or complete. As-builts are not available for existing improvements.
- O. Existing water meters, clean outs and other utility locations are not specifically indicated on the plans but do exist throughout the project, the Contractor shall field locate all utilities prior to the start of construction. Contractor shall keep existing utilities in service and protect them during construction. Contractor is responsible for any damage to existing utilities.

# **MEASUREMENT AND PAYMENT**

#### PART 1 GENERAL

#### 1.01 RELATED REQUIREMENTS

- a. Document 00410 Bid Form
- b. Document 00700 General Conditions: Payments to Contractor
- c. Document 00800 Supplementary General Conditions: Payments to Contractor

#### 1.02 SUMMARY

- A. Wherever in these Specifications an article, device or piece of equipment is referred to in the singular, such reference shall include as many such items as are shown on the Drawings or are required to complete the installation.
- B. Miscellaneous items required in the project that do not have a corresponding Section in the Bid Form are to be considered incidental costs to the project. Compensation for such items and/or work shall be incorporated into other related bid items or total costs. No separate measurement and payment will occur for such incidental costs.
- C. The quantities of painted longitudinal pavement markings will be measured on the length basis. Painted longitudinal pavement markings will be based on a nominal line width of 4 inches. If the width of the line is other than 4 inches, measurement will be adjusted by converting to an equivalent length of nominal 4 inch line on a proportionate area basis. Measurement will be the actual stripe. Gaps between skip stripes will not be measured.
- D. Measurement and payment for bid items listed on the Bid Form shall be paid as described in the following paragraphs.

# 1.03 BID ITEMS

A. Mobilization – Bonds & Insurance

Payment for Mobilization – Bonds & Insurance shall be included within the total lump sum price for the amount stated on the Bid Form to complete the Work as specified.

B. Construction Facilities & Temporary Controls

Payment for Construction Facilities & Temporary Controls shall be included within the total lump sum price for the amount stated on the Bid Form to complete the Work as specified.

C. Traffic & Pedestrian Control

Payment for Traffic & Pedestrian Control shall be included within the total lump sum price for the amount stated on the Bid Form to complete the Work as specified.

# D. Construction Stakeout

1. Payment for Construction Stakeout shall be included within the total lump sum price for the amount stated on the Bid Form to complete the Work as specified.

# E. Longitudinal Stripe Removal

Payment for Longitudinal Stripe Removal shall be paid per lineal foot for the amount stated on the Bid Form. Payment shall include but is not limited to compensation for all labor and materials necessary to complete the Work as specified.

# F. Legend Removal

Payment for Legend Removal shall be paid per square foot for the amount stated on the Bid Form. Payment shall include but is not limited to compensation for all labor and materials necessary to complete the Work as specified.

# G. Transverse Stripe Removal

Payment for Transverse Stripe Removal shall be paid per square foot for the amount stated on the Bid Form. Payment shall include but is not limited to compensation for all labor and materials necessary to complete the Work as specified.

# H. Longitudinal Stripe "Method AB"

Payment for Longitudinal Stripe "Method AB" shall be paid per lineal foot for the amount stated on the Bid Form. Payment shall include but is not limited to compensation for all labor and materials necessary to complete the Work as specified.

# I. Transverse Stripe "Type AB"

Payment for Transverse Stripe "Type AB" shall be paid per square foot for the amount stated on the Bid Form. Payment shall include but is not limited to compensation for all labor and materials necessary to complete the Work as specified.

#### J. Pavement Legend Arrows "Type AB"

Payment for Pavement Legend Arrows "Type AB" shall be paid per item for the amount stated on the Bid Form. Payment shall include but is not limited to compensation for all labor and materials necessary to complete the Work as specified.

# K. Pavement Legend "STOP "Type AB"

Payment for Pavement Legend "STOP" "Type AB" shall be paid per item for the amount stated on the Bid Form. Payment shall include but is not limited to compensation for all labor and materials necessary to complete the Work as specified.

# L. Bike Lane Stencil "Type B-HS"

Payment for Bike Lane Stencil "Type B-HS" shall be paid per item for the amount stated on the Bid Form. Payment shall include but is not limited to compensation for all labor and materials necessary to complete the Work as specified.

#### M. Buffered Bike Lane Chevron

Payment for Buffered Bike Lane Chevron shall be paid per lineal foot for the amount stated on the Bid Form. Payment shall include but is not limited to compensation for all labor and materials necessary to complete the Work as specified.

- N. Buffered Bike Lane with Horizontal Stripe in lieu of Chevron pattern
  - 1. Payment for Buffered Bike Lane with Horizontal Stripe in lieu of Chevron pattern shall be paid per lineal foot for the amount stated on the Bid Form. Payment shall include but is not limited to compensation for all labor and materials necessary to complete the Work as specified. This is an Alternate Bid item.

# O. Yellow Curb

1. Payment for Yellow Curb shall be paid per lineal foot for the amount stated on the Bid Form. Payment shall include but is not limited to compensation for all labor and materials necessary to complete the Work as specified.

# P. W23-1 Warning Sign

1. Payment for W23-1 Warning Sign shall be paid per item for the amount stated on the Bid Form. Payment shall include but is not limited to compensation for all labor and materials necessary to complete the Work as specified.

# Q. Temporary Sign Support

1. Payment for Temporary Sign Support shall be paid per item for the amount stated on the Bid Form. Payment shall include but is not limited to compensation for all labor and materials necessary to complete the Work as specified.



# **CHANGE ORDER PROCEDURE**

#### PART 1 GENERAL

# 1.01 SUMMARY

- A. Make such changes in the Work, in the Contract Sum, in the Contract Time of Completion, or any combination thereof, as described by Change Orders signed by the Owner, Engineer or Landscape Architect, and the Contractor.
- B. See also applicable sections of the General Conditions and applicable portions of the Supplementary General Conditions.
- C. Work outside the scope of the original Contract Document intent will not be paid for by Owner or Engineer unless an approved Change Order precedes such work.

#### 1.02 PROCESSING CHANGE ORDERS

- A. Change Orders will be numbered in sequence and dated. The Change Order will describe the changes and will be signed by the Owner, Engineer or Landscape Architect and the Contractor. Request for estimates for possible changes are not to be considered Change Orders or direction to proceed with the proposed changes.
- B. Change Orders will be prepared by the Engineer Landscape Architect.
- C. Contractor may request that the Owner consider a Change Order by sending a written Change Order Request to both Owner and Engineer or Landscape Architect to initiate the Change Order process. Any increase in cost or time requested by Contractor shall be reasonable and based on the provisions in the Contract Documents.
- D. When requested, Contractor shall provide written evidence substantiating cost changes including receipts, cost proposals from suppliers, and wage forms showing labor used for a particular change.
- E. Change Orders will be processed using the form shown in these Contract Documents.
- F. Change Order may include changes for costs, time, material selections, or other changes to the Contract Documents as necessary.



# COORDINATION

#### PART 1 GENERAL

#### 1.01 SUMMARY

- A. Restrict work to within public rights-of-way and easements obtained for this project.
- B. The Contractor shall coordinate his/her work with the following:
  - 1. City of Coquille URA
  - 2. City of Coquille Public Works Department
  - 3. Oregon Department of Transportation
  - 4. Coquille School District
  - 5. Private Property Owners and general public
  - 6. Other affected utilities and agencies
- C. Permit and maintain access for the Owner and/or residents to any adjacent facilities that are not part of work included within the project.
- D. An ODOT encroachment permit is required for interruptions to Hwy 42 Traffic.
- E. Coordinate with Owner to determine the locations of underground piping, vaults, valves and other items that could be damaged during construction.
- F. Restoration and cleanup work shall be completed with each phase of the construction project. Parking lots and properties shall be maintained and kept clean and clear of excess excavation, debris, dirt and other materials.



# PROTECTION OF EXISTING IMPROVEMENTS

#### PART 1 GENERAL

#### 1.01 SUMMARY

- A. Where Contractor's operations are near utility systems, structures, or are adjacent to other property, no work shall be started until Contractor has made all arrangements necessary for protection thereof. Contractor shall exercise all possible precautions to prevent damage to existing structures, improvements, and underground utilities which are to remain.
- B. The Contractor shall be solely and directly responsible to the owners and operators of such properties and services for any damage, injury, expense, loss, inconvenience, delay, suits, actions, or claims of any character brought because of any injuries or damage which may result from the carrying out of the work to be done under this Contract.
- C. Restoration of Existing Improvements. Except as shown on the Plans or as provided elsewhere in these specifications, the Contractor shall, at their own expense, repair and/or replace all utilities, services, landscaping, structures, substructures and other improvements damaged by the operations associated with this project, as directed. These repairs and replacements shall all be suitable and proper for intended use and in every respect acceptable to the Owner, Engineer or Landscape Architect and appropriate governing body or owner of such improvement. At minimum, restoration will be required to match the existing adjacent structure/improvement in thickness, finish, quality, quantity, and aesthetics.
- D. In the event of interruption of domestic water, electric, telephone, sewer, or other utility services, the Contractor shall promptly notify the proper authority and the Owner. The Contractor shall cooperate with the proper authority in restoration of service as promptly as possible and shall bear all costs of repair. In no case shall interruption of any water, sewer, or utility service be allowed to exist outside working hours unless prior approval is received from said authority and Owner.

# 1.02 INTERFERING STRUCTURES, IMPROVEMENTS AND LANDSCAPING

- A. It shall be entirely the responsibility of the Contractor to locate and protect all existing structures, landscaping, and other improvements in advance of the work. Neither the Owner, Engineer or Landscape Architect, nor any of their officers or agents shall be responsible to the Contractor for damages as a result of any structures or improvements being located differently than indicated in the drawings, nor which exist and are not indicated on the drawings.
- B. If interfering power poles, telephone poles, guy wires, or anchors are encountered, the Contractor shall notify the affected utility and the Engineer or Landscape Architect at least seven (7) days in advance of construction to permit arrangements for protection or relocation of the structure. However, failure of utility to respond shall create no obligation on Owner, and Contractor shall protect all utilities against damage, or shall stand all costs involved thereof.
- C. Landscaping, Tree and Plant Protection. Provide adequate protection of existing landscaping against damage from construction operations, including all structures and vegetation. Protect roots, trunk and foliage of existing and new shrubs and trees from all damage including that possible from compaction and dust. Contractor shall be entirely responsible to remove and replace all property which is damaged by work related to the project. Contractor shall bear all costs associated with replacement of existing landscaping, and shall cooperate with the owner of such improvements, the Owner, and

the Engineer or Landscape Architect in all protection and restoration/replacement that is required. In specific circumstances, Contractor may make special arrangements with property owners for removal of landscaping without replacement. Copies of written agreements for all such arrangements shall be furnished to the Engineer.

D. When construction operations will affect the property of a private citizen (such as driveways, landscaping, etc.), even when such improvements are in the road right-of-way, the Contractor shall notify the owner of such property and the Owner, at least seven (7) days in advance of any affecting Work, so that any desired preparations can be made.

## 1.03 ROADS AND ACCESS

- A. All work shall be conducted to minimize damage to existing roadways, easements and parking lots, including limiting wheel loads to acceptable levels. At all times keep roadways, shoulders, and ditches free from excess materials and debris.
- B. All damaged gravel, concrete and/or asphaltic concrete surfaces shall be repaired as required to conditions acceptable to the governing body and Engineer or Landscape Architect. No cleated or crawl-type equipment shall be operated on paved surfaces, except to cross a road when adequate protection of the surface is provided.

# FIELD ENGINEERING

## PART 1 GENERAL

# 1.01 SUMMARY

- A. Contractor is solely responsible for all stakeout.
- B. It shall be the responsibility of the Contractor to maintain and preserve the construction stakeout as provided. The Contractor will not be allowed time extensions or damages caused by the loss of control stakes. If control is lost and/or disturbed and in the judgment of the Engineer or Landscape Architect requires replacement, such replacement will be at the expense of the Contractor.
- C. It is expected that minor revisions of the stakeout may be required during the course of construction. These revisions and modifications shall be made only as directed by the Engineer or Landscape Architect. The Contractor shall not be entitled to any additional compensation for minor revisions or modifications.
- D. Contractor shall maintain proper equipment on site as necessary to ensure horizontal and vertical control and proper location of improvements.



# **REGULATORY REQUIREMENTS**

#### PART 1 GENERAL

#### 1.01 SUMMARY

- A. The Contractor shall at all times observe and comply with all federal and state laws and lawful regulations issued and local laws, ordinances and regulations which in any manner affect the activities of the Contractor under this contract and further shall observe and comply with all orders or decrees as exist as present and those which may be enacted later by bodies or tribunals having any jurisdiction or authority over such activities of the Contractor.
- B. The Contractor shall be responsible and liable for all accidents, damage or injury to any person or property resulting from any activity, duty and obligation of the Contractor under this Contract for which the Contractor may be legally liable. The contractor shall hold blameless and harmless and shall indemnify the Owner and its officers, employees against any and all claims, demands, loss injury, damage, actions and cost of actions whatsoever which they or any may sustain by reason of any act, omission or neglect of the Contractor or employees, agents, representatives or assignees of the Contractor in connection with the activities, duties and obligations of the Contractor under this Contract.



# REFERENCE STANDARDS

#### PART 1 GENERAL

#### 1.03 SUMMARY

- A. Abbreviations and Acronyms. Whenever the following abbreviations are used in these specifications or in the drawings, the following definitions apply. Unless otherwise designated, all reference to the following standards, specifications and methods shall imply the latest adopted revision in effect at the time of bid opening. Such standards, except as modified herein, shall have full force and effect as though printed in the specifications.
  - 1. AASHTO American Association of State Highway and Transportation Officials
  - 2. ACI American Concrete Institute
  - 3. AIA American Institute of Architects
  - 4. AISC American Institute of Steel Construction
  - 5. ANSI American National Standards Institute
  - 6. APWA American Public Works Association
  - 7. ASCE American Society of Civil Engineers
  - 8. ASME American Society of Mechanical Engineers
  - 9. ASTM American Society of Testing Materials
  - 10. AWWA American Water Works Association
  - 11. EPA United States Environmental Protection Agency
  - 12. DEQ Department of Environmental Quality (both Federal and State)
  - 13. DWP Oregon Dept. of Human Services, Drinking Water Program
  - 14. FM Factory Mutual
  - 15. NEC National Electric Code
  - 16. NEMA National Electric Manufacturers Association
  - 17. NFPA National Fire Protection Association
  - 18. NSF National Sanitation Foundation
  - 19. OAR Oregon Administrative Rules
  - 20. ODOT Oregon Department of Transportation
  - 21. ORS Oregon Revised Statutes
  - 22. OSHA Occupational Safety and Health Act (both Federal and State)

- 23. UL Underwriters' Laboratories
- 24. USDA United States Department of Agriculture
- 25. SSPC Steel Structures Painting Council or, The Society for Protective Coatings
- 1.04 The abbreviation of "N.I.C." if shown on the plans or specifications represents work that is "Not in Contract". This work is to be completed at a later date by Owner or others and for which the Contractor will not be responsible.

#### SUBMITTALS

#### PART 1 GENERAL

#### 1.01 SUMMARY

A. This section outlines in general the items the Contractor must prepare or assemble during the progress of the work, including technical submittals, O&M data, record drawings, and substitution requests. Submittals are required for each piece of equipment or material even when the item being proposed for use is the same as specified.

#### 1.02 RELATED SECTIONS

- A. General Conditions Article 7.04, "Or Equals", as modified in Supplementary Condition SC-7.04
- B. General Conditions Article 7.05, *Substitutes*, as modified in Supplementary Condition SC-7.05
- C. General Conditions Article 7.16, *Shop Drawings, Samples and Other Submittals*, as modified in Supplementary Condition SC-7.16
- D. Section 01630 Product Substitutions
- E. Section 01700 Contract Closeout
- F. Section 01730 Operation and Maintenance Manuals
- G. Section 01780 Project Record Drawings
- H. Various sections require submittals for equipment and materials

#### 1.03 TECHINICAL PRE-BID SUBMITTAL

- A. Some of the major equipment items may require approval prior to bid, even when a specific manufacturer and model is specified, and contractor plans to use the specified item. For items requiring pre-bid submittals, a complete submittal package must be received by the Engineer or Landscape Architect no later than 14 days prior to bid opening. Only items that have been approved in writing by the Engineer or Landscape Architect will be used in the project, and substitution requests for these items will not be considered. Engineer or Landscape Architect will either approve or reject such items at least 5 days prior to bid date. Items in the specifications that require pre-bid submittals are noted as such and listed below for convenience:
  - 1. N/A

#### 1.04 SUBSTITUTION REQUESTS

- A. Refer to the General Conditions, 7.05 regarding substitutions, the costs of review, and the responsibility of the Contractor relating to substitution requests.
- B. Where the specifications state "or-equal", "or approved equal", or similar statement, the Engineer or Landscape Architect alone will determine if the proposed substitute item is allowed.

- C. Requests for substitution for items specified by manufacturer or manufacturer's model number as specified throughout the Contract Documents shall be in writing and be accompanied with sufficient information to allow the Engineer or Landscape Architect to identify the nature and scope of the request. Information to be provided shall include:
  - 1. Reason the substitution request is being made.
  - 2. All submittal information required for the specified item or equipment, including all deviations from the specified requirements necessitated by the proposed substitution.
  - 3. Reproducible contract drawings, marked up to illustrate the alterations to all structural, architectural, mechanical and electrical systems required to accommodate the proposed substitution.
- D. If the substitution requires any mechanical, electrical or structural changes, the Contractor will be responsible for costs in evaluating a requested substitution. The cost for such an evaluation will be determined on a case-by-case basis, after receipt of written request. The Engineer or Landscape Architect will notify the Contractor in writing of said cost. If the Contractor wishes to proceed, the contractor shall advise the Engineer in writing and submit additional information as may be requested. Final approval of a substitution must be made by both the Engineer or Landscape Architect and Owner.
- E. No additional costs of any kind will be incurred by the Owner or Engineer or Landscape Architect by approval or rejection of any substitution request.

#### 1.05 SUBMITTALS

## A. Technical submittals

 Technical submittals covered by these specifications include manufacturer's information, shop drawings, test procedures, test results, samples, request for substitutions and miscellaneous work-related submittals. Submittals shall also include, but not be limited to, all mechanical, electrical and electronic equipment and systems, materials, reinforcing steel, fabricated items, piping and conduit details, and lead time required for delivery to job site.

# 2. Contractor's Responsibilities

- a. The Contractor shall furnish all drawings, specifications, descriptive data, certifications, dimensional drawings, samples, tests, methods, schedules and manufacturers installation and other instructions as required by the contract documents, or the Engineer or Landscape Architect, to demonstrate fully that the materials and equipment to be furnished and the methods of work comply with the provisions and intent of the contract documents.
- b. The Contractor shall be responsible for the accuracy and completeness of the information contained in each submittal and shall assure that the material, equipment or method of work shall be as described in the submittal. The Contractor shall verify that all features of all products conform to the specified requirements.
- c. The Contractor shall ensure that there is no conflict with other submittals and notify the Engineer or Landscape Architect in each case where the Contractor's submittal may affect the work as shown on the Plans.

- d. The Contractor shall coordinate submittals among his/her subcontractors and suppliers.
- e. Submittals shall coordinate with the work so that work will not be delayed. Coordinate and schedule different categories of submittals, so that one will not be delayed for lack of coordination with another. No extension of time will be allowed because of failure to properly schedule submittals.
- f. The Contractor shall not proceed with work related to a submittal until the submittal process is complete.
- g. The Contractor shall certify on each submittal document that the Contractor has reviewed the submittal, verified final conditions and complied with the contract documents. The Contractor may authorize in writing a material or equipment supplier to deal directly with the Engineer or Landscape Architect. This interaction shall be limited to contract interpretations to clarify and expedite the work.
- h. Charges will be documented and the Contractor will be charged for review of multiple non-conforming submittals for any one (1) item in excess of two (2) times.

#### 1.06 RECORD DRAWINGS

A. Record Drawings are not a requirement of this project.

# 1.07 OPERATION AND MAINTENANCE (O&M) MANUALS

A. Contractor shall collect O&M data from all equipment and material suppliers for all items provided in the project. See Section 01730.

# 1.08 ENGINEER/LANDSCAPE ARTCHITECT'S REVIEW

- A. Review shall not extend to means, methods techniques, sequences or procedures of construction, or to verify quantities, dimensions, weights or gages, or to fabrication processes, except when specifically indicated or required by the contract documents, or to safety precautions or programs.
- B. The Contractor shall submit electronic copies of all submittal material to Engineer or Landscape Architect.
- C. Unless otherwise specified, within 14 calendar days after receipt of submittal, the Engineer will return the marked-up copies. The Contractor shall take appropriate action if the submittal needs to be resubmitted. If specified submittal material is to be used for O&M data, all corrections shall be made and new clean copies shall be submitted with the O&M data.
- D. Review of contract documents, method of work or information regarding materials or equipment the Contractor proposes to provide, shall not relieve the Contractor of his/her responsibilities for errors therein and shall not be regarded as an assumption of risks or liability by the Engineer or Landscape Architect or Owner. The Contractor shall have no claim under the Contract on account of failure or partial failure of the method of work, material or equipment so reviewed.

# 1.09 OTHER SUBMITTALS

A. Other Submittals required by other sections of these specifications may include traffic control plan and a bypass pumping system.

#### TRAFFIC REGULATION

#### PART 1 GENERAL

#### 1.01 SUMMARY

- A. This section includes traffic control related safety requirements as may be required for the project.
- B. Contractor shall comply with all rules and regulations of County, State, City, and Federal authorities regarding the closing, detouring, and loading of all public streets or highways.
- C. No road (public or private) shall be closed or detoured by the Contractor to the public, except by express written permission of the Engineer or Landscape Architect and entity governing such roadways. Traffic must be kept open on all roads and streets where no detour is possible. The Contractor shall, at all times, conduct the work so as to assure the least possible obstruction to traffic and normal commercial pursuits. The convenience of the general public and residents, safety, and the protection of property is of prime importance and shall be provided for by the Contractor in an adequate and satisfactory manner.

#### D. Submittals

- 1. If road closures, lane closures, or detours are required, Contractor shall prepare, and submit for approval a Traffic Control Plan to the appropriate governing body of such road.
- 2. Contractor shall submit a traffic control plan and signing plan to Project Manager and Public Works Department prior to commencement of Work.

#### 1.02 MATERIALS

- A. Contractor shall furnish all flaggers, barricades, lead cars, warning signs, lights, signals, etc. as required to comply with regulations and provide safety.
- B. All signs, lights, flags and other warning and safety devices shall meet the current ODOT safety manual affecting the location of construction, or to applicable City/County standards.
- C. Barricades shall conform to the Standard Specifications for Highway Construction of the State Highway Department affecting the location of construction, or to City or County Standards where applicable.

#### 1.03 WORKMANSHIP

- A. Contractor shall, at their own expense, and without further or other order, provide, erect and maintain at all times during the progress or temporary suspension of the work, suitable barricades, fences, signs or other adequate warnings or protection and shall provide, keep and maintain such danger lights, signals, and flaggers as may be necessary or as may be ordered by the Engineer to insure the safety of the public as well as those engaged in connection with the work.
- B. Failure of the Engineer or Landscape Architect to notify the Contractor to maintain barricades, barriers, lights, flares, danger signals, or watchmen, shall not relieve the Contractor from this responsibility. All barricades and obstructions shall be protected at night by signal lights which shall be suitably distributed and kept burning from sunset to sunrise. Barricades shall be of substantial construction and shall be suitably painted to increase their visibility at night.

- C. Whenever the Contractor's operations create a hazardous condition, Contractor shall furnish flagmen and guards as necessary, or as directed, to give adequate warning to the public of any dangerous conditions to be encountered. Contractor shall furnish, erect, and maintain approved fences, barricades, lights, signs, and any other devices that may be necessary to prevent accidents and to avoid damage and injury to the public. Flaggers and guards, while on duty and assigned to give warning to the public, shall be equipped with approved red wearing apparel and a red flag which shall be kept clean and in good repair.
- D. Contractor shall provide access to private properties at all times, except during urgent stages of construction when it is impractical to carry on the construction and maintain traffic simultaneously. Coordinate all construction activities with the affected property owners.
- E. Contractor shall patrol the traffic-control area and reset all disturbed signs and traffic-control devices immediately and will remove or cover all non-applicable signs during periods not needed.
- F. At the end of each day, the Contractor shall leave work in such condition that it can be traveled without damage to the work and without danger to the public.
- G. If, in the opinion of the Engineer or Landscape Architect or other governing traffic authority, traffic control is lacking or otherwise unsafe or deficient, the Engineer may require that all work be halted until the traffic control measures can be improved to an acceptable level.

#### PRODUCT SUBSTITUTIONS

#### PART 1 GENERAL

#### 1.01 SUMMARY

A. This Section describes procedures for securing approval of proposed product substitutions.

#### 1.02 PRODUCT OPTIONS

- A. The Contract is based on standards of quality established in the Contract Documents.
- B. See Section 01300 Submittals, General Conditions (Section 7.04 & 7.05) and Supplementary General Conditions (Section SC-7.04 & SC-7.05) for additional information on submittals and substitutions.
- C. In agreeing to the terms and conditions of the Contract, the Contractor has accepted the responsibility to verify that the specified products will be available and to place orders for all required materials in such a timely manner as is needed to meet the Contractor's agreed construction schedule.
- D. The Owner has not agreed to the substitution of materials or methods called for in the Contract Documents, except as they may specifically otherwise state in writing.
- E. Where materials and methods are specified by naming one single manufacturer or model number, without stating that equal products will be considered, only the material and method named is approved for incorporation into the Work.
- F. Where materials and methods are specified by name or product number, followed by the words "or equal approved in advance", materials and methods proposed by the Contractor to be used in lieu of the named materials and methods shall in all ways be equal or exceed the qualities of the named materials and methods. For consideration as an "equal approved in advance", complete detailed submittals (4 copies) must be received by the Engineer or Landscape Architect at least fourteen (14) days prior to the bid opening date. Approved substitute items will be listed by addendum prior to bid opening.
- G. Where the phrase "or equal," or "or approved equal," occurs in the Contract Documents, do not assume that the materials, equipment or methods will be approved as equal unless the item has been specifically so approved for this Work. Prepare detailed submittal and submit to Engineer or Landscape Architect. Substitutes will not be incorporated into the work unless submittal is approved by the Owner via the Engineer or Landscape Architect.
- H. Submittals shall include all technical information and diagrams as necessary to allow Engineer to evaluate the proposed substitution. Any/all differences between the specifications or specified equipment and the proposed substitution shall be clearly noted in the submittal. Submittals shall clearly indicate the specific model numbers, part numbers, and options of the proposed substitution.

#### 1.03 DELAYS

A. Delays in construction arising because of the time required for approval of substitution requests will not be considered by the Owner as justifying an extension of the agreed Time of Completion.

#### **CONTRACT CLOSEOUT**

#### PART 1 GENERAL

#### 1.01 SUMMARY

- A. Section includes procedures and requirements for finalizing and closing out the Project(s).
- B. Final clean-ups and restorations shall be done prior to requesting final inspections.

#### 1.01 RELATED DOCUMENTS

C. Section 01740 – Warranties

#### 1.03 RESTORATION AND CLEAN-UP

- A. Upon completion of any portion of the work, promptly remove temporary facilities generated by that portion of the work, including surplus materials, equipment and machinery unless directed otherwise by the Engineer or Landscape Architect or the Owner. All construction work by the Contractor shall be clean and free of rubbish, dirt, overspray, and extraneous materials to the satisfaction of the Engineer before acceptance of the work.
- B. Street/Road Cleanup. All roadways affected during construction shall be cleaned and restored. All ditches and culverts shall be cleaned and re-graded for proper drainage. Culverts broken or damaged by construction activities shall be restored to their original condition and location. Immediately following construction, remove all dirt, mud, rock, gravel, and other foreign material at the completion of the day or as otherwise required by the Engineer or Landscape Architect.
- C. Site Restoration and Cleanup. Restore or replace any ground covering (e.g., bark chips, cinders, gravel, river rock, etc.) to the original condition or better. Replace top-soiled areas, rake and grade to conform to their original contours. Replace any damaged landscaping or plantings to prior conditions in manner acceptable to Owner. Reseed grass areas as approved. Seed and protect any disturbed slopes.
- D. Remove any overspray of paint due to roadway striping.

#### 1.04 CERTIFICATIONS

- A. Contractor to prepare on Contractor's letterhead with project title and number clearly identified. Submit to Engineer or Landscape Architect with application for Final Payment.
- B. A written certification that Contractor has fully completed the Work in strict compliance with the Contract Documents, and requesting final inspections.
- C. Written certification that all subcontractors and suppliers who have furnished work or materials as part of this project have been paid in full.
- D. Written certification that Contractor will replace all materials and workmanship that prove defective within one-year after the date of Final Acceptance. Date Engineer or Landscape Architect signs Final Payment Certificate is date of Final Acceptance and starts the Contractor's one-year guarantee period.

- E. Submission of a signed State or Federal approved Wage Certification Form certifying that Contractor has paid not less than the Prevailing Wage Rate as required by law, and that Contractor has timely submitted the required payroll certificates to the appropriate state or federal wage division.
- F. One-Year Warranty Inspection. On the 11<sup>th</sup> month following final project completion and acceptance, Contractor shall be available to be present during the on-site warranty inspection. Any defects identified in materials or workmanship shall be corrected within 30 days by the Contractor at the Contractor's own expense.

#### **WARRANTIES**

#### PART 1 GENERAL

#### 1.01 SUMMARY

- A. Installed Materials Warranties. Prior to 75% completion and payment for work under this Contract, the Contractor shall furnish the Owner through the Engineer or Landscape Architect, all warranty and/or guarantee forms normally furnished by the manufacturer of equipment if applicable. Warranty form shall include the specific equipment installed, the duration of the warranty, details of the warranty, and the installer's name, address and phone number. Installation date will be filled in by the Owner and will coincide with date of substantial completion of the work under this contract. All such warranties shall name the Owner as the warranted party.
- B. Attention is directed to various other sections of the Contract Documents where specific material or installation warranties may be required for items specified.
- C. Contractor shall guarantee the Work for a period of one (1) year from the date of Final Acceptance. All materials and workmanship that prove defective within the one-year guarantee period shall be promptly replaced or corrected with no additional cost to the Owner. Written certification that Contractor will replace all materials and workmanship that prove defective within one-year after the date of Final Acceptance is required for project close-out and shall accompany application for Final Payment.

#### 1.02 RELATED DOCUMENTS

A. Section 01700 – Contract Closeout



#### **LONGITUDINAL PAVEMENT MARKINGS - DURABLE**

#### PART 1 GENERAL

#### 1.01 SUMMARY

A. The work in this section includes the furnishing of all labor, materials, equipment, and performing all work for the placement of Longitudinal Pavement Markings as shown in Drawings.

#### PART 2 PRODUCTS

#### 2.01 MATERIALS

- A. Waterborne Traffic Paint
  - 1. Leadfree, pigmented, 100% waterborne paint containing all necessary cosolvents, dispersants, welting agents, preservatives, and other additives, so paint shall retain its viscosity, stability, and all properties as specified herein.
  - 2. Bidder shall be 100% acrylic when tested in accordance with ASTM D2621.
  - 3. Paint draw-downs shall be prepared in accordance with ASTM E97.
    - a. Yellow: 33538 Federal Yellow
    - b. White
  - 4. Paint shall pass a minimum 500 cycles when tested in accordance with ASTM D2486.
  - 5. Heat stability: Paint shall show no increase in viscosity greater than 10 KU over the viscosity at 77°F nor any coagulation, lumps, or coarse particles.
  - 6. Weight per gallon in accordance with ASTM D1475.

#### B. Glass Beads

- 1. Provide 6 pounds of glass beads per gallon.
- 2. Standard M247 beads with AC110 coating.

#### PART 3 EXECUTION

#### 3.01 APPLICATION

- A. Apply painted longitudinal pavement markings as follows:
  - 1. Apply two separate applications of painted longitudinal pavement markings. Retrace the second application directly over the first application, within 1/16 inch as follows:

- a. Apply the second application after 2 hours but within 48 hours of the first application.
- b. For yellow colored markings that delineate two-way traffic, apply the second application in the opposite direction of the first application. For yellow colored markings on one-way Roadways, apply the second application in the same direction of the first application. For white colored markings, apply the second application in the same direction of the first application.
- 2. Apply each painted marking application at a thickness of 15 mils wet, equivalent to 17 gallons per mile for a 4 inch wide solid stripe.
- 3. Apply reflective elements for each application at a minimum rate of 5 pounds per gallon of paint. Embed, by means of paint wicking, a minimum of 80 percent of the reflective elements in the paint to a minimum depth of 50 percent of their diameter.
- B. Minimum initial retroreflectivity shall be the following:
  - 1. White 250 mcd/m2/lx
  - 2. Yellow 200 mcd/m2/lx
- C. Comply with ODOT Standard Specifications and Special Provisions 00865.
- 3.02 INSTALLATION METHOD AB: Non-profiled, extruded, or sprayed markings
  - A. Method A: Extruded Markings Apply markings with an extrusion or ribbon type process and according to the following:
    - 1. For grooved markings, grind the slot depth as shown. Apply the specified marking Material centered in the slot as shown. The top of the marking shall be flat or slightly convex.
    - 2. For profiled markings, place lines and bumps straight and square.
  - B. Method B: Spray Markings Apply two separate applications of spray markings with each application being one half the total specified thickness. Retrace the second application directly over the first application within 1/16 inch. For white colored markings, apply the second application in the same direction of the first application. For yellow colored markings that delineate two-way traffic, apply the second application in the opposite direction of the first application. For yellow colored markings on one-way Roadways, apply the second application in the same direction of the first application.
  - C. Thermoplastic spray markings may be installed in one application at the total specified thickness if approved by the Engineer and after a successful performance test according to 00865.40. Apply spray markings in two applications if installing yellow colored markings over rumble strips.

#### 3.03 NO-TRACKING TIME

A. The paint shall dry to a no-tracking condition in no more than 90 seconds when applied at 15 mils wet film thickness at dry pavement temperature of 50°F to 100°F.

- 1. Maximum 85% relative humidity.
- 2. 6 pounds of glass beads per (1) gallon of paint.
- 3. No tracking shall be time required for the line to withstand the running of a standard automobile over the line at a speed of approximately 40 mph.



#### TRANSVERSE PAVEMENT MARKINGS - LEGENDS AND BARS

#### **PART 1 GENERAL**

#### 1.01 SUMMARY

A. The Work in this section includes the furnishing of all labor, materials, equipment, and performance of all work for the installation of pavement markings for legends and bars.

#### **PART 2 PRODUCTS**

#### 2.01 MATERIALS

- A. Apply one or more of the following marking material types:
  - 1. Type A:
    - a. Liquid, Hot-Laid Thermoplastic Material For pavement bars, apply the thermoplastic material to the Pavement by a spray or extrusion method, to the full width shown, in a single application. For pavement legends, apply the thermoplastic material to the Pavement by a spray method, to the full width shown, in a single application. Pavement markings shall be 90 mils to 120 mils in thickness, exclusive of projecting surface-applied reflective elements, with a continuous and uniform cross sectional configuration, and with the upper surface slightly arched.
    - Separately apply reflective elements to the material as it is placed at a sufficient rate to obtain an initial reflectivity reading of 250 mcd/m2/lx.
       Locate the dispenser behind the pavement marking extrusion die and uniformly distribute the reflective elements over the entire width of the thermoplastic material.
  - 2. Type B: Preformed, Fused Thermoplastic Film Install preformed, fused thermoplastic film as shown.
  - Type B-HS: Preformed, Fused Thermoplastic Film High Skid Install preformed, fused thermoplastic film high skid, that has intermixed reflective elements with factory installed crushed glass or Aggregate on the surface for all staggered continental crosswalks, bicycle lane stencils, bicycle railroad crossings, lane reduction arrows, and other transverse pavement markings as shown. Install the preformed, fused thermoplastic film high skid to achieve a minimum initial skid resistance greater than or equal to 50 British Pendulum Number (BPN) when tested according to ASTM E303.
  - 4. Type AB: Install Type A, Type B or Type B-HS as the Contractor elects.

#### **PART 3 EXECUTION**

#### 3.01 INSTALLATION

A. Place permanent markings only when the manufacturer's representative determines that the Pavement is ready for the pavement marking material.

- B. Transverse joints will be allowed with no overlap or gap allowed at the joint.
- C. Minimum initial retroreflectivity shall conform to the following:
  - 1. White 250 mcd/m2/lx
  - 2. Yellow 200 mcd/m2/lx
- D. Separately apply reflective elements to the material as it is placed at a sufficient rate to obtain an initial reflectivity reading of 250 mcd/m2/lx. Locate the dispenser behind the pavement marking extrusion die and uniformly distribute the reflective elements over the entire width of the methyl methacrylate material.
- 3.02 Comply with Section 00867 of the Standard Specifications modified as follows.

#### **PART 4 SPECIAL PROVISIONS**

- 4.01 MEASUREMENT AND PAYMENT
  - A. The accepted quantities of Work performed under this Section will be paid for at the Contract unit price, per unit measurement, for the following items:
    - 1. Pavement Legend, Type AB; Arrows, EACH
    - 2. Pavement Legend, Type AB; "STOP", EACH
    - 3. Pavement Legend, Type B-HS; Bike Lane Stencil, EACH

#### **CURB AND NON-TRAVERSABLE MEDIAN MARKINGS**

#### PART 1 GENERAL

#### 1.01 SUMMARY

- A. The work in this section includes the furnishing of all labor, materials, equipment, and performance of all work for the installation of curb markings and non-traversable median markings.
- B. Comply with ODOT Standard Specifications, Section 00850, 00860, 00865, and all Special Provisions.

#### **PART 2 PRODUCTS**

#### 2.01 MATERIALS

- A. Paint, per Section 00865
- B. Thermoplastic, per Section 00867

#### **PART 3 EXECUTION**

#### 3.01 INSTALLATION

- A. Apply curb markings and non-traversable Median markings only when the following conditions are met.
  - 1. The ambient temperature is at least 50 °F and rising
  - 2. The Pavement has been dry for at least 48 hours
  - 3. 30 Calendar Days of cure time for new concrete curb or Median.
- B. Apply the Material to the Pavement according to the manufacturer's installation instructions to the full height and width of curb or Median as shown in the Plans.
- C. Apply one or more of the following marking material types:
  - 1. Paint Apply according to ODOT STANDARD SPECIFICATION SECTION 00860.45 along full height of curb face and along full width of top of curb or non-traversable Median.
  - 2. Thermoplastic, Sprayed Apply according to ODOT STANDARD SPECIFICATION SECTION 00865.45, using Method B Spray Markings to the full height of curb face and along full width of top of curb or non-traversable Median. Apply each application of painted thermoplastic marking at a thickness of 60 mils.



## CENTRAL BOULEVARD STRIPING CITY OF COQUILLE URA

JULY 2025

INDEX OF	SHEETS
Sheet No.	Description
A-1	Title Sheet
ST-1	Roadway Striping
ST-2	Roadway Striping
ST-3	Roadway Striping
ST-4	Roadway Striping
ST-5	Roadway Striping
ST-6	Standard Drawings
ST-7	Standard Drawings
ST-8	Standard Drawings

#### GENERAL NOTES

- 1) All longitudinal pavement markings shall be Method "AB" (non-profile) except as noted. See Section 00865 in Special Provisions.
- 2) All transverse markings shall be Type AB, thermoplastic except as noted. See Section 00867 in Special Provisions.
- 3) All bicycle lane stencils shall be Type B-HS, preformed, fused thermoplastic film high skid pavement markings. See Section 00867 in Special Provisions.
- 4) All curb markings to be durable paint. See Section 00869 in Special Provisions.
- 5) All striping shown as approximate, all measurements and striping locations to be field verified
- 6) Match points to existing striping are approximate and shall be field verified.
- 7) Removal of existing pavement markings shown is approximate and shall be field verified.
- 8) Place temporary "NEW TRAFFIC PATTERN AHEAD" sign for a maximum of 12 months. All sign locations are approximate and should field verified. Do not block clear passage of sidewalk or driveways.

#### STANDARD DRAWINGS

TM 500	Pavement Marking Standard Detail Blocks
TM 501	Pavement Marking Standard Detail Blocks
TM 502	Pavement Marking Standard Detail Blocks
TM 503	Pavement Marking Standard Detail Blocks
TM 504	Pavement Marking Standard Detail Blocks
TM 521	Durable & High Performance Pavement Markings Surface & Groove Installed Non-Profile
TM 530	Intersection Pavement Markings (Crosswalk, Stop Bar & Bike Lane Stencil)
TM 821	Temporary Sign Supports





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#### SANDOW ENGINEERING

160 MADISON STREET SUITE A EUGENE OREGON 97402



SOQUILLE CITY OF CENTRAL

**DESIGN DEVEL.** 

**REVISIONS:** # DATE DESCRIPTION

SHEET TITLE: SHEET

A-1



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## SANDOW ENGINEERING

160 MADISON STREET SUITE A EUGENE OREGON 97402



## RENEWAL 06/30/26

## COQUILLE URA L BOULEVARD STRIPING

PROJECT NO.:
CITY OF CO

## DESIGN DEVEL.

# DATE DESCRIPTION

Temporary W23-2 signange to be installed by the City of Coquille

DATE: July 2025 SHEET TITLE:
Roadway



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ST-1

Striping

Les Schwab

Stop Sign to be installed by the City of Coquille.

Yellow Two Way Left Turn Lane striping to be

placed over existing striping between 4th Street and 6th Street. Except as noted.

NOTES

TWL 3

McKay's Market

TRAFFIC PATTERN AHEAD

SIGNING LEGEND

Place "NEW TRAFFIC PATTERN AHEAD" W23-2

mounted on temporary sign support. See detail TM 821. Sign to be placed a minimum of 250 feet ahead of new stop bar.

Sign. 36"x36" diamond shape warning sign with

florescent orange background with black letters,

Office Complex

RX Remove Existing Yellow Stripe.

RX Remove Existing White Lane Striping.

RX Remove Existing Straight-Turn Arrow.

RX Remove Existing Crosswalk Striping.

RX Remove Existing Left Turn Arrow.

RX Remove Existing Sign and Post. Return to City.

RX Remove Existing Two-Way Left Turn Lane Striping.

**(**)

CW-SC

CW-SC

(CW-SC)

CW-SC

Coquille Automotive

Pacific Air Comfort

Ext. Striping to Remain

STOP

(CW-SC

 $\frac{3}{5}$ 

Q

**(**)

STRIPING LEGEND

BS Left-Facing Bike Lane Stencil-to be high skid thermoplastic. See

Stop Bar (1 ft. White Bar). See detail TM503, TM530.

CW-SC Staggered Continental Crosswalk. 2' Wide Bars. See detail TM503, TM530.

Install Chevron Bars. Buffer Space 8" Wide Bars at 20' Spacing. See detail TM504.

TWL Install Two-way Left Turn Lane. See detail TM500.

STOP Install STOP legend. See detail Sheet ST-7.

Install Left Turn Arrow. See detail TM501.

LA

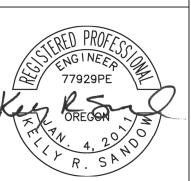
CW-SC

(CW-SC)

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## SANDOW ENGINEERING

160 MADISON STREET SUITE A EUGENE OREGON 97402



COQUILLE URA L BOULEVARD STRIPING

PROJECT NO.:

CITY OF CO
CENTRAL F

CITY OF COQUILLE COQUILLE, OREGON

DESIGN DEVEL.

**REVISIONS:** 

# DATE DESCRIPTION

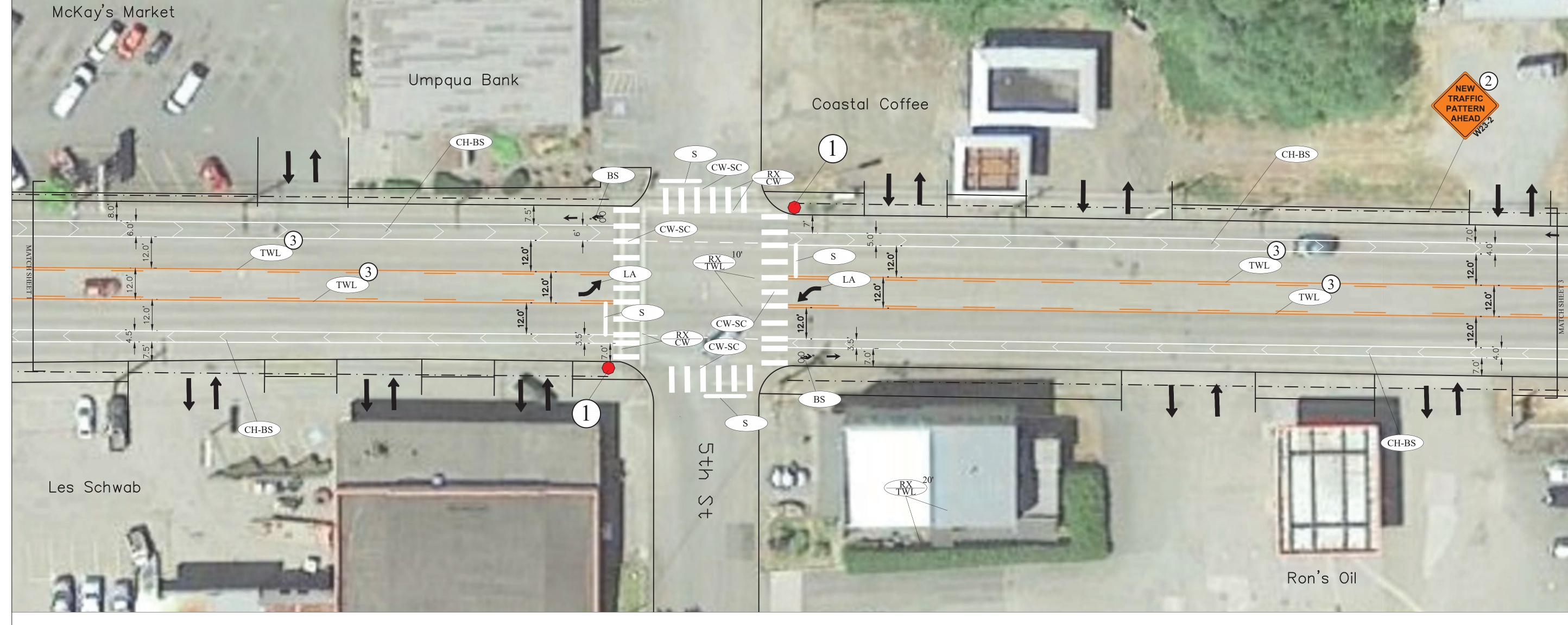
DATE:

July 2025 sheet title: Roadway

Striping

ST-2

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### STRIPING LEGEND

BS Left-Facing Bike Lane Stencil-to be high skid thermoplastic. See detail TM503.

TWL Install Two-way Left Turn Lane. See detail TM500.

LA Install Left Turn Arrow. See detail TM501.

Stop Bar (1 ft. White Bar). See detail TM503, TM530.

CW-SC Staggered Continental Crosswalk. 2' Wide Bars. See detail TM503, TM530.

Install Chevron Bars. Buffer Space 8" Wide Bars at 26' Spacing. See detail TM504. CH-BS

## RX Remove Existing Crosswalk Striping.



RX Remove Existing Two-Way Left Turn Lane Striping.

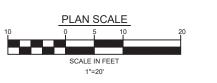


Place "NEW TRAFFIC PATTERN AHEAD" W23-2 Sign. 36"x36" diamond shape warning sign with florescent orange background with black letters, mounted on temporary sign support. See detail TM 821. Sign to be placed a minimum of 250 feet ahead of new stop bar. NOTES

Stop Sign to be installed by the City of Coquille.

Temporary W23-2 signange to be installed by the City of Coquille

Yellow Two Way Left Turn Lane striping to be placed over existing striping between 4th Street and 6th Street. Except as noted.







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## SANDOW ENGINEERING

160 MADISON STREET SUITE A EUGENE OREGON 97402



RENEWAL 06/30/26

CITY OF COQUILLE URA
CENTRAL BOULEVARD STRIPING

DESIGN DEVEL.

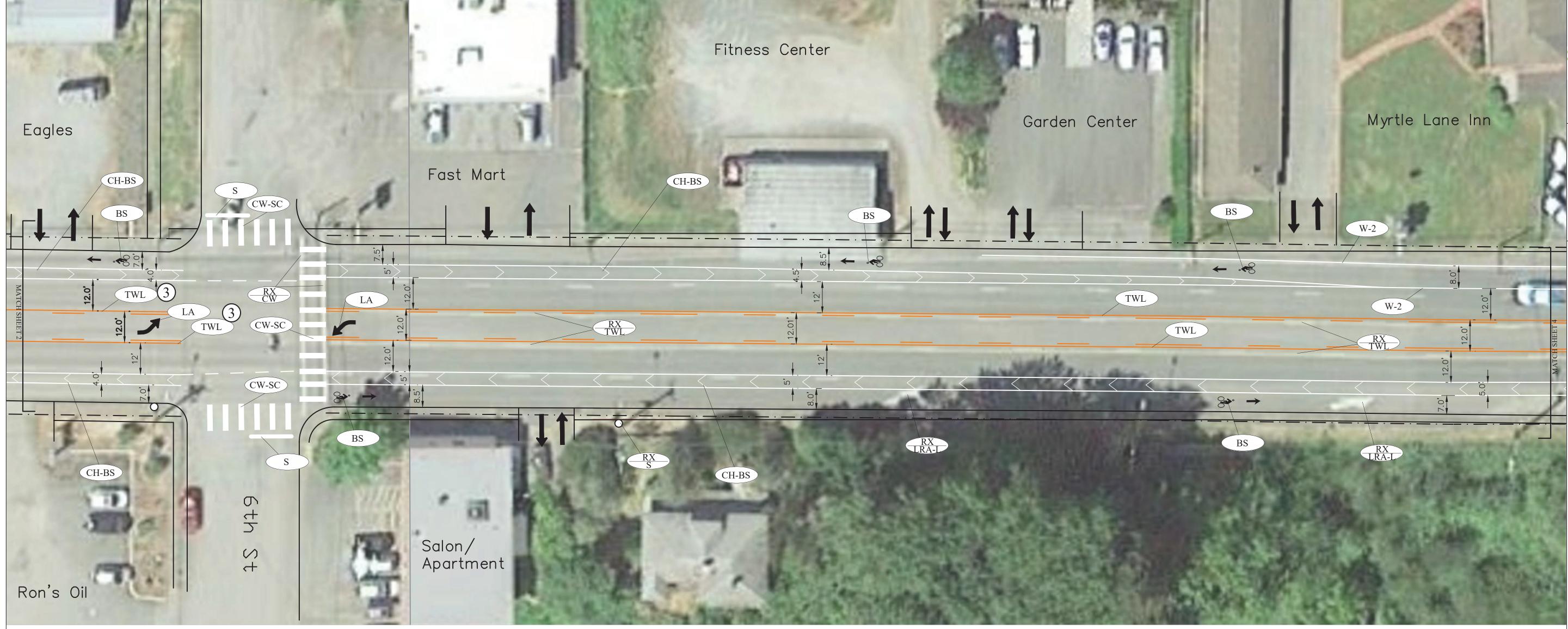
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DATE: July 2025 sheet title: Roadway

Striping

ST-3

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### STRIPING LEGEND

BS Left-Facing Bike Lane Stencil-to be high skid thermoplastic. See detail TM503.

W-2 8 in. White Line. See detail TM500.

TWL Install Two-way Left Turn Lane. See detail TM500.

LA Install Left Turn Arrow. See detail TM501.

S Stop Bar (1 ft. White Bar). See detail TM503, TM530.

CW-SC Staggered Continental Crosswalk. 2' Wide Bars. See detail TM503, TM530.

Install Chevron Bars. Buffer Space 8" Wide Bars at 26' Spacing. See detail TM504.

RX Remove Existing Crosswalk Striping.

RX Remove Existing Sign and Post. Return to City.

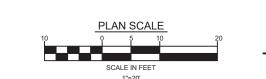
RX Remove Existing Lane Reduction Arrow.

RX Remove Existing Two-Way Left Turn Lane Striping.

### NOTES



Yellow Two Way Left Turn Lane striping to be placed over existing striping between 4th Street and 6th Street. Except as noted.





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## SANDOW ENGINEERING

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CITY OF COQUILLE URA
CENTRAL BOULEVARD STRIPING

DESIGN DEVEL.

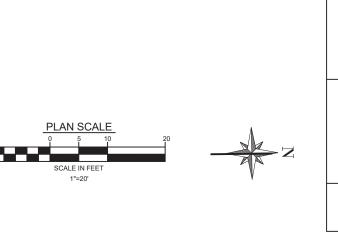
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DATE: July 2025 SHEET TITLE:

Roadway Striping

ST-4

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### STRIPING LEGEND

BS Left-Facing Bike Lane Stencil-to be high skid thermoplastic. See detail TM503.

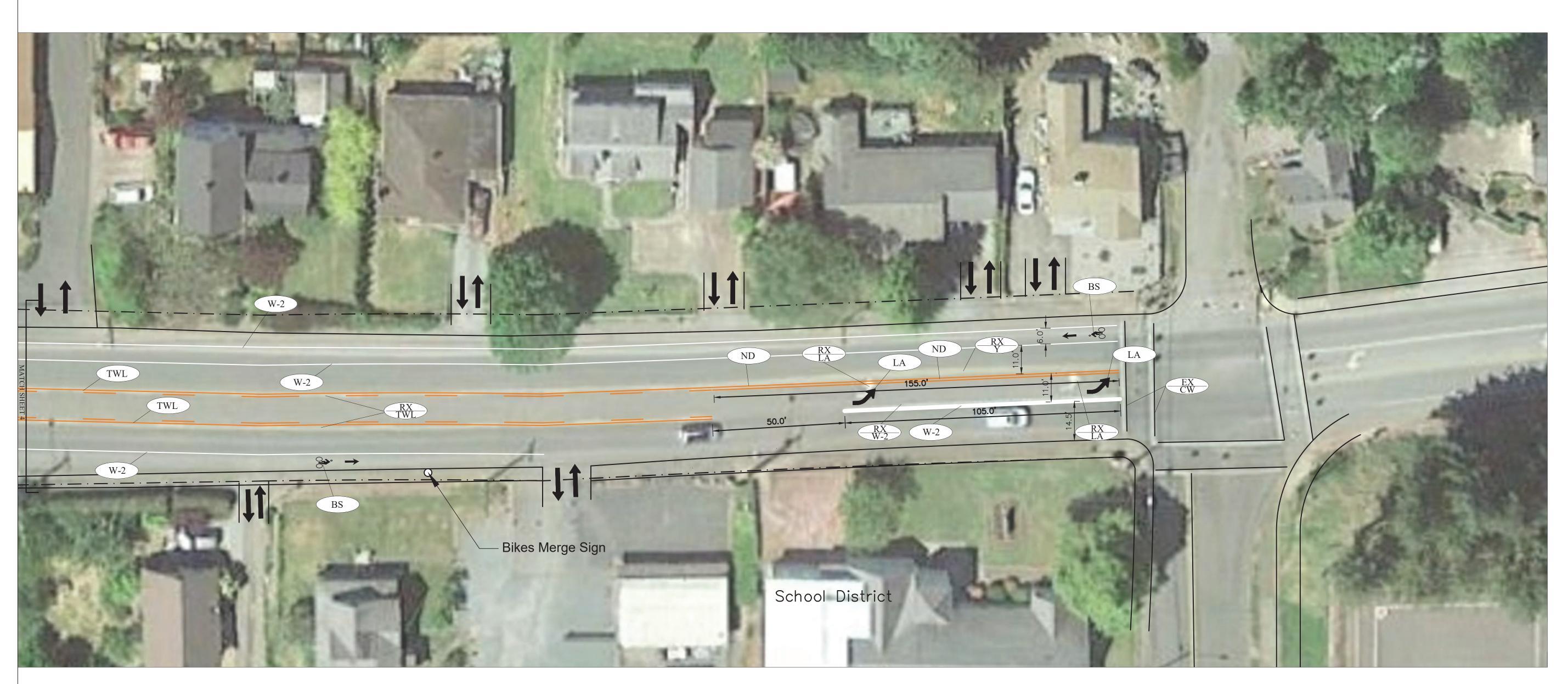
TWL Install Two-way Left Turn Lane. See detail TM500.

CH-BS Install Chevron Bars. Buffer Space 8" Wide Bars at 26' Spacing. See detail TM504.

W-2 8 in. White Line. See detail TM500.

YC Yellow curb

Remove Existing Two-Way Left Turn Lane Striping.



### STRIPING LEGEND

BS Left-Facing Bike Lane Stencil-to be high skid thermoplastic. See detail TM503.

TWL Install Two-way Left Turn Lane. See detail TM500.

LA Install Left Turn Arrow. See detail TM501.

W-2 8 in. White Line. See detail TM 500.

ND Install Narrow Double No-Pass two 4" yellow lines. See detail TM 500.

EX Existing Crosswalk Striping to Remain.

Remove Existing Two-Way Left Turn Lane Striping.

RX Remove 8in White Line

RX Remove Existing Left Turn Arrow.

RX Remove Existing Two-Way Left Turn Lane Striping.

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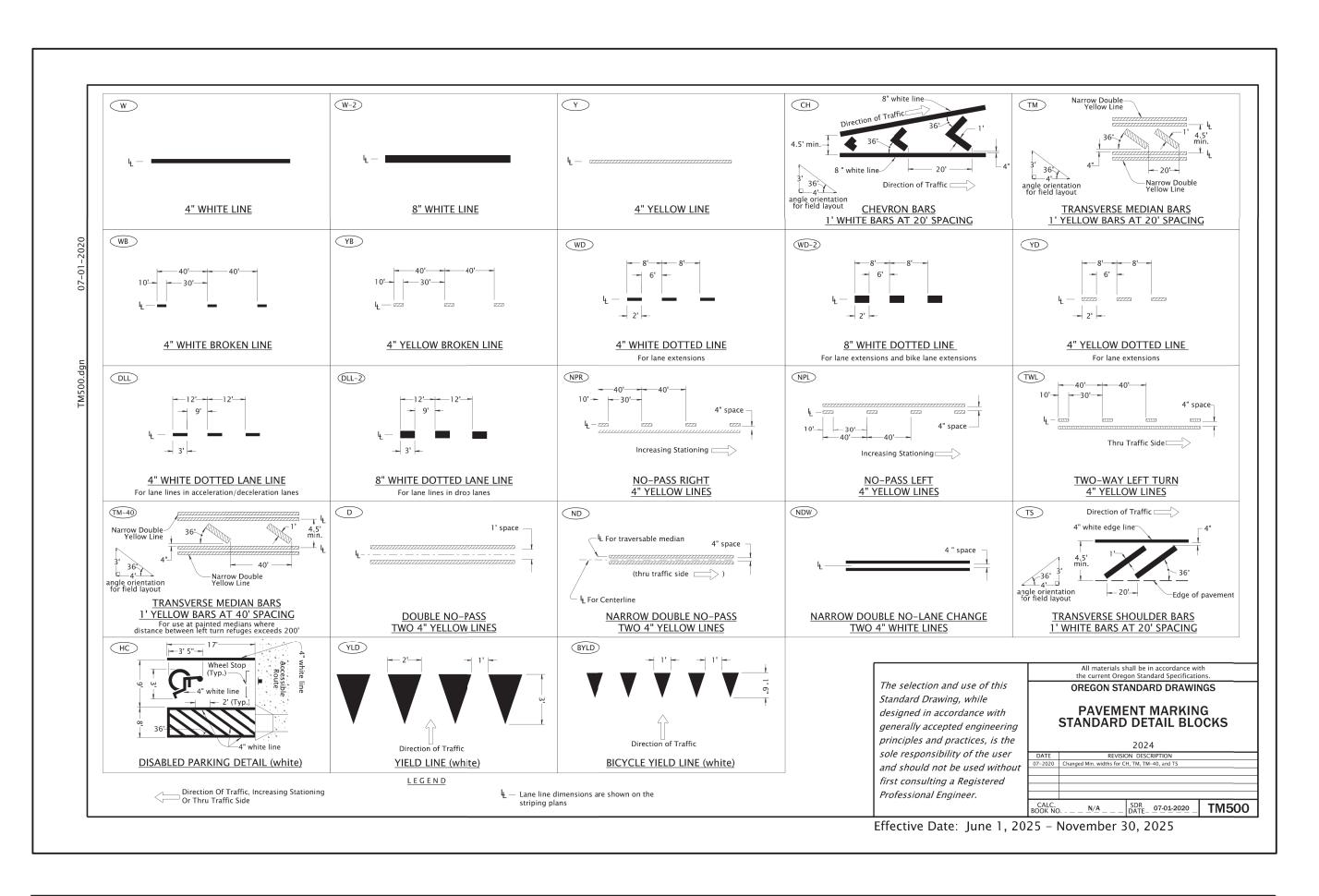
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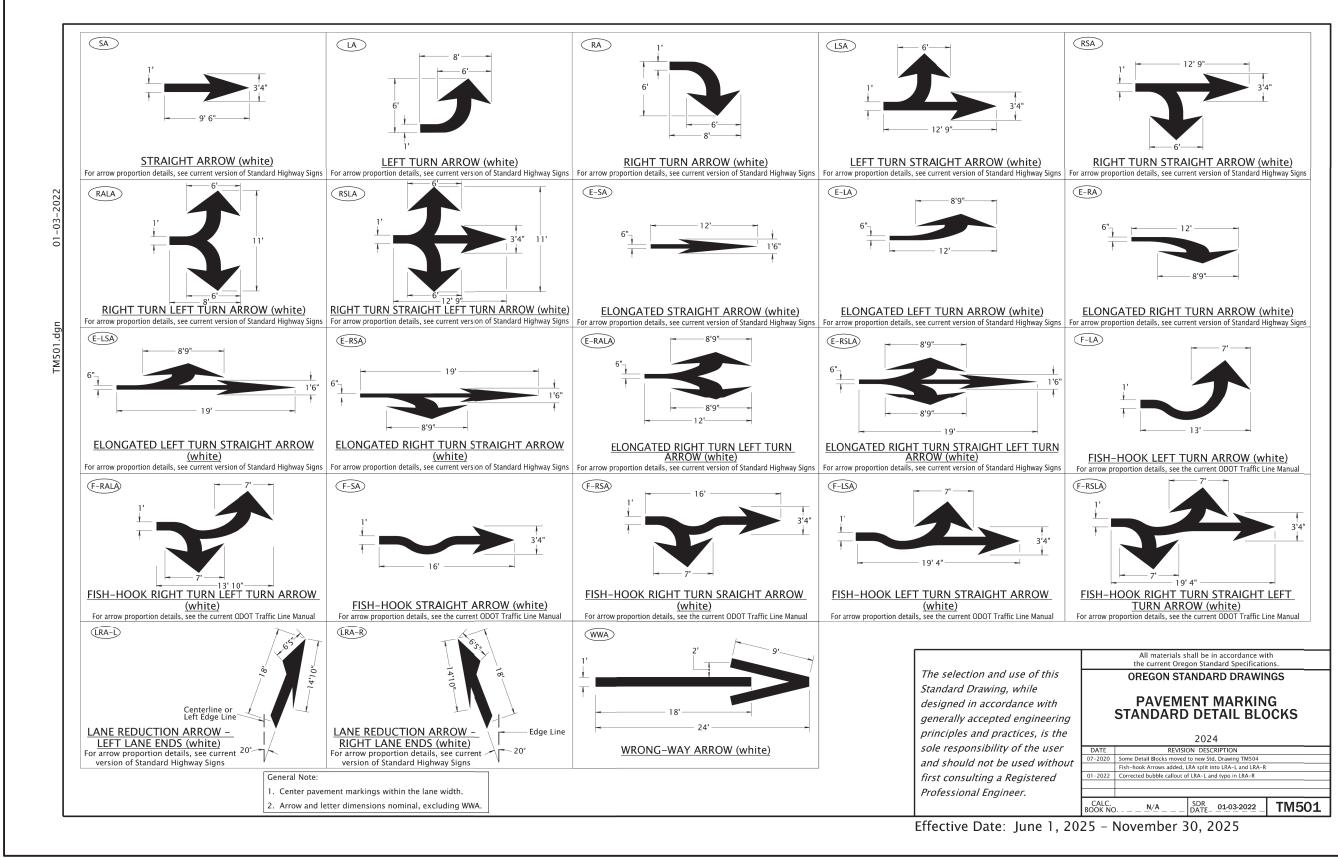
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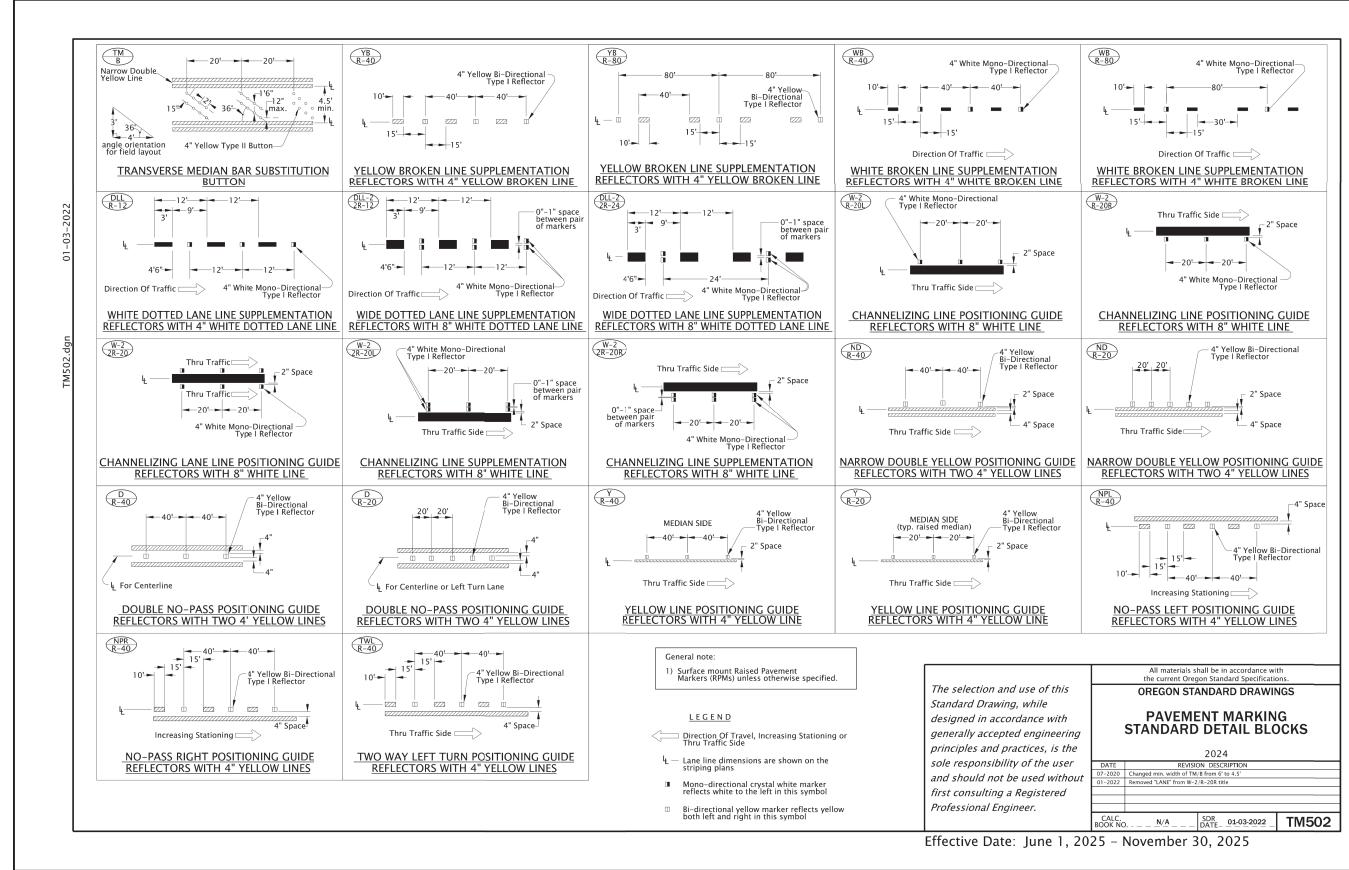
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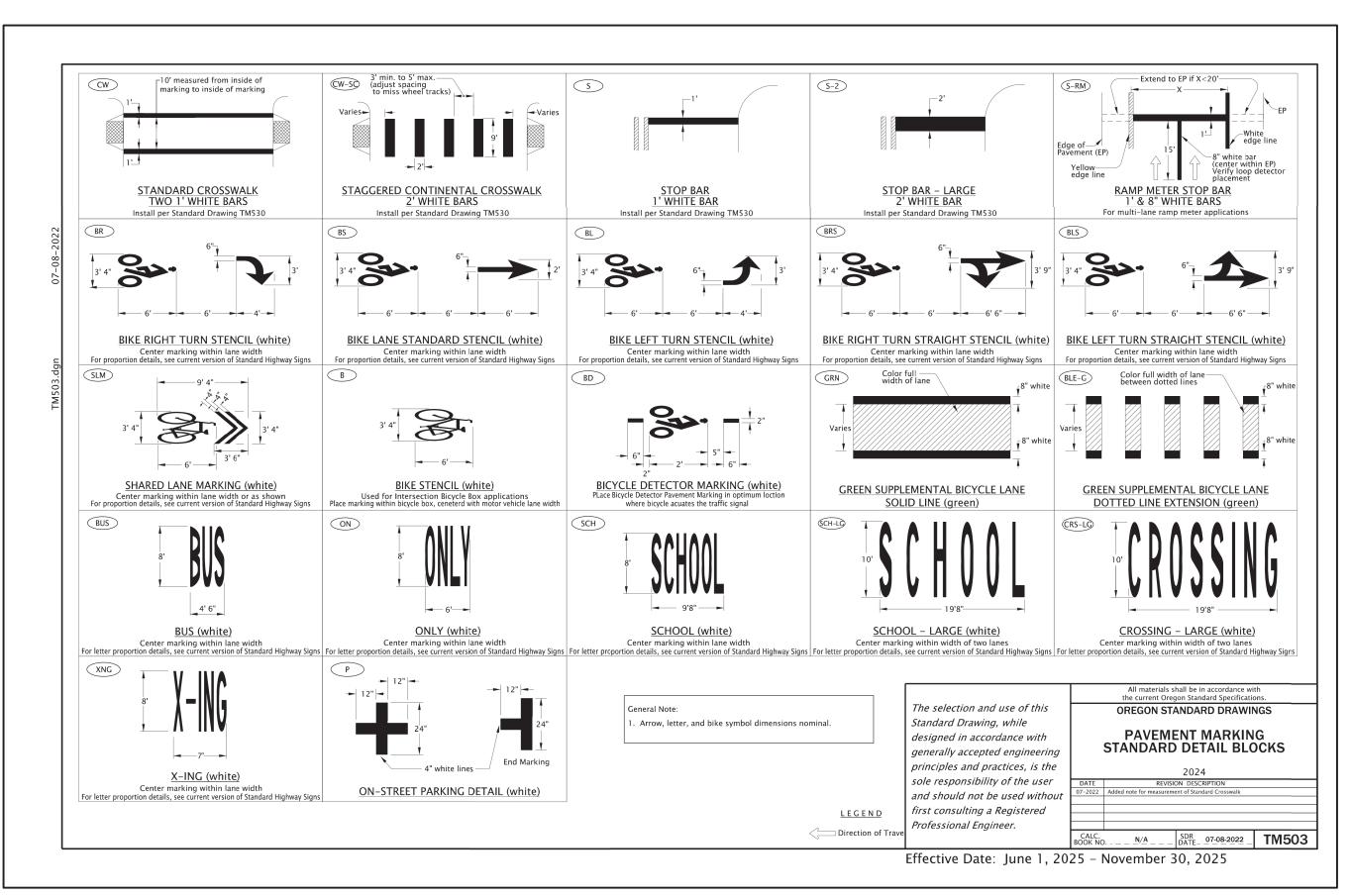
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ST-5







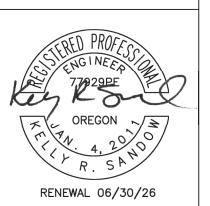




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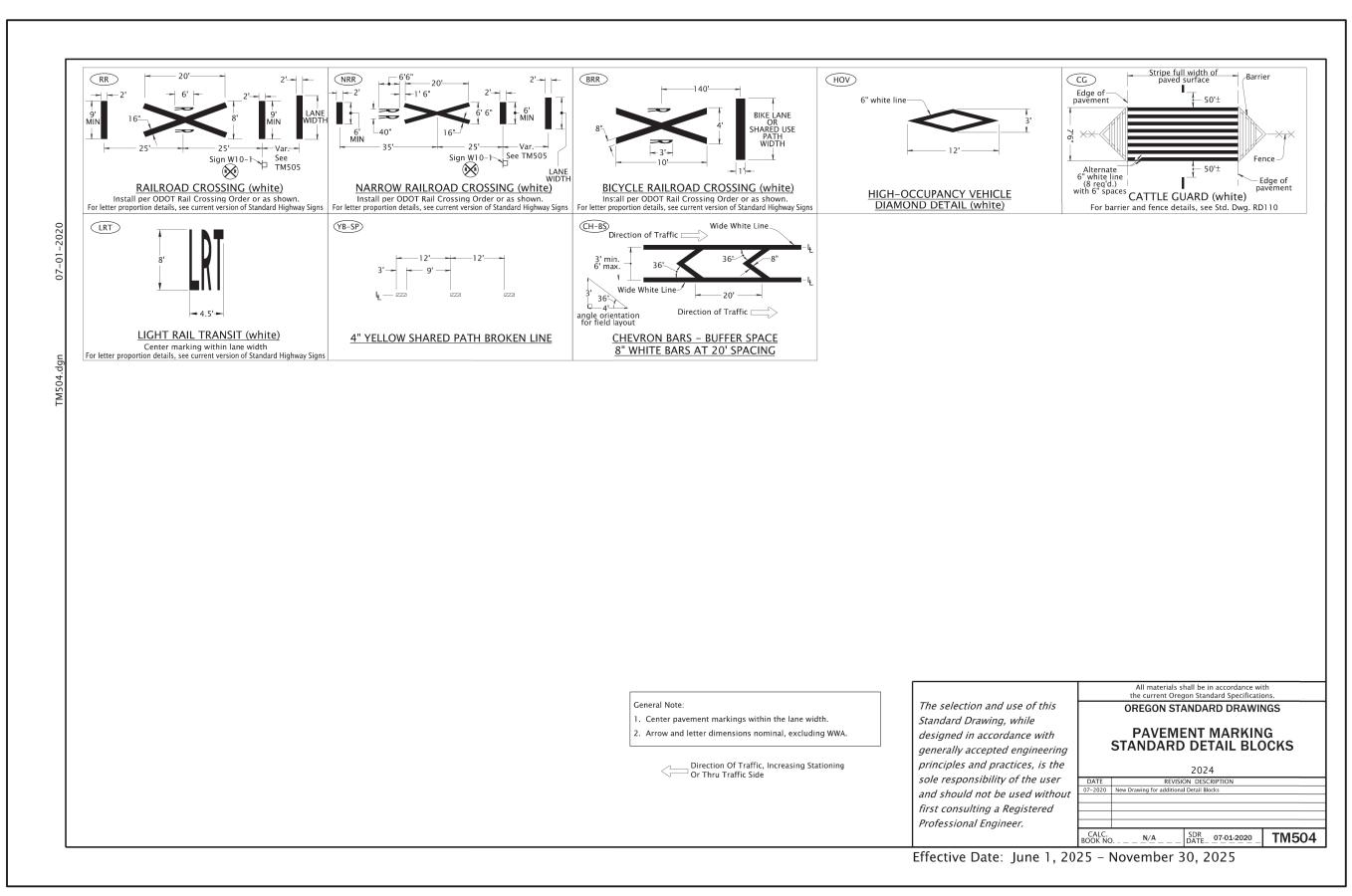
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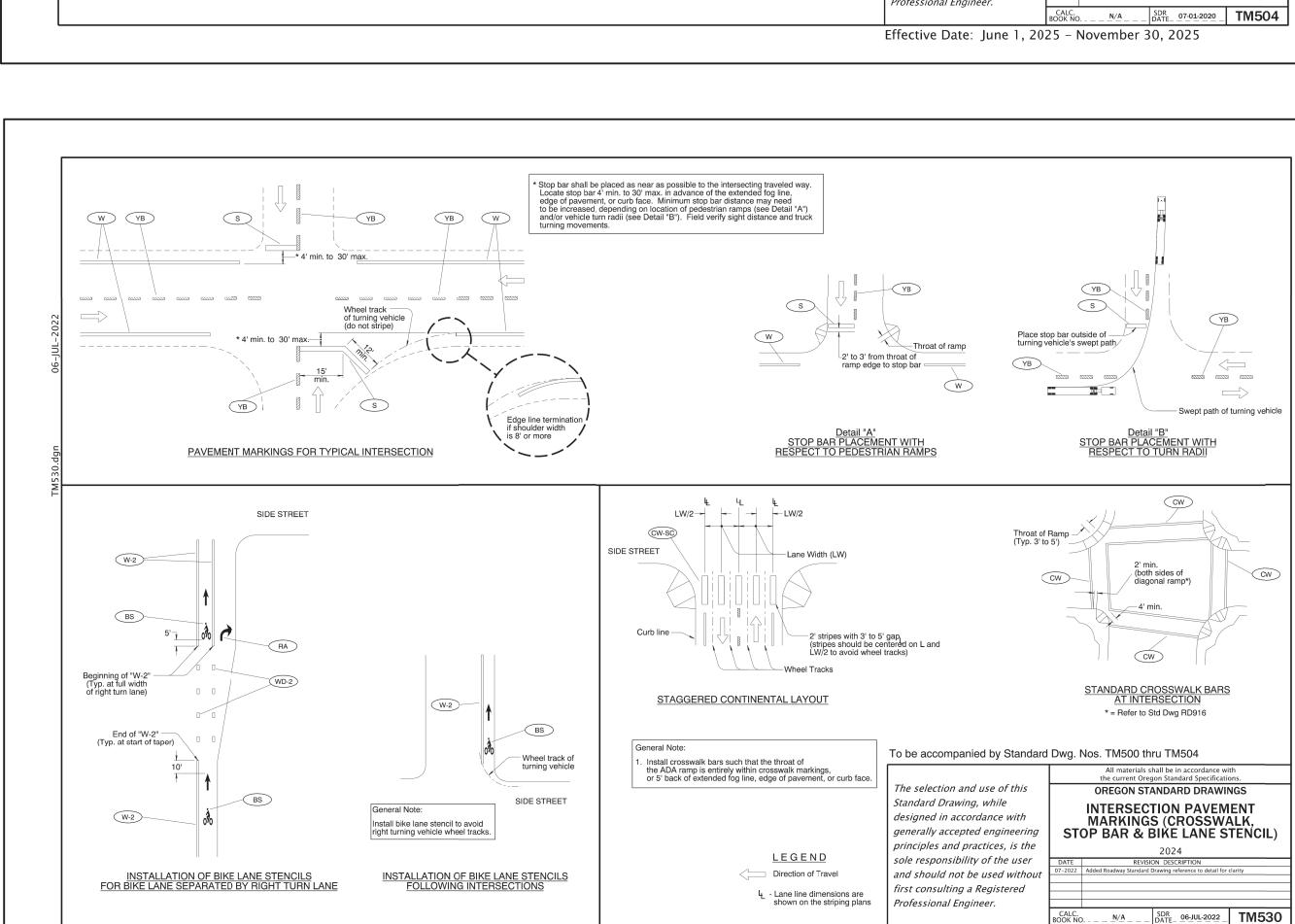
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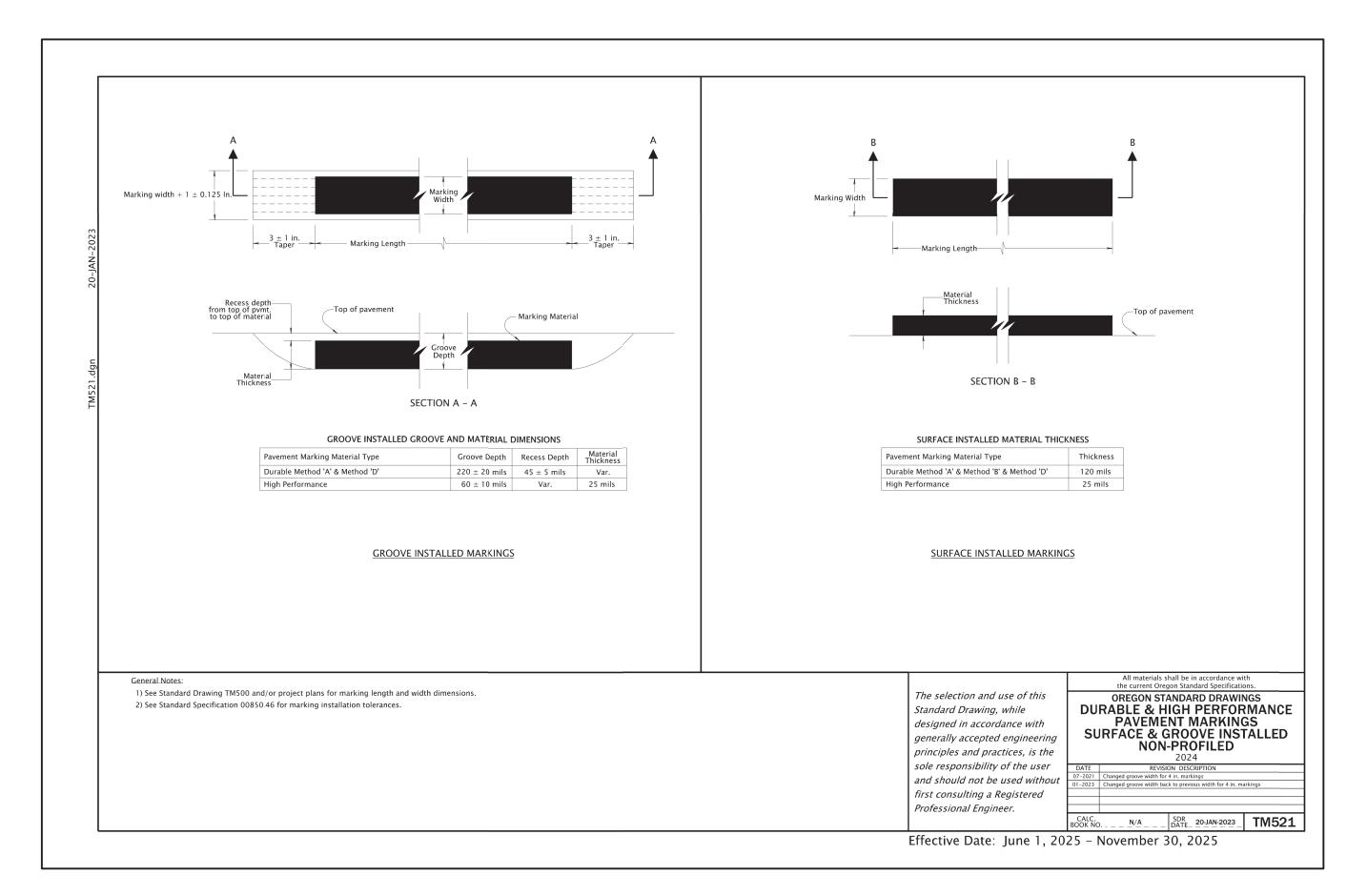
DATE: July 2025
SHEET TITLE:
Standard
Drawings

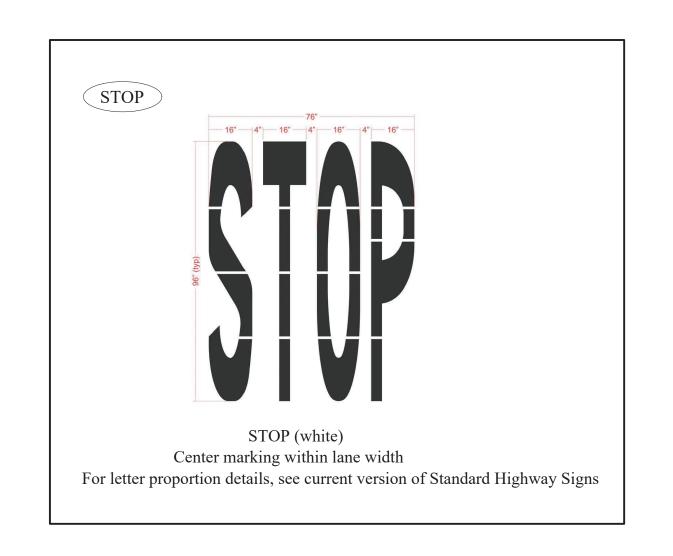
ST-6





Effective Date: December 1, 2024 - May 31, 2025



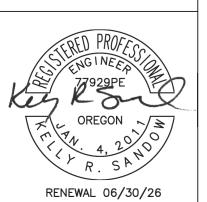




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DESIGN DEVEL.

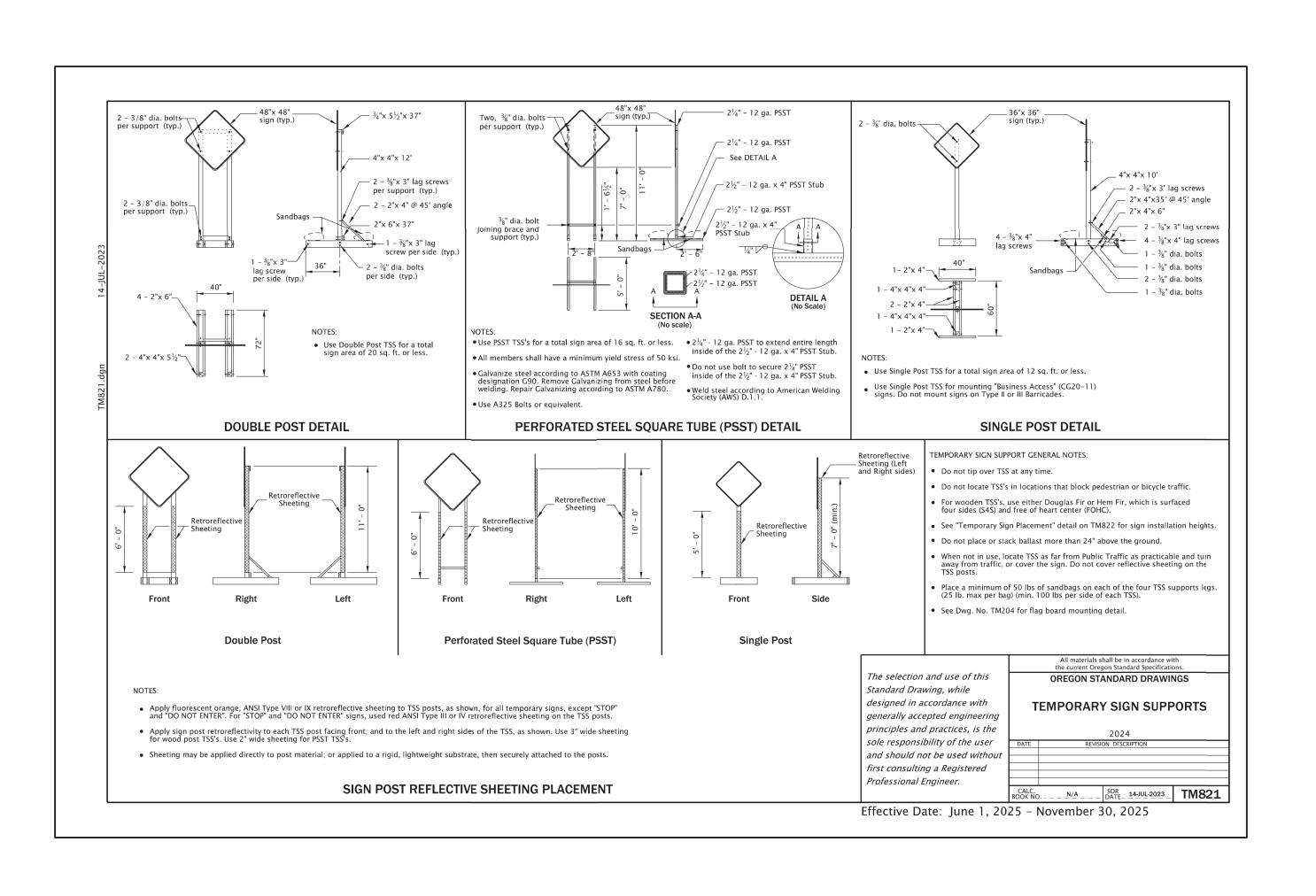
REVISIONS:

DATE: July 2025

# DATE DESCRIPTION

SHEET TITLE:
Standard
Drawings

ST-7





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160 MADISON STREET SUITE A EUGENE OREGON 97402



RENEWAL 06/30/26

# CITY OF COQUILLE URA CENTRAL BOULEVARD 5

DESIGN DEVEL.

# DATE DESCRIPTION

July 2025 Standard Drawings

ST-8